



Conference of the Parties

Report of the Conference of the Parties on its eighteenth session, held in Doha from 26 November to 8 December 2012

Addendum

Part Two: Action taken by the Conference of the Parties at its eighteenth session

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Decision 1/CP.18

Agreed outcome pursuant to the Bali Action Plan

The Conference of the Parties,

Recalling decisions 1/CP.13 (Bali Action Plan), 1/CP.15, 1/CP.16 and 2/CP.17,

Acknowledging the significant achievements of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention towards enabling the full, effective and sustained implementation of the Convention,

Welcoming the new institutional arrangements and processes established as a result of the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention and the progress made in making them operational and effective,

Determined to fully implement the agreements reached and further enhance long-term cooperative action under the Convention in order to achieve its ultimate objective,

Welcoming decision 1/CMP.8 on the Doha Amendment to the Kyoto Protocol pursuant to its Article 3, paragraph 9, as well as decision 2/CP.18 on Advancing the Durban Platform,

Noting that this decision, together with decisions adopted by the Conference of the Parties at its sixteenth and seventeenth sessions, constitutes the agreed outcome pursuant to decision 1/CP.13,

I. A shared vision for long-term cooperative action, including a long-term global goal for emission reductions, to achieve the ultimate objective of the Convention, in accordance with the provisions and principles of the Convention, in particular the principle of common but differentiated responsibilities and respective capabilities, and taking into account social and economic conditions and other relevant factors

Recalling the principles, provisions and commitments set forth in the Convention, in particular its Articles 2, 3 and 4,

Also recalling decisions 1/CP.13, 1/CP.16, 1/CP.17 and 2/CP.17,

1. *Decides* that Parties will urgently work towards the deep reduction in global greenhouse gas emissions required to hold the increase in global average temperature below 2 °C above pre-industrial levels and to attain a global peaking of global greenhouse gas emissions as soon as possible, consistent with science and as documented in the Fourth Assessment Report of the Intergovernmental Panel on Climate Change, reaffirming that the time frame for peaking will be longer in developing countries;

2. *Also decides* that Parties' efforts should be undertaken on the basis of equity and common but differentiated responsibilities and respective capabilities, and the provision of finance, technology transfer and capacity-building to developing countries in order to support their mitigation and adaptation actions under the Convention, and take into account the imperatives of equitable access to sustainable development, the survival of countries and protecting the integrity of Mother Earth;

3. Welcomes the work done by the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on equitable access to sustainable development through a workshop and the report on the workshop¹ by the Chair;

II. Enhanced national/international action on mitigation of climate change

A. Measurable, reportable and verifiable nationally appropriate mitigation commitments or actions, including quantified emission limitation and reduction objectives, by all developed country Parties, while ensuring the comparability of efforts among them, taking into account differences in their national circumstances

Recalling decisions 1/CP.13, 1/CP.16 and 2/CP.17,

Noting with grave concern the significant gap between the aggregate effect of Parties' mitigation pledges in terms of global annual emissions of greenhouse gases by 2020 and aggregate emission pathways consistent with having a likely chance of holding the increase in global average temperature below 2 °C or 1.5 °C above pre-industrial levels,

Acknowledging the role of biennial reports and international assessment and review in measuring progress towards the achievement of quantified economy-wide emission reduction targets,

Also acknowledging the work done under the Subsidiary Body for Scientific and Technological Advice to finalize reporting and review guidelines for developed country Parties,

4. *Takes note* of the quantified economy-wide emission reduction targets to be implemented by Parties included in Annex I to the Convention as communicated by them and contained in document FCCC/SB/2011/INF.1/Rev.1;

5. *Requests* the secretariat to update document FCCC/SB/2011/INF.1/Rev.1 following any developed country Party's request to include new information on its target;

6. *Notes* the outcomes of the process of clarifying the quantified economy-wide emission reduction targets of developed country Parties during 2011 and 2012, as reflected in submissions from Parties, the reports on the relevant workshops and the technical paper prepared by the secretariat;²

7. *Urges* developed country Parties to increase the ambition of their quantified economy-wide emission reduction targets, with a view to reducing their aggregate anthropogenic emissions of carbon dioxide and other greenhouse gases not controlled by the Montreal Protocol to a level consistent with the ranges documented in the Fourth Assessment Report of the Intergovernmental Panel on Climate Change and subsequent Assessment Reports;

8. *Decides* to establish a work programme under the Subsidiary Body for Scientific and Technological Advice to continue the process of clarifying the quantified economy-wide emission reduction targets of developed country Parties, particularly in relation to the elements contained in decision 2/CP.17, paragraph 5, with a view to:

¹ FCCC/AWGLCA/2012/INF.3/Rev.1.

² FCCC/TP/2012/5.

(a) Identifying common elements for measuring the progress made towards the achievement of the quantified economy-wide emission reduction targets;

(b) Ensuring the comparability of efforts among developed country Parties, taking into account differences in their national circumstances;

9. *Also decides* that the work programme referred to in paragraph 8 above shall commence in 2013 and end in 2014 and include focused expert meetings, technical briefings and submissions from Parties and observer organizations;

10. *Reiterates* its invitation to developed country Parties to submit information on the progress made towards the formulation of their low-emission development strategies;

11. *Requests* developed country Parties to submit any additional information for the clarification of their targets and associated assumptions and conditions as outlined in decision 2/CP.17, paragraph 5, and all Parties to submit their views on the work programme referred to in paragraph 8 above, by 25 March 2013, for compilation by the secretariat into a miscellaneous document;

12. *Also requests* the secretariat to annually update the technical paper referred to in paragraph 6 above, based on information provided by developed country Parties in relation to their targets;

13. *Further requests* the Subsidiary Body for Scientific and Technological Advice to report on the progress of the work programme referred to in paragraph 8 above to the Conference of the Parties at its nineteenth session and on the outcome of that work programme to be considered by the Conference of the Parties at its twentieth session;

B. Nationally appropriate mitigation actions by developing country Parties in the context of sustainable development, supported and enabled by technology, financing and capacity-building, in a measurable, reportable and verifiable manner

Recalling Article 4, paragraphs 1, 3 and 7, of the Convention,

Also recalling decisions 1/CP.13, 1/CP.16 and 2/CP.17,

Noting with grave concern the significant gap between the aggregate effect of Parties' mitigation pledges in terms of global annual emissions of greenhouse gases by 2020 and aggregate emission pathways consistent with having a likely chance of holding the increase in global average temperature below 2 °C or 1.5 °C above pre-industrial levels,

Recalling decision 2/CP.17, in which developing country Parties were encouraged to develop low-emission development strategies, recognizing the need for financial and technical support by developed country Parties for the formulation of these strategies,

Acknowledging the role of biennial update reports and international consultation and analysis,

Also acknowledging the work of the Subsidiary Body for Scientific and Technological Advice on general guidelines for domestic measurement, reporting and verification of domestically supported nationally appropriate mitigation actions, and of the Subsidiary Body for Implementation on the prototype of the registry for nationally appropriate mitigation actions and on the composition, modalities and procedures of the team of technical experts under international consultations and analysis,

14. *Takes note* of the information on nationally appropriate mitigation actions by developing country Parties, as communicated by them and contained in document FCCC/AWGLCA/2011/INF.1;
15. *Also takes note* of the information on nationally appropriate mitigation actions by developing country Parties contained in document FCCC/AWGLCA/2012/MISC.2 and Add.1;
16. *Reiterates* its invitation to developing country Parties that wish to voluntarily inform the Conference of the Parties of their intention to implement nationally appropriate mitigation actions in association with decision 1/CP.16, paragraph 50, to submit information on those actions to the secretariat;
17. *Requests* the secretariat to prepare an information note for the subsidiary bodies that compiles the information contained in documents FCCC/AWGLCA/2011/INF.1 and FCCC/AWGLCA/2012/MISC.2 and Add.1 and to update it with new information as communicated by Parties;
18. *Notes* the outcomes of the process to further the understanding of the diversity of the nationally appropriate mitigation actions of developing country Parties referred to in decision 1/CP.16, paragraph 51, and decision 2/CP.17, paragraphs 33 and 34, as reflected in submissions from Parties and the reports on the relevant workshops held in 2011 and 2012;
19. *Decides* to establish a work programme to further the understanding of the diversity of the nationally appropriate mitigation actions referred to in paragraphs 14–16 above under the Subsidiary Body for Implementation, with a view to facilitating the preparation and implementation of those nationally appropriate mitigation actions, including on:
- (a) More information relating to nationally appropriate mitigation actions, subject to availability as specified in decision 2/CP.17, paragraphs 33 and 34, including underlying assumptions and methodologies, sectors and gases covered, global warming potential values used and estimated mitigation outcomes;
 - (b) Needs for financial, technology and capacity-building support for the preparation and implementation of specific measurable, reportable and verifiable nationally appropriate mitigation actions, as well as support available and provided, access modalities and related experience gained;
 - (c) The extent of the matching of mitigation actions with financial, technology and capacity building support under the registry;
20. *Also decides* that the work programme referred to in paragraph 19 above shall start in 2013 and end in 2014, and should include focused interactive technical discussions, including through in-session workshops with input from experts and submissions from Parties and observer organizations;
21. *Requests* the Subsidiary Body for Implementation to report on progress on the activities referred to in paragraph 19 above to the Conference of the Parties at its nineteenth session and on the outcome of these activities to be considered by the Conference of the Parties at its twentieth session;
22. *Reiterates* its encouragement to developing country Parties who wish to do so to develop low emission and climate resilient development strategies, taking into account national circumstances, recognizing the need for financial and technical support from developed country Parties for the formulation of these strategies, pursuant to decisions 1/CP.16, paragraph 65, and 2/CP.17, paragraph 38;
23. *Requests* the secretariat, at the request of interested developing country Parties, where appropriate, in collaboration with intergovernmental organizations as well as the

relevant bodies under the Convention, to organize regional technical workshops and to prepare technical material to build capacity in the preparation, submission and implementation of nationally appropriate mitigation actions as well as in the formulation of low emission development strategies;

24. *Takes* note of the estimated budgetary implications of the activities to be undertaken by the secretariat pursuant to the provisions contained in paragraph 23 above;

C. Policy approaches and positive incentives on issues relating to reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries

25. *Decides* to undertake a work programme on results-based finance in 2013, including two in-session workshops, subject to the availability of supplementary resources, to progress the full implementation of the activities referred to in decision 1/CP.16, paragraph 70;

26. *Invites* the President of the Conference of the Parties to appoint two co-chairs, one from a developing country Party and one from a developed country Party, for the work programme mentioned in paragraph 25 above;

27. *Requests* the secretariat to assist the co-chairs in supporting the workshops mentioned in paragraph 25 above;

28. *Decides* that the aim of the work programme is to contribute to the ongoing efforts to scale up and improve the effectiveness of finance for the activities referred to in decision 1/CP.16, paragraph 70, taking into account decision 2/CP.17, paragraphs 66 and 67;

29. *Also decides* that the work programme will address options to achieve this objective, taking into account a wide variety of sources as referred to in decision 2/CP.17, paragraph 65, including:

- (a) Ways and means to transfer payments for results-based actions;
- (b) Ways to incentivize non-carbon benefits;
- (c) Ways to improve the coordination of results-based finance;

30. *Agrees* that the work programme will draw upon relevant sources of information and will also take into account lessons learned from other processes under the Convention and from fast-start finance;

31. *Requests* the co-chairs, supported by the secretariat, to coordinate the activities of the work programme with the work under the Subsidiary Body for Scientific and Technological Advice related to methodological guidance for activities relating to reducing emissions from deforestation and forest degradation and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries;

32. *Also requests* the co-chairs, supported by the secretariat, to prepare a report on the workshops referred to in paragraph 25 above for consideration by the Conference of the Parties at its nineteenth session, with a view to the Conference of the Parties adopting a decision on this matter;

33. *Decides* that the work programme shall end by the nineteenth session of the Conference of the Parties unless the Conference of the Parties decides otherwise;

34. *Recognizes* the need to improve the coordination of support for the implementation of the activities referred to in decision 1/CP.16, paragraph 70, and to provide adequate and predictable support, including financial resources and technical and technological support, to developing country Parties for implementation of those activities;

35. *Requests* the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation, at their thirty-eighth sessions, to jointly initiate a process with the aim of addressing the matters outlined in paragraph 34 above, and to consider existing institutional arrangements or potential governance alternatives including a body, a board or a committee, and to make recommendations on these matters to the Conference of the Parties at its nineteenth session;

36. *Invites* Parties and admitted observer organizations to submit to the secretariat, by 25 March 2013, their views on the matters referred to in paragraphs 34 and 35 above, including potential functions, and modalities and procedures;

37. *Requests* the secretariat to compile the submissions from Parties referred to in paragraph 36 above into a miscellaneous document for consideration by the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation at their thirty-eighth sessions;

38. *Also requests* the secretariat to organize, subject to the availability of supplementary resources, an in-session workshop at the thirty-eighth sessions of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation on the matters referred to in paragraphs 34 and 35 above, taking into account the submissions referred to in paragraph 36 above, and to prepare a report on the workshop for consideration by the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation at their thirty-ninth sessions;

39. *Requests* the Subsidiary Body for Scientific and Technological Advice, at its thirty-eighth session, to consider how non-market-based approaches, such as joint mitigation and adaptation approaches for the integral and sustainable management of forests, as referred to in decision 2/CP.17, paragraph 67, could be developed to support the implementation of the activities referred to in decision 1/CP.16, paragraph 70, and to report on this matter to the Conference of the Parties at its nineteenth session;

40. *Also requests* the Subsidiary Body for Scientific and Technological Advice, at its thirty-eighth session, to initiate work on methodological issues related to non-carbon benefits resulting from the implementation of the activities referred to in decision 1/CP.16, paragraph 70, and to report on this matter to the Conference of the Parties at its nineteenth session;

D. Various approaches, including opportunities for using markets, to enhance the cost-effectiveness of, and to promote, mitigation actions, bearing in mind different circumstances of developed and developing countries

Recalling decisions 1/CP.13, 1/CP.16 and 2/CP.17,

1. Framework for various approaches

41. *Acknowledges* that Parties, individually or jointly, may develop and implement various approaches, including opportunities for using markets and non-markets, to enhance the cost-effectiveness of, and to promote, mitigation actions, bearing in mind different circumstances of developed and developing countries;

42. *Re-emphasizes* that, as set out in decision 2/CP.17, paragraph 79, all such approaches must meet standards that deliver real, permanent, additional and verified mitigation outcomes, avoid double counting of effort and achieve a net decrease and/or avoidance of greenhouse gas emissions;
43. *Affirms* that the use of such approaches facilitates an increase in mitigation ambition, particularly by developed countries;
44. *Requests* the Subsidiary Body for Scientific and Technological Advice to conduct a work programme to elaborate a framework for such approaches, drawing on the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on this matter, including the relevant workshop reports and technical paper, and experience of existing mechanisms, with a view to recommending a draft decision to the Conference of the Parties for adoption at its nineteenth session;
45. *Considers* that any such framework will be developed under the authority and guidance of the Conference of the Parties;
46. *Decides* that the work programme referred to in paragraph 44 above shall address the following elements, inter alia:
- (a) The purposes of the framework;
 - (b) The scope of approaches to be included under the framework;
 - (c) A set of criteria and procedures to ensure the environmental integrity of approaches in accordance with decision 2/CP.17, paragraph 79;
 - (d) Technical specifications to avoid double counting through the accurate and consistent recording and tracking of mitigation outcomes;
 - (e) The institutional arrangements for the framework;
47. *Requests* the Subsidiary Body for Scientific and Technological Advice to conduct a work programme to elaborate non-market-based approaches, with a view to recommending a draft decision to the Conference of the Parties for adoption at its nineteenth session;
48. *Invites* Parties and admitted observer organizations to submit to the secretariat, by 25 March 2013, their views on the matters referred to in paragraphs 44–47 above, including information, experience and good practice relevant to the design and operation of various approaches;
49. *Requests* the secretariat to compile and make publicly accessible such information, experience and good practice;

2. New market-based mechanism

50. *Requests* the Subsidiary Body for Scientific and Technological Advice to conduct a work programme to elaborate modalities and procedures for the mechanism defined in decision 2/CP.17, paragraph 83, drawing on the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention on this matter, including the relevant workshop reports and technical paper, and experience of existing mechanisms, with a view to recommending a draft decision to the Conference of the Parties for adoption at its nineteenth session;
51. *Also requests* that the work programme consider possible elements of the mechanism referred to in paragraph 50 above, for example the following:
- (a) Its operation under the guidance and authority of the Conference of the Parties;

- (b) The voluntary participation of Parties in the mechanism;
- (c) Standards that deliver real, permanent, additional, and verified mitigation outcomes, avoid double counting of effort and achieve a net decrease and/or avoidance of greenhouse gas emissions;
- (d) Requirements for the accurate measurement, reporting and verification of emission reductions, emission removals and/or avoided emissions;
- (e) Means to stimulate mitigation across broad segments of the economy, which are defined by the participating Parties and may be on a sectoral and/or project-specific basis;
- (f) Criteria, including the application of conservative methods, for the establishment, approval and periodic adjustment of ambitious reference levels (crediting thresholds and/or trading caps) and for the periodic issuance of units based on mitigation below a crediting threshold or based on a trading cap;
- (g) Criteria for the accurate and consistent recording and tracking of units;
- (h) Supplementarity;
- (i) A share of proceeds to cover administrative expenses and assist developing country Parties that are particularly vulnerable to the adverse effects of climate change to meet the costs of adaptation;
- (j) The promotion of sustainable development;
- (k) The facilitation of the effective participation of private and public entities;
- (l) The facilitation of the prompt start of the mechanism;

52. *Invites* Parties and admitted observer organizations to submit to the secretariat, by 25 March 2013, their views on the matters referred to in paragraphs 50–51 above, including information, experience and good practice relevant to the design and operation of the mechanism referred to in paragraph 50 above;

53. *Requests* the secretariat to compile and make publicly accessible such information, experience and good practice;

E. Economic and social consequences of response measures

Recalling Article 3, paragraph 5, and Article 4, paragraphs 8, 9 and 10, of the Convention, Article 2, paragraph 3, and Article 3, paragraph 14, of the Kyoto Protocol and decisions 1/CP.13, 1/CP.16 and 2/CP.17,

Affirming the importance of the ultimate objective of the Convention and the principles and provisions of the Convention related to the economic and social consequences of response measures, in particular Articles 2, 3 and 4,

Reaffirming that Parties should cooperate to promote a supportive and open international economic system that would lead to sustainable economic growth and development in all Parties, particularly developing country Parties, thus enabling them better to address the problems of climate change; measures taken to combat climate change, including unilateral ones, should not constitute a means of arbitrary or unjustifiable discrimination or a disguised restriction on international trade,

Also reaffirming the importance of avoiding or minimizing negative impacts of response measures on social and economic sectors, promoting a just transition of the workforce, the creation of decent work and quality jobs in accordance with nationally

defined development priorities and strategies, and contributing to building new capacity for both production and service-related jobs in all sectors, promoting economic growth and sustainable development,

54. *Welcomes* the progress made in the work of the forum on the impact of the implementation of response measures being convened under the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation and *invites* Parties to continue to participate in the forum, including the sharing of views on policy issues of concern, such as unilateral measures;

III. Enhanced action on adaptation

Recalling the commitments of Parties under Article 4, paragraphs 1(e), 3 and 4, of the Convention,

Also recalling decisions 1/CP.13, 1/CP.16, 2/CP.17, 5/CP.17, 6/CP.17 and 7/CP.17,

Reaffirming that adaptation is a challenge faced by all Parties, and that enhanced action and international cooperation on adaptation is urgently required to enable and support the implementation of adaptation actions aimed at reducing vulnerability and building resilience in developing country Parties, taking into account the urgent and immediate needs of those developing countries that are particularly vulnerable,

Also reaffirming that adaptation must be addressed with the same priority as mitigation and that appropriate institutional arrangements are required to enhance adaptation action and support,

Acknowledging the progress achieved under the Ad Hoc Working Group on Long-term Cooperative Action under the Convention in enhancing action on adaptation to the adverse effects of climate change through the establishment of the Cancun Adaptation Framework,

Also acknowledging the progress made at the eighteenth and previous sessions of the Conference of the Parties, including the approval of the three-year workplan of the Adaptation Committee, the implementation of the work programme on loss and damage, the process to enable least developed country Parties to formulate and implement national adaptation plans, the invitation for other developing country Parties to employ the modalities formulated to support the national adaptation plan process and the guidance on support for the national adaptation plan process,

55. *Decides* that the Conference of the Parties and its subsidiary bodies and other bodies under the Convention will continue their work to enhance action on adaptation under the Convention, as provided for in the Cancun Adaptation Framework and relevant decisions of the Conference of the Parties;

56. *Also decides*, in progressing such work, to give consideration to issues relating to the coherence of the action of and the support provided to, developing country Parties, the engagement and role of regional centres and networks, and the promotion of livelihood and economic diversification to build resilience in the context of planning, prioritizing and implementing adaptation actions;

57. *Requests* the Adaptation Committee to consider the establishment of an annual adaptation forum, to be held in conjunction with the sessions of the Conference of the Parties, to maintain a high profile for adaptation under the Convention, to raise awareness and ambition with regard to adaptation actions and to facilitate enhanced coherence of adaptation actions;

IV. Enhanced action on technology development and transfer to support action on mitigation and adaptation

Acknowledging the achievements made in relation to technology development and transfer within the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention, including the establishment of the Technology Mechanism, comprising the Technology Executive Committee and the Climate Technology Centre and Network, and the agreed arrangements to enable the Technology Mechanism to become fully operational in 2012, as well as the progress made by the Subsidiary Body for Implementation in selecting the host of the Climate Technology Centre,

Also acknowledging the progress made by the Technology Executive Committee in implementing its workplan for 2012–2013,³

Recalling that the Conference of the Parties, at its seventeenth session, requested each thematic body under the Convention to elaborate its modalities for establishing linkages with other relevant bodies, including the Adaptation Committee, by decision 2/CP.17, paragraph 99, the Board of the Green Climate Fund, by decision 3/CP.17, paragraph 17, and the Technology Executive Committee, by decision 4/CP.17, paragraph 6,

58. *Takes note* of the initial ideas of the Technology Executive Committee on its modalities for establishing linkages with other relevant institutional arrangements under the Convention, including the Advisory Board of the Climate Technology Centre and Network, as presented in its report considered at the thirty-sixth sessions of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation;⁴

59. *Agrees* to initiate, at its nineteenth session, the elaboration and consideration of the relationship between the Technology Executive Committee and the Climate Technology Centre and Network, in order to ensure coherence and synergy within the Technology Mechanism, taking into account the recommendations of the Technology Executive Committee on its linkage modalities, and the modalities and procedures of the Climate Technology Centre and Network, which will be presented for consideration and approval by the Conference of the Parties at its nineteenth session;

60. *Requests* the Technology Executive Committee, in elaborating its future workplan, to initiate the exploration of issues relating to enabling environments and barriers, including those issues referred to in document FCCC/SB/2012/2, paragraph 35;

61. *Recommends* the Advisory Board of the Climate Technology Centre and Network, in considering the programme of work of the Climate Technology Centre and Network, to take into account the following activities:

(a) Providing advice and support to developing country Parties, including capacity-building, in relation to conducting assessments of new and emerging technologies, in accordance with decision 1/CP.16, paragraphs 123(a)(i) and 128(e);

(b) Elaborating, in accordance with decision 2/CP.17, paragraph 135(a), the role of the Climate Technology Centre and Network in identifying currently available climate-friendly technologies for mitigation and adaptation that meet the key low-carbon and climate-resilient development needs of Parties;

62. *Agrees* to further elaborate, at its twentieth session, the linkages between the Technology Mechanism and the financial mechanism of the Convention, taking into consideration the recommendations of the Board of the Green Climate Fund, developed in

³ FCCC/SB/2012/1, annex I.

⁴ FCCC/SB/2012/1.

accordance with decision 3/CP.17, paragraph 17, and of the Technology Executive Committee, developed in accordance with decision 4/CP.17, paragraph 6;

V. Enhanced action on the provision of financial resources and investment to support action on mitigation and adaptation and technology cooperation

Recalling Article 4 of the Convention,

Also recalling decisions 1/CP.16 , 2/CP.17 and 3/CP.17,

Acknowledging the delivery of fast-start finance by developed country Parties to fulfil their collective commitment of USD 30 billion, and *inviting* developed country Parties to expedite its full disbursement,

Also acknowledging the need to scale up climate finance,

Reaffirming that developed country Parties commit, in the context of meaningful mitigation actions and transparency on implementation, to a goal of mobilizing jointly USD 100 billion per year by 2020 to address the needs of developing country Parties, and that funds provided to developing country Parties may come from a wide variety of sources, public and private, bilateral and multilateral, including alternative sources,

Acknowledging the pledges and announcements made by several developed country Parties on the continuation of climate finance post 2012,

63. *Urges* additional developed country Parties to announce climate finance pledges when their financial circumstances permit;

64. *Reiterates* that a significant share of new multilateral funding for adaptation should flow through the Green Climate Fund, and the request to the Board of the Green Climate Fund to balance the allocation of the resources of the Green Climate Fund between adaptation and mitigation activities;

65. *Calls on* developed country Parties to channel a substantial share of public funds to adaptation activities;

66. *Urges* all developed country Parties to scale up climate finance from a wide variety of sources, public and private, bilateral and multilateral, including alternative sources, to the joint goal of mobilizing USD 100 billion per year by 2020;

67. *Invites* developed country Parties to submit, by the nineteenth session of the Conference of the Parties, information on their strategies and approaches for mobilizing scaled-up climate finance to USD 100 billion per year by 2020 in the context of meaningful mitigation actions and transparency on implementation;

68. *Encourages* developed country Parties to further increase their efforts to provide resources of at least to the average annual level of the fast-start finance period for 2013-2015;

69. *Decides* to extend the work programme on long-term finance for one year to the end of 2013, with the aim of informing developed country Parties in their efforts to identify pathways for mobilizing the scaling up of climate finance to USD 100 billion per year by 2020 from public, private and alternative sources in the context of meaningful mitigation actions and transparency on implementation, and informing Parties in enhancing their enabling environments and policy frameworks to facilitate the mobilisation and effective deployment of climate finance in developing countries;

70. *Looks forward* to the implementation of the work programme of the Standing Committee, including the creation of a climate finance forum which will enable all Parties and stakeholders to, inter alia, exchange ideas on scaling up climate finance;

71. *Requests* the Standing Committee, in initiating the first biennial assessment and overview of climate finance flows, to take into account relevant work by other bodies and entities on the measurement, reporting and verification of support and the tracking of climate finance;

72. *Requests* the Board of the Green Climate Fund to expeditiously implement its 2013 workplan, with a view to making the Green Climate Fund operational as soon as possible to enable an early and adequate replenishment process;

73. *Agrees* to consider the progress made in the mobilization of long-term finance at its nineteenth session, through an in-session high-level ministerial dialogue under the Conference of the Parties on efforts being undertaken by developed country Parties to scale up the mobilization of climate finance after 2012, informed by inputs from Parties, technical bodies and processes under the Convention, as well as the outcomes of the extended work programme on long-term finance;

VI. Enhanced action on capacity-building

Recalling decisions 2/CP.7, 2/CP.10, 4/CP.12, 1/CP.16, 2/CP.17 and 13/CP.17,

Recognizing the success of the first meeting of the Durban Forum for in-depth discussion on capacity-building, held during the thirty-sixth session of the Subsidiary Body for Implementation,

Also recognizing the important role that the Durban Forum plays in enhancing the monitoring and review of the effectiveness of capacity-building,

74. *Decides* that the second meeting of the Durban Forum, to be held during the thirty-eighth session of the Subsidiary Body for Implementation, shall explore potential ways to further enhance the implementation of capacity-building at the national level;

75. *Invites* Parties to submit to the secretariat, by 18 February 2013, the following:

(a) Information on the activities that they have undertaken pursuant to decisions 2/CP.7, 2/CP.10, 1/CP.16 and 2/CP.17, including, inter alia, information on needs and gaps, experiences and lessons learned;

(b) Their views on specific issues to be considered at the second meeting of the Durban Forum;

(c) Their views on the potential enhancement of the organization of the Durban Forum;

76. *Also invites* intergovernmental and non-governmental organizations and the private sector to submit to the secretariat, by 18 February 2013, information on activities undertaken in support of the implementation of the framework for capacity-building in developing countries established under decision 2/CP.7, including their experiences and lessons learned;

77. *Requests* the Subsidiary Body for Implementation:

(a) To take into account the information and views contained in the submissions referred to in paragraph 75 above in organizing the second and subsequent meetings of the Durban Forum;

(b) To explore potential ways to further enhance the implementation of capacity-building at the national level, including through the Durban Forum;

78. *Also requests* the secretariat to continue to prepare the reports referred to in decision 2/CP.7, paragraph 9(c), and decision 4/CP.12, paragraph 1(c), as well as the compilation and synthesis reports referred to in decision 2/CP.17, paragraphs 146 and 150, and to make the reports available to the Subsidiary Body for Implementation at its sessions coinciding with the meetings of the Durban Forum, in order to facilitate the discussions at those meetings;

VII. Review: further definition of its scope and development of its modalities

Recalling the ultimate objective of the Convention, contained in its Article 2,

Also recalling decision 1/CP.16, in particular paragraph 4, which recognized the need to consider strengthening the long-term global goal of holding the increase in global average temperature below 2 °C above pre-industrial levels on the basis of the best available scientific knowledge, including in relation to a global average temperature rise of 1.5 °C,

Recalling decision 2/CP.17, paragraphs 157–167, in particular paragraphs 160 and 161, which specify the considerations and inputs to be taken into account during the first review from 2013 to 2015,

Affirming that the review is not a review of the Convention itself,

Recalling that the first review should start in 2013 and be concluded in 2015, when the Conference of the Parties shall take appropriate action based on the review,

79. *Decides* that the review should periodically assess, in accordance with the relevant principles and provisions of the Convention, the following:

(a) The adequacy of the long-term global goal in the light of the ultimate objective of the Convention;

(b) Overall progress made towards achieving the long-term global goal, including a consideration of the implementation of the commitments under the Convention;

80. *Invites* the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation to establish a joint contact group to assist the Conference of the Parties in conducting the review, supported by expert consideration of the inputs referred to in decision 2/CP.17, paragraph 161, through, inter alia, workshops and other in-session and intersessional activities in accordance with paragraph 162 of that decision;

81. *Requests* the Chairs of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation, with the support of the secretariat, to take the steps necessary in 2013 for the subsidiary bodies to start the consideration of inputs without delay at their thirty-eighth sessions;

82. *Notes* that the Fifth Assessment Report of the Intergovernmental Panel on Climate Change is a key input to the review and that it will become available in stages during 2013 and 2014 for consideration under the review;

83. *Invites* the subsidiary bodies, beginning in 2013 and with the assistance of the secretariat, to gather and compile information relevant for conducting the review, including the sources listed in decision 2/CP.17, paragraph 161;

84. *Also invites* the subsidiary bodies to identify information gaps and, where necessary, make requests for additional inputs and studies that would be useful for conducting the review;
85. *Resolves* to engage in a structured expert dialogue that aims to support the work of the joint contact group referred to in paragraph 80 above through a focused exchange of views, information and ideas, to ensure the scientific integrity of the review;
86. *Decides* to establish such a dialogue under the guidance of the subsidiary bodies on aspects related to the review in order:
- (a) To consider on an ongoing basis throughout the review the material from the Fifth Assessment Report of the Intergovernmental Panel on Climate Change as it becomes available, as well as relevant inputs referred to in decision 2/CP.17, paragraph 161, that are published after the cut-off dates of the Fifth Assessment Report, through regular scientific workshops and expert meetings and with the participation of Parties and experts, particularly from the Intergovernmental Panel on Climate Change;
 - (b) To assist the subsidiary bodies with the preparation and consideration of the synthesis reports on the review;
87. *Also decides* that:
- (a) Workshops will be open to all Parties and observers, held pre-sessionally, where possible, and organized by the secretariat, subject to the availability of resources;
 - (b) The dialogue will be facilitated by two co-facilitators, one from a Party included in Annex I to the Convention and one from a Party not included in Annex I to the Convention, who will be selected by each group, respectively;
 - (c) The co-facilitators will report on work done under the dialogue to the nineteenth and twentieth sessions of the Conference of the Parties, through the subsidiary bodies;
88. *Decides* that the review should be conducted in a transparent manner and with the full participation of Parties, which should be ensured through the provision of adequate funding for the participation and representation of eligible developing country Parties in all phases of the review and in all activities, meetings, workshops and sessions of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation that are part of the review process;
89. *Also decides* that preparations for the review should be conducted in an effective and efficient manner in order to avoid duplication of ongoing work, and that the Conference of the Parties and the subsidiary bodies may wish to take into account the results of work conducted under the Convention, its Kyoto Protocol and the subsidiary bodies in preparing for the review;
90. *Requests* the Chairs of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation to organize the review in a flexible and appropriate manner in line with decision 2/CP.17, allowing for adequate and timely consideration of the inputs to the review as they become available;
91. *Decides* that the information gathering and compilation phase referred to in decision 2/CP.17, paragraph 164, shall run continuously from the start of the review in 2013 and should end not later than six months before the conclusion of the review in 2015, unless critical information emerges during this period that requires consideration;

VIII. Other matters

A. Parties included in Annex I to the Convention undergoing the process of transition to a market economy

Recalling decision 2/CP.17, chapter VIII.A,

Taking into account national economic and social circumstances of Parties included in Annex I to the Convention undergoing the process of transition to a market economy and the need to enable them to continue their economic development in a sustainable, low-emission manner,

Recognizing that most of these Parties currently still lack the financial resources to be able to provide support to Parties not included in Annex I to the Convention for meeting their costs of mitigation, adaptation, technology transfer and capacity-building,

Recognizing that various actions to address climate change can be justified economically in their own right and can also help in solving other environmental problems,

92. *Decides* that a certain degree of flexibility shall be allowed to Parties included in Annex I to the Convention (Annex I Parties) undergoing the process of transition to a market economy with regard to the provision of new and additional financial resources, technology transfer and capacity-building to Parties not included in Annex I to the Convention (non-Annex I Parties) in order to enable them to enhance their implementation of mitigation and adaptation actions, and that this flexibility shall be extended until 2020, when a protocol, another legal instrument or an agreed outcome with legal force under the Convention applicable to all Parties comes into effect;

93. *Invites* Annex I Parties undergoing the process of transition to a market economy which are in a position to do so to provide new and additional financial resources, technology transfer and capacity-building to non-Annex I Parties on a voluntary basis;

B. Parties included in Annex I to the Convention whose special circumstances are recognized by the Conference of the Parties

Reaffirming decisions 26/CP.7, 1/CP.16 and 2/CP.17, which recognized that Turkey is in a situation different from that of other Parties included in Annex I to the Convention,

Recalling that deep cuts in global greenhouse gas emissions are required and that closing the ambition gap is a matter of urgency,

Recognizing that various actions to address climate change can be justified economically in their own right and can also help in solving other environmental problems in accordance with the objectives of sustainable development,

Reaffirming the importance of financial, technological and capacity-building support to Parties included in Annex I to the Convention whose special circumstances are recognized by the Conference of the Parties in order to assist these Parties in implementing the Convention,

94. *Urges* Parties included in Annex II to the Convention which are in a position to do so, through multilateral agencies, including the Global Environment Facility within its mandate, relevant intergovernmental organizations, international financial institutions, other partnerships and initiatives, bilateral agencies and the private sector, or through any further arrangements, as appropriate, to provide financial, technological, technical and capacity-building support to Parties included in Annex I to the Convention (Annex I

Parties) whose special circumstances are recognized by the Conference of the Parties in order to assist them in implementing their national climate change strategies and action plans and developing their low-emission development strategies or plans in accordance with decision 1/CP.16;

95. *Requests* the secretariat to prepare, for consideration by the Subsidiary Body for Implementation at its thirty-eighth session, a technical paper identifying opportunities for Annex I Parties whose special circumstances are recognized by the Conference of the Parties to benefit, at least until 2020, from support from relevant bodies established under the Convention and other relevant bodies and institutions to enhance mitigation, adaptation, technology, capacity-building and access to finance;

96. *Also requests* the Subsidiary Body for Implementation, on the basis of the technical paper referred to in paragraph 95 above, to develop recommendations on this matter at its thirty-ninth session for consideration by the Conference of the Parties at its nineteenth session;

IX. Budgetary implications

97. *Takes note* of the estimated budgetary implications of the activities to be undertaken by the secretariat pursuant to the provisions contained in paragraphs 1–96 above;

98. *Requests* that the actions of the secretariat called for in this decision be undertaken subject to the availability of financial resources

*9th plenary meeting
8 December 2012*

Decision 2/CP.18

Advancing the Durban Platform

The Conference of the Parties,

Recalling decision 1/CP.17,

Recognizing that climate change represents an urgent and potentially irreversible threat to human societies and the planet and thus requires to be urgently addressed by all Parties, and acknowledging that the global nature of climate change calls for the widest possible cooperation by all countries and their participation in an effective and appropriate international response, with a view to accelerating the reduction of global greenhouse gas emissions,

Noting with grave concern the significant gap between the aggregate effect of Parties' mitigation pledges in terms of global annual emissions of greenhouse gases by 2020 and aggregate emission pathways consistent with having a likely chance of holding the increase in global average temperature below 2 °C or 1.5 °C above pre-industrial levels,

Recognizing that fulfilling the ultimate objective of the Convention will require strengthening of the multilateral, rules-based regime under the Convention,

Noting decision 1/CMP.8,

Also noting decision 1/CP.18,

Acknowledging that the work of the Ad Hoc Working Group on the Durban Platform for Enhanced Action shall be guided by the principles of the Convention,

1. *Welcomes with high appreciation* the successful start, as a matter of urgency, of the work of the Ad Hoc Working Group on the Durban Platform for Enhanced Action, including the workplan on enhancing mitigation ambition, and the progress that has been made in 2012;
2. *Endorses* the arrangement for the Bureau of the Ad Hoc Working Group on the Durban Platform for Enhanced Action, as set out in document FCCC/ADP/2012/2, paragraph 7, recognizing that this is an exception to rule 27, paragraphs 5 and 6, of the draft rules of procedure being applied;
3. *Notes* the agenda adopted by the Ad Hoc Working Group on the Durban Platform for Enhanced Action, as set out in document FCCC/ADP/2012/2, paragraph 13, including the initiation of two workstreams: one on matters related to paragraphs 2 to 6 of decision 1/CP.17 (agenda item 3(a)) and one on matters related to paragraphs 7 and 8 of the same decision (agenda item 3(b));
4. *Determined* to adopt a protocol, another legal instrument or an agreed outcome with legal force under the Convention applicable to all Parties at its twenty-first session, due to be held from Wednesday, 2 December to Sunday, 13 December 2015, and for it to come into effect and be implemented from 2020;
5. *Decides* to identify and to explore in 2013 options for a range of actions that can close the pre-2020 ambition gap with a view to identifying further activities for its plan of work in 2014 ensuring the highest possible mitigation efforts under the Convention;
6. *Welcomes* the planning of work of the Ad Hoc Working Group on the Durban Platform for Enhanced Action, as set out in document FCCC/ADP/2012/L.4, including,

inter alia, on mitigation, adaptation, finance, technology development and transfer, capacity-building, and transparency of action and support;

7. *Underlines* the importance of high-level engagement on matters related to decision 1/CP.17;

8. *Welcomes* the announcement of the Secretary-General of the United Nations at the eighteenth session of the Conference of the Parties and the eighth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol to convene world leaders in 2014;

9. *Decides* that the Ad Hoc Working Group on the Durban Platform for Enhanced Action will consider elements for a draft negotiating text no later than at its session to be held in conjunction with the twentieth session of the Conference of the Parties, due to be held from Wednesday, 3 December to Sunday, 14 December 2014, with a view to making available a negotiating text before May 2015.

*9th plenary meeting
8 December 2012*

Decision 3/CP.18

Approaches to address loss and damage associated with climate change impacts in developing countries that are particularly vulnerable to the adverse effects of climate change to enhance adaptive capacity

The Conference of the Parties,

Recalling the relevant provisions of the Convention,

Also recalling decisions 1/CP.16 and 7/CP.17 and the relevant conclusions of the Subsidiary Body for Implementation at its thirty-fourth and thirty-sixth sessions,

Recognizing the need to strengthen international cooperation and expertise in order to understand and reduce loss and damage associated with the adverse effects of climate change, including impacts related to extreme weather events and slow onset events,¹

Highlighting the important and fundamental role of the Convention in addressing loss and damage associated with climate change impacts, especially in developing countries that are particularly vulnerable to the adverse effects of climate change, including by promoting leadership, collaboration and cooperation, at the national, regional and international levels and for a broad range of sectors and ecosystems, in order to enable coherent and synergistic approaches to address such loss and damage,

Noting the relevant work undertaken by other bodies, work programmes and workplans, and processes under the Convention,

Taking note of the relevant knowledge and ongoing work outside of the Convention, including the Special Report of the Intergovernmental Panel on Climate Change, *Managing the Risks of Extreme Events and Disasters to Advance Climate Change Adaptation*,² the *Global Assessment Report on Disaster Risk Reduction*,³ the Hyogo Framework for Action⁴ and the Global Framework for Climate Services of the World Meteorological Organization,

Reaffirming the need for Parties to take precautionary measures, in accordance with the principles and provisions of the Convention, to anticipate, prevent or minimize the causes of climate change and mitigate its adverse effects, and underlining that the lack of full scientific certainty should not be used as reason for postponing action,

Appreciating the progress made in the implementation, and the importance of the continuation, of the work programme to address the loss and damage associated with the adverse effects of climate change,

Acknowledging ongoing initiatives relevant to loss and damage associated with the adverse effects of climate change at the national, international and regional levels and that there is a need to scale up these efforts, including by enhancing support and coordination in the broader context of climate-resilient sustainable development,

1. *Acknowledges* the need to enhance support, including finance, technology and capacity-building, for relevant actions;

¹ Decision 1/CP.16, paragraph 25.

² <<http://ipcc-wg2.gov/SREX/>>.

³ <<http://www.preventionweb.net/english/hyogo/gar/2011/en/home/index.html>>.

⁴ <<http://www.unisdr.org/eng/hfa/hfa.htm>>.

2. *Notes* that a range of approaches, methods and tools is available to assess the risk of and to respond to loss and damage associated with the adverse effects of climate change, and that their selection depends upon regional, national and local capacity, context and circumstances, and involves the engagement of all relevant stakeholders;
3. *Also notes* that there are important linkages between extreme weather events and slow onset events, and the importance of building comprehensive climate risk management approaches;
4. *Agrees* that comprehensive, inclusive and strategic responses are needed to address loss and damage associated with the adverse effects of climate change;
5. *Also agrees* that the role of the Convention in promoting the implementation of approaches to address loss and damage associated with the adverse effects of climate change includes, inter alia, the following:
 - (a) Enhancing knowledge and understanding of comprehensive risk management approaches to address loss and damage associated with the adverse effects of climate change, including slow onset impacts;
 - (b) Strengthening dialogue, coordination, coherence and synergies among relevant stakeholders;
 - (c) Enhancing action and support, including finance, technology and capacity-building, to address loss and damage associated with the adverse effects of climate change;
6. *Invites* all Parties, taking into account common but differentiated responsibilities and respective capabilities and specific national and regional development priorities, objectives and circumstances, to enhance action on addressing loss and damage associated with the adverse effects of climate change, taking into account national development processes, by undertaking, inter alia, the following:
 - (a) Assessing the risk of loss and damage associated with the adverse effects of climate change, including slow onset impacts;
 - (b) Identifying options and designing and implementing country-driven risk management strategies and approaches, including risk reduction, and risk transfer and risk-sharing mechanisms;
 - (c) The systematic observation of, and data collection on, the impacts of climate change, in particular slow onset impacts, and accounting for losses, as appropriate;
 - (d) Implementing comprehensive climate risk management approaches, including scaling up and replicating good practices and pilot initiatives;
 - (e) Promoting an enabling environment that would encourage investment and the involvement of relevant stakeholders in climate risk management;
 - (f) Involving vulnerable communities and populations, and civil society, the private sector and other relevant stakeholders, in the assessment of and response to loss and damage;
 - (g) Enhancing access to, sharing and the use of data, at the regional, national and subnational levels, such as hydrometeorological data and metadata, on a voluntary basis, to facilitate the assessment and management of climate-related risk;
7. *Acknowledges* the further work to advance the understanding of and expertise on loss and damage, which includes, inter alia, the following:
 - (a) Enhancing the understanding of:
 - (i) The risk of slow onset events, and approaches to address them;

- (ii) Non-economic losses and damages;
 - (iii) How loss and damage associated with the adverse effects of climate change affects those segments of the population that are already vulnerable owing to geography, gender, age, indigenous or minority status, or disability, and how the implementation of approaches to address loss and damage can benefit those segments of the population;
 - (iv) How to identify and develop appropriate approaches to address loss and damage associated with the adverse effects of climate change, including to address slow onset events and extreme weather events, including through risk reduction, risk sharing and risk transfer tools, and approaches to rehabilitate from loss and damage associated with the adverse effects of climate change;
 - (v) How approaches to address loss and damage associated with the impacts of climate change may be integrated into climate-resilient development processes;
 - (vi) How impacts of climate change are affecting patterns of migration, displacement and human mobility;
- (b) Strengthening and supporting the collection and management of relevant data, including gender-disaggregated data, for assessing the risk of loss and damage associated with the adverse effects of climate change;
- (c) Enhancing coordination, synergies and linkages among various organizations, institutions and frameworks, to enable the development and support of approaches to address loss and damage, including slow onset events and comprehensive climate risk management strategies, including risk transfer tools;
- (d) Strengthening and promoting regional collaboration, centres and networks on strategies and approaches, including to address loss and damage associated with the adverse effects of climate change, including slow onset events, including through risk reduction, risk sharing and risk transfer initiatives;
- (e) Enhanced capacity-building at the national and regional levels to address loss and damage associated with the adverse effects of climate change;
- (f) Strengthening institutional arrangements at the national, regional and international levels to address loss and damage associated with the adverse effects of climate change;
8. *Requests* developed country Parties to provide developing country Parties with finance, technology and capacity-building, in accordance with decision 1/CP.16 and other relevant decisions of the Conference of the Parties;
9. *Decides* to establish, at its nineteenth session, institutional arrangements, such as an international mechanism, including functions and modalities, elaborated in accordance with the role of the Convention as defined in paragraph 5 above, to address loss and damage associated with the impacts of climate change in developing countries that are particularly vulnerable to the adverse effects of climate change;
10. *Requests* the secretariat to carry out the following interim activities under the work programme on loss and damage, prior to the thirty-ninth session of the Subsidiary Body for Implementation:
- (a) An expert meeting to consider future needs, including capacity needs associated with possible approaches to address slow onset events, and to prepare a report for consideration by the Subsidiary Body for Implementation at its thirty-ninth session;
 - (b) Preparation of a technical paper on non-economic losses;

(c) Preparation of a technical paper on gaps in existing institutional arrangements within and outside of the Convention to address loss and damage, including those related to slow onset events;

11. *Requests* the Subsidiary Body for Implementation to consider the technical paper referred to in paragraph 10(c) above in developing the arrangements referred to in paragraph 9 above;

12. *Also requests* the Subsidiary Body for Implementation to elaborate, at its thirty-eighth session, activities under the work programme on loss and damage, to further the understanding of and expertise on loss and damage associated with the adverse effects of climate change, taking into account the provisions contained in paragraph 7 above;

13. *Takes note* of the estimated budgetary implications of the activities to be undertaken by the secretariat pursuant to the provisions contained in this decision;

14. *Further requests* that the actions of the secretariat called for in this decision be undertaken subject to the availability of financial resources; in the absence of adequate additional funding, as indicated in the budgetary estimates referred to above, the secretariat may not be in a position to undertake the requested activities.

*9th plenary meeting
8 December 2012*

Decision 4/CP.18

Work programme on long-term finance

The Conference of the Parties,

Recalling Articles 4 and 11 of the Convention,

Also recalling decisions 1/CP.13 (Bali Action Plan), 1/CP.16 and 2/CP.17,

Recognizing the contribution of the work programme on long-term finance to the ongoing efforts to scale up the mobilization of climate change finance after 2012 pursuant to decision 2/CP.17,

1. *Takes note* of the report by the co-chairs on the workshops of the work programme on long-term finance;¹
2. *Decides* to extend the work programme on long-term finance for one year to the end of 2013, with the aim of informing developed country Parties in their efforts to identify pathways for mobilizing the scaling up of climate finance to USD 100 billion per year by 2020 from public, private and alternative sources in the context of meaningful mitigation actions and transparency on implementation, and informing Parties in enhancing their enabling environments and policy frameworks to facilitate the mobilization and effective deployment of climate finance in developing countries;
3. *Invites* the President of the Conference of the Parties to appoint two co-chairs, one from a developing country Party and one from a developed country Party, for the work programme mentioned in paragraph 2 above;
4. *Requests* the co-chairs to report back to the Conference of the Parties at its nineteenth session on the outcomes of the work programme;
5. *Invites* Parties and the thematic and expert bodies under the Convention to submit to the secretariat, by 21 March 2013, their views on long-term finance, taking into account the report on the workshops of the work programme on long-term finance, with a view to the secretariat preparing an information document for consideration by the co-chairs of the work programme;
6. *Requests* the Standing Committee to support the implementation of the work programme by providing expert inputs;
7. *Decides* that the work programme on long-term finance shall be open and transparent;
8. *Agrees* to continue the existing processes within the Convention for assessing and reviewing the needs of developing country Parties for financial resources to address climate change and its adverse effects, including the identification of options for the mobilization of these resources, and the adequacy, predictability, sustainability and accessibility of these resources.

*9th plenary meeting
8 December 2012*

¹ FCCC/CP/2012/3.

Decision 5/CP.18

Report of the Standing Committee

The Conference of the Parties,

Recalling Articles 4 and 11 of the Convention,

Also recalling decision 2/CP.17, paragraph 120, in which it was decided that the Standing Committee shall report and make recommendations to the Conference of the Parties, for its consideration, at each ordinary session of the Conference of the Parties, on all aspects of its work,

1. *Welcomes* the operationalization of the Standing Committee in accordance with decision 2/CP.17, paragraphs 120–125, and the progress achieved by the Standing Committee;
2. *Notes with appreciation* the report of the Standing Committee on the outcomes of its two meetings in 2012,¹ including on the elaboration of its working modalities, its work programme for 2013–2015, including the organization of the forum of the Standing Committee, and its recommendations regarding guidance to the operating entities of the financial mechanism of the Convention;
3. *Endorses* the work programme of the Standing Committee for 2013–2015, contained in annex II to the report of the Standing Committee;
4. *Welcomes* the work on the forum of the Standing Committee and encourages the Standing Committee to facilitate the participation of the private sector, financial institutions and academia in the forum;
5. *Requests* the Standing Committee to report on the forum in its report to the Conference of the Parties;
6. *Adopts* the revised composition and working modalities of the Standing Committee as contained in annex IV to the report of the Standing Committee;
7. *Decides* that the Chair and Vice-Chair of the Standing Committee shall serve as Co-Chairs of the Standing Committee, effective from the first meeting of the Standing Committee in 2013;
8. *Welcomes* the financial contributions provided by the European Union and the Government of Norway to support the work of the Standing Committee;
9. *Decides* that the name of the Standing Committee shall be changed to the Standing Committee on Finance;
10. *Invites* developed country Parties to submit to the secretariat, by May 2014, information on the appropriate methodologies and systems used to measure and track climate finance;
11. *Requests* the Standing Committee, in preparing the first biennial assessment and overview of financial flows, to consider ways of strengthening methodologies for reporting climate finance;

¹ FCCC/CP/2012/4.

12. *Requests* the Standing Committee to consider the guidance provided to the Standing Committee in other decisions of the Conference of the Parties.

*9th plenary meeting
8 December 2012*

Decision 6/CP.18

Report of the Green Climate Fund to the Conference of the Parties and guidance to the Green Climate Fund

The Conference of the Parties,

Recalling Article 11 of the Convention,

Also recalling decision 1/CP.16, paragraph 102, and decision 3/CP.17, paragraphs 2–6, 12 and 13,

Reaffirming that a significant share of new multilateral funding for adaptation should flow through the Green Climate Fund,

Reiterating the request to the Board of the Green Climate Fund to balance the allocation of the Green Climate Fund resources between adaptation and mitigation activities,

Taking into account the initial guidance to the operating entities of the financial mechanism of the Convention contained in decision 11/CP.1,

Taking note of the ongoing efforts to operationalize the Green Climate Fund,

Welcoming the nomination of the Board of the Green Climate Fund,

Emphasizing the important role of the Green Climate Fund in the climate finance architecture,

Noting with appreciation the progress of the Board of the Green Climate Fund in operationalizing the Green Climate Fund, the prompt set-up of the interim secretariat of the Fund by the UNFCCC and Global Environment Facility and the establishment of the Financial Intermediary Fund for the Green Climate Fund by the World Bank serving as interim trustee of the Fund,

1. *Takes note with appreciation* of the first annual report of the Board of the Green Climate Fund to the Conference of the Parties;¹
2. *Expresses* its gratitude to Germany, Mexico, Namibia, Poland, Republic of Korea and Switzerland for submitting their offers to host the Green Climate Fund;
3. *Welcomes and endorses* the consensus decision of the Board of the Green Climate Fund to select Songdo, Incheon, Republic of Korea as the host of the Green Climate Fund, on the basis of an open and transparent process;
4. *Requests* the Board of the Green Climate Fund and the Republic of Korea to conclude, in accordance with decision 3/CP.17, annex, paragraphs 7 and 8, the legal and administrative arrangements for hosting the Green Climate Fund, and to ensure that juridical personality and legal capacity are conferred to the Green Climate Fund, and the necessary privileges and immunities are granted to the Green Climate Fund and its officials in an expedited manner;
5. *Notes* the progress made by the Board of the Green Climate Fund and calls on the Board to ensure that the Green Climate Fund expeditiously implements its workplan and develops the policies, eligibility criteria and programmes of the Green Climate Fund, taking

¹ FCCC/CP/2012/5.

into account the guidance of the Conference of the Parties with a view to making the Green Climate Fund operational as soon as possible;

6. *Decides* to provide initial guidance to the Green Climate Fund at its nineteenth session;

7. *Requests* the Board of the Green Climate Fund, in its report to the Conference of the Parties at its nineteenth session, to report on the implementation of decision 3/CP.17 in which inter alia requested the Board:

(a) To develop a transparent no-objection procedure to be conducted through national designated authorities referred to in paragraph 46 of the governing instrument,² in order to ensure consistency with national climate strategies and plans and a country-driven approach and to provide for effective direct and indirect public and private-sector financing by the Green Climate Fund, and to determine this procedure prior to approval of funding proposals by the Fund;

(b) To balance the allocation of the resources of the Green Climate Fund between adaptation and mitigation activities;

(c) To secure funding for the Green Climate Fund, taking into account paragraphs 29 and 30 of the governing instrument, to facilitate its expeditious operationalization, and to establish the necessary policies and procedures to enable an early and adequate replenishment process;

(d) To establish the independent secretariat of the Green Climate Fund in the host country in an expedited manner as soon as possible, in accordance with paragraph 19 of the governing instrument;

(e) To select the trustee of the Green Climate Fund through an open, transparent and competitive bidding process in a timely manner to ensure that there is no discontinuity in trustee services;

(f) To initiate a process to collaborate with the Adaptation Committee and the Technology Executive Committee, as well as other relevant thematic bodies under the Convention, to define linkages between the Fund and these bodies, as appropriate;

8. *Looks forward* to the appointment of the Executive Director of the Green Climate Fund in accordance with decision 3/CP.17;

9. *Reaffirms* its decision that the interim arrangements³ should terminate no later than the nineteenth session of the Conference of the Parties;

10. *Requests* the Board of the Green Climate Fund to continue to include in its report to the Conference of the Parties the specific steps that it has undertaken to implement the request contained in paragraphs 5 and 7 above, as well as information on the status of financial contributions for the administrative budget of the Green Climate Fund, including the administrative costs of the Board of the Green Climate Fund and its interim secretariat;

11. *Expresses its appreciation* for the cumulative contributions of USD 4.298 million as at 4 December 2012 from the Governments of Australia, Finland, Netherlands, Republic of Korea and Sweden for the administrative budget of the Green Climate Fund to the Green Climate Fund Trust Fund established by the interim trustee of the Fund;

12. *Also expresses its appreciation* to the Governments of Denmark, Germany, Norway, Spain, Switzerland and United States of America for approving the total amount of

² Decision 3/CP.17, annex.

³ Decision 3/CP.17 paragraph 19.

approximately USD 1.32 million carried over from contributions made to the Transitional Committee for further use in 2012 by the interim secretariat of the Green Climate Fund;

13. *Welcomes* the cumulative pledges of USD 4.554 million for the administrative budget of the Green Climate Fund made by the Governments of Denmark, France, Germany, Japan, Norway and the United Kingdom of Great Britain and Northern Ireland as at 29 November 2012 and expects their early fulfilment;

14. *Requests* the Board of the Green Climate Fund to expeditiously implement its 2013 workplan, with a view to making the Green Climate Fund operational as soon as possible, which will enable an early and adequate replenishment process;

15. *Requests* the Board of the Green Climate Fund to make available its annual reports to the Conference of the Parties as early as possible, and no later than 12 weeks prior to a session of the Conference of the Parties, for consideration by Parties;

16. *Invites* Parties to submit to the secretariat annually, and no later than 10 weeks prior to the subsequent session of the Conference of the Parties, their views and recommendations in writing on the elements to be taken into account in developing guidance to the operating entities of the financial mechanism of the Convention;

17. *Requests* the secretariat to compile the submissions referred to in paragraph 16 above into a miscellaneous document for consideration by Parties in developing guidance to the operating entities of the financial mechanism of the Convention.

*9th plenary meeting
8 December 2012*

Decision 7/CP.18

Arrangements between the Conference of the Parties and the Green Climate Fund

The Conference of the Parties,

Recalling Article 11, in particular its paragraph 3;

Also recalling decisions 1/CP.16 and 3/CP.17, which, inter alia, designated the Green Climate Fund as an operating entity of the financial mechanism of the Convention,

1. *Recognizes* that the provisions contained in Article 11, paragraph 3, and decision 3/CP.17 and the governing instrument of the Green Climate Fund contained in the annex to 3/CP.17 form the basis for arrangements between the Conference of the Parties and the Green Climate Fund to ensure that the Green Climate Fund is accountable to and functions under the guidance of the Conference of the Parties to support projects, programmes, policies and other activities in developing country Parties;
2. *Requests* the Standing Committee and the Board of the Green Climate Fund to develop arrangements between the Conference of the Parties and the Green Climate Fund in accordance with the governing instrument of the Green Climate Fund and Article 11, paragraph 3, for agreement by the Board and subsequent agreement by the Conference of the Parties at its nineteenth session.

*9th plenary meeting
8 December 2012*

Decision 8/CP.18

Review of the financial mechanism

The Conference of the Parties,

Taking into account Article 4, paragraphs 3, 4, 5, 8 and 9, Article 7 and Article 11, paragraph 4, of the Convention,

Recalling decisions 3/CP.4, 2/CP.12, 6/CP.13, 2/CP.16 and 3/CP.17,

1. *Decides* to initiate the fifth review of the financial mechanism, in accordance with the criteria contained in the guidelines annexed to decisions 3/CP.4 and 6/CP.13 and further guidelines that may be developed;
2. *Requests* the Standing Committee, in accordance with its mandate contained in decision 2/CP.17, paragraph 121(e), and taking into account existing guidelines and recent developments within the financial mechanism of the Convention, drawing upon information from, inter alia, fast-start finance, the work of the Green Climate Fund, taking into account its early stage of operationalization, the initial review of the Adaptation Fund and the work programme on long-term finance, to further amend the guidelines for the review of the financial mechanism, and to provide draft updated guidelines for consideration and adoption by the Conference of the Parties at its nineteenth session, with a view to finalizing the fifth review of the financial mechanism for consideration by the Conference of the Parties at its twentieth session;
3. *Also requests* the Standing Committee to provide periodic updates on the status of its work relating to the fifth review of the financial mechanism to the Subsidiary Body for Implementation for its consideration, beginning at its thirty-eighth session, with the aim of ensuring an inclusive and transparent process;
4. *Invites* Parties to submit to the secretariat by 1 March 2013 for consideration by the Standing Committee their views and recommendations on elements in the development of the further guidelines for the fifth review of the financial mechanism.

*9th plenary meeting
7 December 2012*

Decision 9/CP.18

Report of the Global Environment Facility to the Conference of the Parties and additional guidance to the Global Environment Facility

The Conference of the Parties,

Recalling decisions 12/CP.2, 3/CP.16, 5/CP.16, 7/CP.16 and 11/CP.17,

Also recalling decision 5/CP.7, paragraph 7(a)(iv),

Taking note with appreciation of the annual report of the Global Environment Facility to the Conference of the Parties, which provides information on its efforts to improve the effectiveness and efficiency of the allocation of funding,¹

Noting the recommendations of the Standing Committee with regard to the provision of draft guidance for the operating entities of the financial mechanism of the Convention,

Taking note of the decisions taken at the 43rd meeting of the Council of the Global Environment Facility, in particular the decision on Financial Projections for GEF-5 Programming Options,

1. *Requests* the Global Environment Facility, as an operating entity of the financial mechanism of the Convention:

(a) To make available support to non-Annex I Parties for preparing their subsequent BURs, fully taking into account decision 2/CP.17, paragraph 41(a) and (e);

(b) To provide funds for technical support for the preparation of BURs from non-Annex I Parties, similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their BURs;

(c) Through the Special Climate Change Fund, to consider how to enable activities for the preparation of the national adaptation plan process for interested developing country Parties that are not least developed country Parties, as it requested the Global Environment Facility, through the Least Developed Countries Fund, to consider how to enable activities for the preparation of the national adaptation plan process for the least developed country Parties in decision 5/CP.17, paragraph 22;²

2. *Also requests* the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, in its annual report to the Conference of the Parties, to include information on the steps it has taken to implement the guidance provided in paragraph 1 above;

3. *Invites* the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to submit to the Subsidiary Body for Implementation at its thirty-eighth session, through the secretariat, information on the status of resources available for programming in its fifth replenishment period, and on any possible contingency measures regarding the allocation of resources for implementing climate change projects;

¹ FCCC/CP/2012/6 and Add.1 and 2.

² FCCC/SB/2012/3, paragraph 27(e).

4. *Urges* contributing Parties to fulfil their financial pledges for the fifth replenishment period of the Global Environment Facility;
5. *Also urges* developed country Parties to mobilize financial support for the national adaptation plan process for interested developing country Parties that are not least developed country Parties through bilateral and multilateral channels, including through the Special Climate Change Fund, in accordance with decision 1/CP.16, as it urged developed country Parties to mobilize financial support for the national adaptation plan process for least developed country Parties in decision 5/CP.17, paragraph 21;³
6. *Requests* the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, to make its annual report to the Conference of the Parties available as early as possible and no later than 14 weeks prior to a session of the Conference of the Parties, for consideration by Parties;
7. *Invites* Parties to submit to the secretariat annually, and no later than 10 weeks prior to a session of the Conference of the Parties, their views and recommendations in writing on the elements to be taken into account in the development of annual guidance for the operating entities of the financial mechanism of the Convention;
8. *Requests* the secretariat to compile the submissions referred to in paragraph 7 above, for consideration by Parties in developing guidance for the operating entities of the financial mechanism of the Convention;
9. *Also requests* the Standing Committee to provide to the Conference of the Parties at each of its sessions, beginning in 2013, draft guidance for the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, based on the annual report of the Global Environment Facility to the Conference of the Parties and the views submitted by Parties as set out in paragraph 7 above.

*9th plenary meeting
8 December 2012*

³ FCCC/SB/2012/3, paragraph 27(d).

Decision 10/CP.18

Further guidance to the Least Developed Countries Fund

The Conference of the Parties,

Recognizing the specific needs and special circumstances of the least developed countries, as referred to in Article 4, paragraph 9, of the Convention,

Recalling decisions 6/CP.9, 3/CP.11, 5/CP.14, 5/CP.16 and 9/CP.17,

Also recalling the least developed countries work programme, as defined in decision 5/CP.7,

Noting the report on the twenty-second meeting of the Least Developed Countries Expert Group,¹ the report of the Global Environment Facility to the Conference of the Parties at its eighteenth session² and the synthesis report prepared by the secretariat,³

Taking note of the decisions taken at the 43rd meeting of the Council of the Global Environment Facility,

Welcoming the key GEF-5 reform to expand the Global Environment Facility partnership,

Expressing its appreciation for the continuing efforts of the Global Environment Facility to undertake this important reform,

1. *Welcomes* the increased allocation and disbursement of funds to least developed country Parties under the Least Developed Countries Fund;
2. *Notes with appreciation* the Parties included in Annex II to the Convention that have made additional contributions to the Least Developed Countries Fund;
3. *Takes note* of the increased number of least developed countries successfully completing the preparation of their national adaptation programmes of action, including Myanmar and Somalia, and that the Least Developed Countries Fund has financed the preparation of 48 national adaptation programmes of action, of which 47 have been completed;
4. *Notes* that the Least Developed Countries Fund has approved funding for 76 national adaptation programmes of action projects in 44 least developed countries;
5. *Requests* the Global Environment Facility, as an operating entity of the financial mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund:
 - (a) To continue to support all activities contained in the least developed countries work programme;
 - (b) To continue mobilizing resources to ensure the full implementation of the least developed countries work programme, including the implementation of the elements of the work programme other than national adaptation programmes of action, through, inter alia, capacity-building to improve coordination at different levels of government and across

¹ FCCC/SBI/2012/27.

² FCCC/CP/2012/6 and Add.1 and 2.

³ FCCC/SBI/2012/INF.13.

sectors in order to improve project performance in the least developed country Parties, with regard to the implementation of the Convention;

(c) To further facilitate access to the Least Developed Countries Fund by the least developed countries;

(d) To further enhance a country-driven process for the implementation of national adaptation programme of action projects and the implementation of programmatic approaches;

(e) To continue raising awareness of the need for adequate and predictable resources under the Least Developed Countries Fund to allow for the full implementation of the least developed countries work programme, in particular national adaptation programmes of action, as outlined in decision 5/CP.14, paragraph 8;

(f) To enhance communication with its implementing agencies on the updated operational guidelines for the Least Developed Countries Fund;

6. *Also requests* the Global Environment Facility, as an operating entity of the financial mechanism of the Convention entrusted with the operation of the Least Developed Countries Fund, to include, in its annual report to the Conference of the Parties, information on specific actions that it has undertaken to implement this decision, for consideration by the Conference of the Parties at its subsequent sessions;

7. *Invites* Parties included in Annex II to the Convention to continue contributing and other Parties in a position to do so to contribute on a voluntary basis to the Least Developed Countries Fund in order to support the implementation of the least developed countries work programme, considering the options contained in the report on the twenty-first meeting of the Least Developed Countries Expert Group;⁴

8. *Also invites* Parties and relevant organizations to submit to the secretariat, by 1 August 2014, information on their experiences with the implementation of the remaining elements of the least developed countries work programme, considering the options contained in the report on the twenty-first meeting of the Least Developed Countries Expert Group, for compilation by the secretariat into a miscellaneous document for consideration by the Subsidiary Body for Implementation at its forty-first session;

9. *Requests* the secretariat to prepare a synthesis report on the progress made in the implementation of the remaining elements of the least developed countries work programme, considering the options contained in the report on the twenty-first meeting of the Least Developed Countries Expert Group, and taking into account information from the Global Environment Facility and its agencies, the submissions referred to in paragraph 8 above, the reports of the Least Developed Countries Expert Group and other relevant sources of information, for consideration by the Subsidiary Body for Implementation at its forty-first session;

10. *Also requests* the Subsidiary Body for Implementation to consider, at its forty-first session, the progress made in the implementation of the remaining elements of the least developed countries work programme, including the updating and implementation of national adaptation programmes of action, with a view to the Conference of the Parties

⁴ FCCC/SBI/2012/7.

determining, at its twentieth session, appropriate further guidance to be provided to the Least Developed Countries Fund.

9th plenary meeting
7 December 2012



Conference of the Parties

Report of the Conference of the Parties on its eighteenth session, held in Doha from 26 November to 8 December 2012

Addendum

Part Two: Action taken by the Conference of the Parties at its eighteenth session

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Decision 11/CP.18

Work of the Adaptation Committee

The Conference of the Parties,

Welcoming with appreciation the operationalization of the Adaptation Committee as an important step in the implementation of decision 1/CP.13 (Bali Action Plan),

Also welcoming the report of the Adaptation Committee,¹

1. *Approves* the draft three-year workplan of the Adaptation Committee contained in annex II to the report of the Adaptation Committee and looks forward to receiving information, at its nineteenth session, on progress made in the implementation of the workplan to deliver on its objectives of promoting coherence in adaptation under the Convention and synergies with organizations, centres and networks outside the Convention and providing technical support and guidance to the Parties;
2. *Requests* the Adaptation Committee to further elaborate the work referred to in activities 7, 8, 11, 17 and 19 of the above-mentioned workplan;
3. *Endorses* the draft rules of procedure of the Adaptation Committee contained in annex III to its report;
4. *Decides* that, as a consequence of the late nomination of members of the Adaptation Committee in 2012, the term of the members of the Adaptation Committee currently in office will end immediately before the first meeting of the Adaptation Committee in 2015 for members with a term of two years, and immediately before the first meeting of the Adaptation Committee in 2016 for members with a term of three years;
5. *Also decides* that, as a consequence of the adjustment to the terms of office of the members, the terms of the Chair and Vice-Chair who are currently in office will end immediately before the first meeting of the Adaptation Committee in 2014;
6. *Encourages* Parties to make available sufficient resources for the successful and timely implementation of the three-year workplan of the Adaptation Committee;
7. *Takes note* of the estimated budgetary implications of the activities to be undertaken by the secretariat pursuant to paragraph 1 above;
8. *Decides* that the actions of the secretariat called for in this decision be undertaken subject to the availability of financial resources; in the absence of adequate additional funding, as indicated in the budgetary estimates referred to in paragraph 7 above, the secretariat may not be in a position to undertake the requested activities.

*9th plenary meeting
7 December 2012*

¹ FCCC/SB/2012/3 and Corr.1.

Decision 12/CP.18

National adaptation plans

The Conference of the Parties,

Recalling Article 4, paragraphs 4 and 9, and other relevant Articles of the Convention,

Also recalling decisions 11/CP.1, 27/CP.7, 1/CP.16, 2/CP.17, 3/CP.17 and 5/CP.17,

Further recalling the initial guidelines for the formulation of national adaptation plans by the least developed country Parties, adopted by decision 5/CP.17,

Reaffirming that because of their development status, climate change risks magnify the development challenges for the least developed country Parties,

Recalling that national adaptation plans are a process to enable the least developed country Parties to formulate and implement national adaptation plans, building upon their experience in preparing and implementing national adaptation programmes of action, as a means of identifying medium- and long-term adaptation needs and developing and implementing strategies and programmes to address those needs, and that other developing country Parties were invited to employ the modalities formulated to support national adaptation plans in the elaboration of their planning effort,¹

Underlining that planning for adaptation at the national level is a continuous, progressive and iterative process, the implementation of which should be based on nationally identified priorities, including those reflected in the relevant national documents, plans and strategies, and coordinated with national sustainable development objectives, plans, policies and programmes,

Encouraging the Adaptation Committee, in accordance with its agreed functions, to continue its work in developing the relevant modalities for supporting interested developing countries that are not least developed country Parties to plan, prioritize and implement their national adaptation planning measures, including through the use of the modalities contained in decision 5/CP.17,

Reaffirming the importance of the need to address adaptation planning in the broader context of sustainable development planning,

Underlining that the national adaptation plan process should build on and complement existing adaptation planning, should not be prescriptive and should facilitate country-driven, gender-sensitive, participatory action, taking into consideration vulnerable groups, communities and ecosystems,

Appreciating the contributions made by developed country Parties to the Least Developed Countries Fund and the Special Climate Change Fund to date,

Recognizing that the Green Climate Fund will support developing countries in pursuing project-based and programmatic approaches in accordance with climate change strategies and plans,² such as national adaptation programmes of action, national adaptation plans and other related activities,

¹ Decision 1/CP.16, paragraphs 15 and 16.

² Decision 3/CP.17, annex, paragraph 36.

Also recognizing the important role of the Convention in catalysing support for the least developed country Parties to undertake the national adaptation plan process, noting the range of activities and programmes, both under and outside of the Convention, which contribute to, and enhance, the national adaptation plan process,

Recalling its request to the Subsidiary Body for Implementation to consider guidance on policies and programmes to enable support for the national adaptation plan process for the least developed country Parties, at its thirty-sixth session, for consideration by the Conference of the Parties at its eighteenth session,

Welcoming the Nairobi work programme on impacts, vulnerability and adaptation to climate change compilation of case studies on national adaptation planning processes,³ and the report on the twenty-second meeting of the Least Developed Countries Expert Group,⁴

1. *Decides* to provide the following guidance to the Global Environment Facility, as the operating entity of the financial mechanism of the Convention for the operation of the Least Developed Countries Fund, to enable activities for the preparation of the national adaptation plan process by the least developed country Parties. The operating entity is requested:

(a) As a first step under the national adaptation plan process, to provide funding from the Least Developed Countries Fund, to meet the agreed full cost, as appropriate, of activities to enable the preparation of the national adaptation plan process as described in the elements contained in paragraphs 2–6 of the initial guidelines for the formulation of national adaptation plans in the annex to decision 5/CP.17;

(b) To provide support for the national adaptation plan process, while maintaining support for the least developed countries work programme, including national adaptation programmes of action;

(c) To encourage a flexible approach that enables the least developed country Parties to access funding for components of the national adaptation plan process as identified by the least developed country Parties in response to national needs and circumstances;

2. *Requests* the operating entity referred to in paragraph 1 above to include in its report to the Conference of the Parties information on the steps it has undertaken to implement the provisions of this decision;

3. *Urges* developed country Parties to mobilize financial support for the national adaptation plan process for interested developing country Parties that are not least developed country Parties through bilateral and multilateral channels, including through the Special Climate Change Fund, in accordance with decision 1/CP.16, as it urged developed country Parties to mobilize financial support for the national adaptation plan process for least developed country Parties in decision 5/CP.17, paragraph 21;⁵

4. *Requests* the Global Environment Facility, as an operating entity of the financial mechanism of the Convention, through the Special Climate Change Fund, to consider how to enable activities for the preparation of the national adaptation plan process for interested developing country Parties that are not least developed country Parties, as it requested the Global Environment Facility, through the Least Developed Countries Fund, to consider

³ FCCC/SBSTA/2012/INF.6.

⁴ FCCC/SBI/2012/27.

⁵ FCCC/SB/2012/3, paragraph 27(d).

how to enable activities for the preparation of the national adaptation plan process for the least developed country Parties in decision 5/CP.17, paragraph 22;⁶

5. *Invites* developed country Parties to further contribute to the Least Developed Countries Fund and the Special Climate Change Fund to support the activities for the preparation of the national adaptation plan process in accordance with decision 1/CP.16, including paragraph 18, and other relevant decisions of the Conference of the Parties;

6. *Also invites* the operating entities of the financial mechanism of the Convention, bilateral and multilateral agencies and other relevant organizations, as appropriate, to take this decision into account when providing financial and technical support to developing country Parties in responding to decision 5/CP.17;

7. *Further invites* Parties and relevant organizations to continue to assist the least developed country Parties, drawing upon the work of, and where appropriate in consultation with, the Least Developed Countries Expert Group, in building national institutional arrangements and capacities, and to support scientific and technical capacity needs, as identified by the least developed country Parties, for undertaking the national adaptation plan process;

8. *Invites* United Nations organizations, specialized agencies and other relevant organizations, as well as bilateral and multilateral agencies, to support the national adaptation plan process in the least developed country Parties and, where possible, to consider establishing or enhancing support programmes for the national adaptation plan process within their mandates, as appropriate, which could facilitate financial and technical support to the least developed country Parties, drawing upon the work of, and where appropriate in consultation with, the Least Developed Countries Expert Group, and to keep the Subsidiary Body for Implementation informed, through the secretariat, on how they have responded to this invitation;

9. *Also invites* Parties and relevant organizations to share best practices and lessons learned in addressing adaptation, through the ongoing work of the Least Developed Countries Expert Group, the Nairobi work programme on impacts, vulnerability and adaptation to climate change, and other bodies and ongoing work under the Convention;

10. *Reiterates* the request to the Least Developed Countries Expert Group, the Adaptation Committee and other relevant bodies under the Convention to include information in their reports on how they have responded to the requests made in this decision and on their activities relevant to the national adaptation plan process, as per their respective mandates, and to make recommendations accordingly;

11. *Decides* to assess the progress made in implementing this decision, and to consider the adoption of further guidance, as appropriate, at its twentieth session.

9th plenary meeting
7 December 2012

⁶ FCCC/SB/2012/3, paragraph 27(e).

Decision 13/CP.18

Report of the Technology Executive Committee

The Conference of the Parties,

Recalling the relevant provisions of the Convention, in particular Article 4, paragraphs 1, 3, 5, 7, 8 and 9,

Also recalling decisions 1/CP.16, 2/CP.17 and 4/CP.17,

Further recalling that the Technology Executive Committee shall report, on an interim basis, to the Conference of the Parties, through the subsidiary bodies, on its activities and the performance of its functions,

Referring to decision 1/CP.16, paragraph 119,

1. *Welcomes* the report on activities and performance of the Technology Executive Committee for 2012,¹ including the outcomes of its 2nd, 3rd and 4th meetings;
2. *Also welcomes* the rolling workplan of the Technology Executive Committee for 2012–2013 and the progress made by the Committee in advancing its implementation;²
3. *Notes* with appreciation the key messages of the Technology Executive Committee on enabling environments for and barriers to technology development and transfer, which are wide-ranging and multidimensional, and that further work on these issues is being undertaken by the Technology Executive Committee, as well as technology road maps and technology needs assessments, as contained in the report referred to in paragraph 1 above;
4. *Recognizes* that the work on the key messages of the Technology Executive Committee may inform governments, relevant bodies under the Convention and other stakeholders;
5. *Notes* the extensive consultations held by the Technology Executive Committee with relevant stakeholders and the submissions from such stakeholders that were received in response to the Committee's calls for inputs on actions undertaken by accredited observer organizations that are relevant to the Technology Executive Committee in performing its functions; on ways to promote enabling environments and to address barriers to technology development and transfer; and on technology road maps and action plans;
6. *Encourages* the Technology Executive Committee to continue its consultations with relevant stakeholders under and outside the Convention;
7. *Also encourages* the Technology Executive Committee to continue to consult relevant institutional arrangements under the Convention, including the Adaptation Committee, the Standing Committee and the Board of the Green Climate Fund, and to initiate consultations with the advisory board of the Climate Technology Centre and Network as soon as it is established, in order to seek their views on and coordinate the proposed modalities of the Technology Executive Committee on linkages with other relevant institutional arrangements under the Convention;³
8. *Requests* the Technology Executive Committee to report on the outcomes of its consultations with other relevant institutional arrangements in its report on activities and

¹ FCCC/SB/2012/2.

² FCCC/SB/2012/1, annex I.

³ FCCC/SB/2012/2, annex.

performance for 2013, in order to inform the consideration and approval by the Conference of the Parties at its nineteenth session of the modalities of the Committee on linkages with other relevant institutional arrangements under and outside the Convention;

9. *Notes* that the Technology Executive Committee, with the assistance of the secretariat, in addition to the activities already planned in its rolling workplan for 2012–2013 and consistent with its functions, will undertake specific follow-up activities in 2013 on enabling environments for and barriers to technology development and transfer, technology road maps and preparation of technical papers, as identified in its report referred to in paragraph 1 above, with a view to facilitating the effective implementation of the Technology Mechanism under the guidance of the Conference of the Parties;

10. *Recognizes* that technology needs assessments and their syntheses are a key information source for the work of the Technology Executive Committee in prioritizing its activities under the Technology Mechanism, and could be a rich source of information for governments, relevant bodies under the Convention and other stakeholders;

11. *Stresses* the need for the implementation of the technology needs assessment results;

12. *Agrees* that the technology needs assessment process should be integrated with other related processes under the Convention, including nationally appropriate mitigation actions, national adaptation plans and low-emission development strategies;

13. *Encourages* the financial and business communities and funding sources under and outside the Convention to facilitate the funding for the implementation of technology needs assessment results;

14. *Takes note* of the Technology Executive Committee's planning for further follow-up activities on issues relating to enabling environments and barriers, including those issues referred to in document FCCC/SB/2012/2, paragraph 35.

*9th plenary meeting
7 December 2012*

Decision 14/CP.18

Arrangements to make the Climate Technology Centre and Network fully operational

The Conference of the Parties,

Recalling decisions 1/CP.16 and 2/CP.17,

1. *Notes with appreciation* the completion of the selection process for the host of the Climate Technology Centre, which had the support of the evaluation panel nominated by the Technology Executive Committee from within its membership, the Subsidiary Body for Implementation and the secretariat and involved the valuable participation of the nine proponents that responded to the call for proposals for hosting the Climate Technology Centre;
2. *Decides* that the United Nations Environment Programme, as the leader of the consortium of partner institutions, is hereby selected as the host of the Climate Technology Centre for an initial term of five years, with possible renewal if so decided by the Conference of the Parties at its twenty-third session;
3. *Adopts* the memorandum of understanding between the Conference of the Parties and the United Nations Environment Programme regarding the hosting of the Climate Technology Centre, as contained in annex I to this decision;
4. *Authorizes* the Executive Secretary to sign, on behalf of the Conference of the Parties, the memorandum of understanding referred to in paragraph 3 above;
5. *Decides* that the Advisory Board of the Climate Technology Centre and Network is hereby established, with the constitution contained in annex II to this decision and with the functions contained in decision 2/CP.17, annex VII, paragraphs 8 and 9;
6. *Requests* the United Nations Environment Programme, as the host of the Climate Technology Centre, to convene and facilitate the first meeting of the Advisory Board as soon as possible in 2013, preferably prior to the thirty-eighth sessions of the subsidiary bodies;
7. *Requests* the Advisory Board to determine at its first meeting its operational modalities and rules of procedure for consideration by the subsidiary bodies at their subsequent sessions;
8. *Takes note* that the United Nations Environment Programme, as the host of the Climate Technology Centre, will ensure that the necessary arrangements are in place for the meetings of the Advisory Board, including privileges and immunities for members of the Board consistent with the Convention on the Privileges and Immunities of the United Nations;¹
9. *Encourages* the United Nations Environment Programme, as the host of the Climate Technology Centre, to make the necessary arrangements to promptly launch the work of the Climate Technology Centre upon the conclusion of the eighteenth session of the Conference of the Parties, including, inter alia, the appointment of a Director of the Climate Technology Centre, who will facilitate the timely recruitment of the staff of the Climate Technology Centre;

¹ United Nations Treaty Series. Volume 1: p.15. 13 February 1946.

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10. *Agrees* that the United Nations Environment Programme, as the host of the Climate Technology Centre, shall provide periodic updates on matters regarding its role as the host of the Climate Technology Centre and make this information available in the annual report of the Climate Technology Centre and Network to the Conference of the Parties through the subsidiary bodies; such reports should also address the concerns raised by Parties on issues such as to enhance the in-house capacity of the host organization on technologies for adaptation;
11. *Requests* the Climate Technology Centre to consult with the Technology Executive Committee on establishing procedures for preparing a joint annual report as requested by decision 2/CP.17, with a view to making their joint annual report available to the Conference of the Parties through the subsidiary bodies at their thirty-ninth sessions;
12. *Invites* Parties to nominate their national designated entities for the development and transfer of technologies pursuant to decision 2/CP.17, annex VII, and decision 4/CP.13, paragraph 8, and to communicate this information to the secretariat by 29 March 2013, in order to facilitate the operationalization of the Climate Technology Centre and Network;
13. *Reiterates* that the financial support to the Climate Technology Centre and Network shall be provided in accordance with decision 2/CP.17, paragraphs 139–141;
14. *Reaffirms* that the Climate Technology Centre and Network shall be accountable to, and under the guidance of, the Conference of the Parties through the Advisory Board, and may perform such other activities as may be necessary to carry out its functions in accordance with decisions 1/CP.16, 2/CP.17 and other relevant decisions of the Conference of the Parties;
15. *Reiterates* that the Advisory Board of the Climate Technology Centre and Network will put in place the rules and procedures to monitor, assess and evaluate the timeliness and appropriateness of the responses of the Climate Technology Centre² and Network to requests by developing country Parties in accordance with decision 2/CP.17, annex VII, paragraphs 7, 9(e) and 20.

² Including the members of the consortium.

Annex I

Memorandum of Understanding between the Conference of the Parties to the United Nations Framework Convention on Climate Change and the United Nations Environment Programme regarding the hosting of the Climate Technology Centre

This Memorandum of Understanding (hereinafter referred to as the “MOU”) is concluded between the Conference of the Parties (hereinafter referred to as “the COP”) to the United Nations Framework Convention on Climate Change (hereinafter referred to as the Convention) and the United Nations Environment Programme (hereinafter referred to as “UNEP”) (collectively referred to as the Parties) regarding the hosting of the Climate Technology Centre (hereinafter referred to as “the CTC”).

Preamble

Whereas the COP, by decision 1/CP.16, established a Technology Mechanism, consisting of a Technology Executive Committee and a Climate Technology Centre and Network (hereinafter referred to as the CTCN),

Whereas the COP, by decision 2/CP.17, adopted the terms of reference of the CTCN,

Whereas the mission of the CTCN is to stimulate technology cooperation and to enhance the development and transfer of technologies and to assist developing country Parties at their request, consistent with their respective capabilities and national circumstances and priorities, in order to build or strengthen their capacity to identify technology needs, to facilitate the preparation and implementation of technology projects and strategies, taking into account gender considerations to support action on mitigation and adaptation and enhance low-emission and climate-resilient development,

Whereas UNEP, on behalf of a consortium of partner institutions located in both developed and developing countries, submitted a proposal to host the CTC and informed the Committee of Permanent Representatives to UNEP thereof,

Whereas UNEP is the leading organization within the United Nations system in the field of environment and has, in the field of climate change, the mandate, among others: to strengthen the ability of countries, in particular developing countries, to integrate climate change responses into their national development processes and specifically to reduce their vulnerability and build up their resilience to the impacts of climate change; to facilitate the transition to low-carbon societies; to facilitate access to climate change financing for clean technologies; to support both public and private financing mechanisms; to support national processes for implementing sustainable forest management plans; to improve the understanding of climate change science and its use in sound policymaking; and to improve the general understanding of climate change,

Whereas the COP, by decision 14/CP.18, selected UNEP as the organization to host the CTC,

Whereas the UNEP Governing Council, by decision "..."³, at its twenty-seventh regular session, authorized the Executive Director of UNEP to host the CTC in UNEP,

NOW THEREFORE the Parties to this MOU have agreed to the following:

I. Purpose

1. The purpose of this MOU is to stipulate the terms of the relationship between the COP and UNEP with respect to the hosting of the CTC in UNEP in accordance with decision 14/CP.18.

II. Role and responsibilities of the Conference of the Parties

2. The CTCN shall operate within its terms of reference and be accountable to, and under the guidance of, the COP through the Advisory Board in accordance with decisions 1/CP.16, 2/CP.17, 1/CP.18, 14/CP.18 and other relevant decisions of the COP.

3. The Advisory Board shall advise the CTCN on the implementation of its terms of reference and the guidance provided by the COP.

4. The COP shall consider the annual report on the activities of the CTCN prepared in accordance with decisions 1/CP.16, 2/CP.17 and other relevant decisions of the COP, and provide guidance thereon.

5. In taking decisions that would affect the hosting of the CTC in UNEP, the COP shall take into consideration any views and information provided by UNEP.

III. Role and responsibilities of the United Nations Environment Programme

6. UNEP agrees to host the CTC as a dedicated entity within UNEP in accordance with decision "..."⁴ of the UNEP Governing Council at its twenty-seventh regular session authorizing the Executive Director to host the CTC in UNEP and the provisions of this MOU.

7. UNEP shall design the organizational structure, manage the CTC and provide the necessary administrative and infrastructural support for the effective functioning of the CTC, in accordance with relevant United Nations and UNEP regulations, rules and procedures and decisions of the UNEP Governing Council, and subject to the financing provided pursuant to section VII below.

8. UNEP shall select and appoint, pursuant to the United Nations Staff Regulations and Rules and in accordance with decision 2/CP.17, the Director of the CTC, who shall be a UNEP staff member and accountable to the Executive Director of UNEP.

9. UNEP shall select and appoint, pursuant to the United Nations Staff Regulations and Rules, a small core staff to support the CTC in an effective and efficient manner, to be managed by the Director of the CTC.

10. UNEP may make use of loans of personnel from the consortium of partner institutions to support the CTC, in accordance with relevant United Nations regulations, rules and procedures.

³ This decision will be available after the conclusion of the twenty-seventh session of the Governing Council/Global Ministerial Environment Forum of the United Nations Environment Programme, to be held in Nairobi, Kenya, from 18-22 February 2013.

⁴ See footnote 3.

11. UNEP shall provide periodic updates on matters regarding its role as the host of the CTC and make this information available in the annual report of the CTCN provided to the COP through the subsidiary bodies, prepared pursuant to paragraph 19 below.

12. UNEP shall guide the consortium of partner institutions to effectively support the functioning and operations of the CTC and shall make appropriate arrangements governing their cooperation.

13. The Executive Director of UNEP shall be responsible for the execution of the functions of UNEP under this MOU.

IV. Role and functions of the Climate Technology Centre and Network

14. The CTCN shall operate in accordance with decisions 1/CP.16, 2/CP.17, 1/CP.18, 14/CP.18 and other relevant decisions of the COP.

V. Role and functions of the consortium of partner institutions

15. The consortium of partner institutions shall, following the signature of appropriate agreements governing their cooperation with UNEP, support the operations of the CTC.

VI. Role and functions of the Director and personnel of the Climate Technology Centre

16. The Director shall be accountable to the Executive Director of UNEP for the effectiveness and efficiency of the CTC in carrying out its functions in accordance with relevant United Nations and UNEP regulations, rules and procedures and decisions of the UNEP Governing Council.

17. The Director shall serve as the secretary to the Advisory Board and be responsible for facilitating and providing support to the work of the Board, including making arrangements for the meetings of the Board.

18. The Director shall prepare a budget for the CTCN in accordance with decision 2/CP.17 and in conformity with the relevant regulations, rules and procedures governing the programme budget of UNEP. The CTCN budget will be prepared in accordance with the guidance provided by the COP. The part of the CTCN budget managed by UNEP will be included in the programme budget of UNEP as an extra-budgetary item to support the CTCN.

19. The Director shall prepare the annual report on the CTCN for submission to the COP through the subsidiary bodies. The annual report shall be approved by the Advisory Board in accordance with decision 2/CP.17, and shall include the financial status of, and information on the mobilization of other resources for, the CTCN.

20. The Director shall manage the financial resources of the CTCN in accordance with the United Nations Financial Regulations and Rules and the Financial Rules of UNEP, fiduciary, anti-fraud and anti-corruption policies and environmental and social safeguards.

21. The Director and personnel of the CTC shall liaise, as appropriate, with the secretariat of the UNFCCC and other relevant international bodies on issues relating to the activities and operations of the CTC.

VII. Financial arrangements of the Climate Technology Centre and Network

22. The costs associated with the CTC and the mobilization of the services of the Network will be funded from various sources, including the financial mechanism of the Convention, bilateral, multilateral and private-sector channels, philanthropic sources and

financial and in-kind contributions from the host organization and participants in the Network.

23. UNEP shall provide financial and in-kind contributions to the CTC, in accordance with paragraph 139 of decision 2/CP.17 and taking into account the proposal of UNEP and the contribution of the consortium of partner institutions.

24. The CTC, in collaboration with UNEP and in consultation with the Advisory Board, shall help to mobilize funds to meet the costs associated with the CTCN.

VIII. Implementation of this Memorandum of Understanding

25. The Advisory Board and UNEP may agree on further arrangements for the implementation of this MOU, which do not in any way amend the existing provisions of this MOU, and report thereon to the COP.

26. Nothing in or relating to this MOU will be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

IX. Dispute settlement

27. The COP, through the Advisory Board, and UNEP shall use their best efforts to amicably resolve any disputes, controversies or claims arising out of or relating to this MOU, including by use of mutually agreed dispute resolution methods.

X. Entire agreement

28. Any annex to this MOU that is concluded in the future will be considered an integral part of this MOU. References to this MOU will be construed as including any annexes, as varied or amended in accordance with the terms of this MOU. This MOU represents the complete understanding between the Parties.

XI. Interpretation

29. This MOU will be interpreted in accordance with relevant decisions of the COP and the UNEP Governing Council.

30. Any Party's failure to request the implementation of a provision of this MOU will not constitute a waiver of that or any other provision of this MOU.

XII. Term of this Memorandum of Understanding

31. The initial term of this MOU shall be five years, with two four-year renewal periods, if so decided by the COP and UNEP.

XIII. Notification and amendment

32. Each Party will promptly notify the other in writing of any anticipated or actual material changes that will affect the execution of this MOU.

33. The Parties may amend this MOU by mutual written agreement.

XIV. Entry into force

34. This MOU will come into force upon the last date of signature by the duly authorized representatives of the Parties.

XV. Termination

35. Subject to section XII above, either Party may terminate this MOU by giving one year's prior written notice to the other Party. The termination shall come into effect one year from the date of the receipt of such a communication.

36. Following the termination of this MOU, UNEP shall take all necessary actions to conclude its operations relating to the CTC in an expeditious manner. Any termination of this MOU will be without prejudice to any other rights and obligations of the Parties accrued prior to the date of the termination under this MOU or any legal instrument executed pursuant to this MOU.

Annex II

Constitution of the Advisory Board of the Climate Technology Centre and Network

1. The Advisory Board of the Climate Technology Centre and Network (CTCN), with the aim of achieving fair and balanced representation, shall constitute the following:

(a) 16 government representatives, comprising equal representation from Parties included in Annex I to the Convention (Annex I Parties) and Parties not included in Annex I to the Convention (non-Annex I Parties);

(b) The Chair and the Vice-Chair of the Technology Executive Committee (TEC) in their official capacity as TEC representatives;

(c) One of the Co-Chairs, or a member designated by the Co-Chairs, of the Green Climate Fund Board in his/her official capacity as a Green Climate Fund representative;

(d) The Chair or the Vice-Chair of the Adaptation Committee, or a member designated by the Chair and the Vice-Chair, in his/her official capacity as an Adaptation Committee representative;

(e) One of the Co-Chairs, or a member designated by the Co-Chairs, of the Standing Committee in his/her official capacity as a Standing Committee representative;

(f) The Director of the CTCN in his/her official capacity as the CTCN representative;

(g) Three representatives, with one being selected by each of the following UNFCCC observer organization constituencies, taking into account balanced geographical representation: environmental non-governmental organizations (ENGOs), business and industry non-governmental organizations (BINGOs) and research and independent non-governmental organizations (RINGOs), with relevant expertise in technology, finance or business, received by the host organization of the Climate Technology Centre (CTC), taking into account balanced geographical representation.

2. The Advisory Board will invite expert observers to attend meetings based on specific agenda needs, according to modalities and procedures developed by the Advisory Board at its first meeting.

3. The director of the CTCN shall be the secretary of the Advisory Board.

4. Government representatives shall be nominated by their respective groups or constituencies and elected by the Conference of the Parties (COP). Groups or constituencies are encouraged to nominate the government representatives to the Advisory Board, with a view to achieving an appropriate balance of expertise relevant to the development and transfer of technologies for adaptation and mitigation, taking into account the need to achieve gender balance in accordance with decisions 36/CP.7 and 23/CP.18.

5. Government representatives elected to the Advisory Board shall serve for a term of two years and shall be eligible to serve a maximum of two consecutive terms of office. The following rules shall apply:

(a) Half of the representatives shall be elected initially for a term of three years and half shall be elected for a term of two years;

(b) Thereafter, the COP shall elect half of the representatives every year for a term of two years;

- (c) The representatives shall remain in office until their successors are elected.
6. If a government representative of the Advisory Board resigns or is otherwise unable to complete the assigned term of office or to perform the functions of that office, the Advisory Board may decide, bearing in mind the proximity of the next session of the COP, to appoint another representative from the same constituency to replace said representative for the remainder of that representative's mandate, in which case the appointment shall count as one term.
 7. The representatives of the Advisory Board referred to in paragraph 1(b) above shall serve in accordance with their term of office.
 8. The representatives of the Advisory Board referred to in paragraph 1(c), (d) and (e) above shall serve in accordance with their term of office.
 9. The representatives of the Advisory Board referred to in paragraph 1(g) above shall be eligible to serve for a maximum term of office of one year.
 10. Decisions of the Advisory Board will be taken by consensus by only the Advisory Board representatives referred to in paragraph 1(a) and (b) above. These representatives will specify in the modalities and procedures of the Advisory Board how to adopt decisions in the event that all efforts at reaching consensus have been exhausted.
 11. The Advisory Board shall elect annually a Chair and a Vice-Chair from among the representatives referred to in paragraph 1(a) above for a term of one year each, with one being from an Annex I Party and the other being from a non-Annex I Party. The positions of Chair and Vice-Chair shall alternate annually between a representative from an Annex I Party and a representative from a non-Annex I Party.
 12. If the Chair is temporarily unable to fulfil the obligations of the office, the Vice-Chair shall serve as Chair. In the absence of the Chair and the Vice-Chair at a particular meeting, any other representatives identified in paragraph 1(a) above designated by the Advisory Board shall temporarily serve as the Chair of that meeting.
 13. If the Chair or the Vice-chair is unable to complete the term of office, the Advisory Board shall elect a replacement to complete the term of office, taking into account paragraph 6 above.
 14. The meetings of the Advisory Board shall be open to attendance, as observers, by Parties, the secretariat and observer organizations, except where otherwise decided by the Advisory Board.
 15. The CTC shall support and facilitate the work of the Advisory Board of the CTCN.
 16. The constitution of the Advisory Board shall be reviewed by the COP in 2020.

*9th plenary meeting
7 December 2012*

Decision 15/CP.18

Doha work programme on Article 6 of the Convention

The Conference of the Parties,

Recalling Articles 2, 3, 4 and 6 of the Convention,

Also recalling decisions 11/CP.8, 9/CP.13 and 7/CP.16,

Reaffirming the importance of Article 6 of the Convention for achieving the ultimate objective of the Convention and for the effective implementation of adaptation and mitigation actions,

Recognizing that education, training and skills development are fundamental for all Parties to achieve sustainable development in the long term,

Also recognizing that a goal of education is to promote changes in lifestyles, attitudes and behaviour needed to foster sustainable development and to prepare children, youth, women, persons with disabilities and grass-root communities to adapt to the impacts of climate change,

Reaffirming that public participation and access to information are crucial in order to develop and implement effective policies, as well as to engage all stakeholders actively in the implementation of these policies,

Also reaffirming the importance of taking into account gender aspects and the need to promote the effective engagement of children, youth, the elderly, women, persons with disabilities, indigenous peoples, local communities and non-governmental organizations in activities related to Article 6 of the Convention,

Acknowledging the progress made by Parties, international organizations and civil society in planning, coordinating and implementing education, training, public awareness, public participation and access to information activities,

Recognizing the importance of taking a long-term, strategic and country-driven approach to education, training and skills development at the local, national, subregional, regional and international levels, including strengthening of relevant institutional and sectoral capacities,

Also recognizing that ensuring the availability of sufficient financial and technical resources continues to be a challenge for the implementation of Article 6 of the Convention for all Parties, in particular African countries, the least developed countries and small island developing States,

Having considered the information in documents prepared by the secretariat in support of the review of the implementation of the amended New Delhi work programme on Article 6 of the Convention,¹

1. *Adopts* the eight-year Doha work programme on Article 6 of the Convention as contained in the annex to this decision (hereinafter referred to as the work programme);
2. *Decides* to undertake a review of the work programme in 2020, with an intermediate review of progress in 2016, to evaluate its effectiveness, identify any emerging gaps and

¹ FCCC/SBI/2012/3, FCCC/SBI/2012/4, FCCC/SBI/2012/5, FCCC/SBI/2012/19 and FCCC/SBI/2012/MISC.4.

needs, and inform any decisions on improving the work programme's effectiveness as appropriate;

3. *Invites* Parties to submit information (as part of their national communications, where appropriate) on their efforts and steps taken to implement the work programme and to share their experiences and best practices for the purpose of reviewing the programme in 2016 and 2020;

4. *Also invites* Parties to provide information on the funding received from all sources, including from the Global Environment Facility, bilateral and multilateral agencies and United Nations organizations, for the implementation of Article 6 of the Convention;

5. *Encourages* intergovernmental and non-governmental organizations to continue their activities relevant to Article 6 of the Convention, enhance collaborative efforts for implementing Article 6 initiatives and strategies at the international, regional, national and local levels, and share information on their programmatic responses to the work programme through the UNFCCC climate change information network clearing house CC:iNet and other media;

6. *Invites* multilateral and bilateral institutions and organizations, including operating entities of the financial mechanism of the Convention, as appropriate, to provide financial resources to support the activities relating to the implementation of Article 6 of the Convention;

7. *Requests* the Global Environment Facility to continue to provide financial resources to Parties not included in Annex I to the Convention, in particular African countries, the least developed countries and small island developing States, in accordance with decisions 11/CP.1, 6/CP.7, 4/CP.9, 7/CP.10, 3/CP.12, 7/CP.13, 3/CP.16 and 11/CP.17, to support the implementation of the work programme and to regularly report to the Conference of the Parties on the activities it has supported;

8. *Also requests* the secretariat to encourage other intergovernmental organizations in a position to do so to provide technical or financial support, and to promote partnerships with other organizations, the private sector and donors, in order to support the implementation of the work programme;

9. *Further requests* the Subsidiary Body for Implementation to enhance the work on Article 6 of the Convention by organizing an annual in-session dialogue on Article 6 of the Convention with the participation of Parties, representatives of relevant bodies established under the Convention and relevant experts, practitioners and stakeholders to share their experiences and exchange ideas, best practices and lessons learned regarding the implementation of the work programme;

10. *Decides* that the dialogue referred to in paragraph 9 above will cluster the six elements of Article 6 of the Convention (education, training, public awareness, public participation, public access to information and international cooperation) into two focal areas, the consideration of which will alternate on an annual basis, with the first focal area consisting of education and training, the second consisting of public access to information, public participation and public awareness, and international cooperation being a cross-cutting theme of both focal areas;

11. *Also decides* that the first session of the annual dialogue will be held at the thirty-eighth session of the Subsidiary Body for Implementation and focus on the first focal area;

12. *Requests* the secretariat to prepare a summary report of every session of the dialogue;

13. *Also requests* the Subsidiary Body for Implementation, in its consideration of the reviews of the implementation of the work programme referred to in paragraph 2 above, to

include the summary reports from the dialogue sessions, referred to in paragraph 12 above, as additional inputs to these reviews;

14. *Further requests* that the actions of the secretariat called for in this decision be undertaken subject to the availability of financial resources.

Annex

Doha work programme on Article 6 of the Convention

I. Observations

1. The implementation of all elements of Article 6 of the Convention – education, training, public awareness, public participation, public access to information and international cooperation – will contribute to meeting the objective of the Convention.
2. All Parties are responsible for the implementation of Article 6 of the Convention. The capacity to implement Article 6 related activities will vary among countries, as will the priority thematic areas and target audiences, consistent with their sustainable development priorities and the culturally preferred method of programme delivery, in order to increase people's understanding of the climate change issue.
3. Regional, subregional and international cooperation can enhance the collective ability of Parties to implement the Convention, improve synergies, avoid duplication of effort between the different conventions, and ultimately both improve the effectiveness of programming and facilitate its support.
4. It is important to learn more from countries regarding their experiences, lessons learned, good practices and challenges in the implementation of Article 6 of the Convention, so that Parties and intergovernmental organizations (IGOs) and non-governmental organizations (NGOs) that have the resources to do so might effectively target their efforts at providing appropriate support.
5. Many Parties, IGOs, NGOs and community-based organizations, as well as the private and public sectors, have been actively raising awareness on, and increasing understanding of, the causes and impacts of climate change, as well as solutions. The availability of sufficient financial and technical resources for the adequate implementation of Article 6 of the Convention continues to be a challenge for all Parties, in particular developing countries.
6. The nature of Article 6 activities carried out by Parties can easily be reported. However, monitoring and evaluating the impacts of these activities remains a challenge.
7. Implementation of Article 6 activities and programmes can complement low-emission and climate-resilient development strategies.
8. Gender is a cross-cutting issue in all six elements of Article 6 of the Convention.
9. Implementation of Article 6 of the Convention has a broad range of stakeholders, including, governments, the private sector, IGOs, NGOs and others international organizations, decision makers, scientists, the media, teachers, the general public, youth, women, people with disabilities and indigenous peoples among others.
10. Implementation of Article 6 of the Convention serves to spread and improve understanding and awareness of climate change and to change behaviour, and therefore communication should address the general public and all stakeholders, such as those referred to in paragraph 9 above.
11. In order to increase climate change awareness, Article 6 activities should be integrated into sectoral strategies and plans.

II. Purposes and guiding principles

12. The Doha work programme on Article 6 of the Convention sets out the scope of, and provides the basis for action on, activities related to Article 6 of the Convention, in accordance with the provisions of the Convention. It should serve as a flexible framework for country-driven action addressing the specific needs and circumstances of Parties and reflecting their national priorities and initiatives.

13. The Doha work programme builds on existing decisions of the Conference of the Parties (COP), specifically the Marrakesh Accords, which contain a number of references to Article 6 activities, in particular decisions 2/CP.7 and 3/CP.7 on capacity-building in developing countries and in countries with economies in transition, respectively, 4/CP.7 on development and transfer of technologies and 5/CP.7 on implementation of Article 4, paragraphs 8 and 9, of the Convention.

14. The Doha work programme shall be guided by:

- (a) A country-driven approach;
- (b) Cost-effectiveness;
- (c) Flexibility;
- (d) Gender and an intergenerational approach;
- (e) A phased approach integrating Article 6 activities into existing climate change programmes and strategies;
- (f) Promotion of partnerships, networks and synergies, in particular synergies between conventions;
- (g) An interdisciplinary multi-sectoral, multi-stakeholder and participatory approach;
- (h) A holistic, systematic approach;
- (i) The principles of sustainable development.

III. Scope of the Doha work programme

15. As part of their national programmes to implement the Convention, and taking into account national circumstances and capacities, Parties are encouraged to undertake activities under the categories listed below, which reflect the six elements of Article 6 of the Convention.

A. Education

16. Cooperate in, promote, facilitate, develop and implement formal and non-formal education and training programmes focused on climate change at all levels, targeting women and youth in particular, and including the exchange or secondment of personnel to train experts.

B. Training

17. Cooperate in, promote, facilitate, develop and implement training programmes focused on climate change for groups with a key role, such as scientific, technical and managerial personnel, journalists, teachers and community leaders at the local, national,

subregional, regional and international levels, as appropriate. Technical skills and knowledge provide an opportunity to adequately address and respond to climate change issues.

C. Public awareness

18. Cooperate in, promote, facilitate, develop and implement public awareness programmes on climate change and its effects at the national and, as appropriate, subregional, regional and international levels by, inter alia, encouraging contributions and personal action in addressing climate change, supporting climate-friendly policies and fostering behavioural changes, including by using popular media, noting the important role that social media platforms and strategies can play in this context.

D. Public access to information

19. Facilitate public access to data and information, by providing the information on climate change initiatives, policies and results of actions that is needed by the public and other stakeholders to understand, address and respond to climate change. This should take into account such factors as quality of Internet access, literacy and language issues.

E. Public participation

20. Promote public participation in addressing climate change and its effects and in developing adequate responses, by facilitating feedback, debate and partnership in climate change activities and in governance, noting the important role that social media platforms and strategies can play in this context.

F. International cooperation

21. Subregional, regional and international cooperation in undertaking activities within the scope of the work programme can enhance the collective ability of Parties to implement the Convention. The efforts of IGOs and NGOs can also contribute to its implementation. Such cooperation can further enhance synergies between conventions and improve the effectiveness of all sustainable development efforts.

IV. Implementation

A. Parties

22. As part of their national programmes and activities in implementing the Convention, and within the scope of the Doha work programme, Parties could, inter alia:

Strategy

(a) Designate and provide support, including technical and financial support, and access to information and materials to a national focal point for Article 6 activities and assign specific responsibilities. These responsibilities could include the identification of areas for possible international cooperation and opportunities for strengthening synergies with other conventions, and the coordination of the preparation of the Article 6 chapter in

the national communications, ensuring that relevant contact information, including web addresses, is provided therein;

- (b) Develop institutional and technical capacity to:
 - (i) Identify gaps and needs for the implementation of Article 6 of the Convention;
 - (ii) Assess the effectiveness of Article 6 activities;
 - (iii) Consider the linkages between Article 6 activities, implementation of policies and measures to mitigate and adapt to climate change, and other commitments under the Convention, such as technology transfer and capacity-building;
- (c) Prepare assessments of needs specific to national circumstances in the area of the implementation of Article 6 of the Convention, including the use of social research methods and other relevant instruments to determine target audiences and potential partnerships;
- (d) Prepare a national strategy on Article 6 of the Convention, which could be structured according to the scope elements and target stakeholders mentioned in paragraph 9 above;
- (e) Develop communication strategies on climate change on the basis of targeted social research in order to create behavioural changes;
- (f) Strengthen national education and training/skills development institutions to deliver climate change learning action.

Tools and activities

- (a) Develop a directory of organizations and individuals, with an indication of their experience and expertise relevant to Article 6 activities, with a view to building active networks involved in the implementation of these activities;
- (b) Increase the availability of copyright-free and translated climate change materials, in accordance with laws and standards relating to the protection of copyrighted materials;
- (c) Seek opportunities to disseminate widely relevant information on climate change. Measures could include translation into appropriate languages and distribution of popularized versions of key documents on climate change, including assessment reports and other reports by the Intergovernmental Panel on Climate Change;
- (d) Benefit from new technologies, especially from social networks, in order to integrate these into Article 6 strategies;
- (e) Develop appropriate social media programmes, noting the important and complementary role that such platforms can play in the implementation of Article 6 of the Convention;
- (f) Promote and enhance the inclusion of climate change in school curricula at all levels and across disciplines. Efforts could be made to develop materials and promote teacher training focused on climate change at the regional and international levels where appropriate;
- (g) Integrate climate change learning into the curricula of institutions that provide formal education and training at all levels and support non-formal and informal education on climate change, training of trainers programmes and the development of educational, training and public awareness materials in accordance with national circumstances and the cultural context;

(h) Develop tools and methodologies to support climate change training and skills development through collaborative efforts and provide training programmes for groups with a key role in climate change communication and education, including journalists, teachers, youth, children and community leaders;

(i) Seek input and public participation, including participation by youth, women, civil society organizations and other groups, in the formulation and implementation of efforts to address climate change, and also in relation to the preparation of national communications, and encourage the involvement and participation of representatives of all stakeholders and major groups in the climate change negotiation process;

(j) Inform the public about causes of climate change and sources of greenhouse gas emissions, as well as actions that can be taken at all levels to address climate change;

(k) Foster the participation of all stakeholders in the implementation of Article 6 of the Convention and invite them to report on the implementation of activities. In particular, enhance the active participation of youth, women, civil society organizations and the media;

(l) Encourage the public as part of public awareness programmes to contribute to mitigation and adaptation actions;

(m) Participate in the annual dialogue on Article 6 of the Convention organized by the Subsidiary Body for Implementation (SBI), subject to the availability of financial resources.

Monitoring and review

(a) Conduct surveys, such as “knowledge-attitude-practice/behaviour” surveys, to establish a baseline of public awareness, which can serve as a basis for further work and support the monitoring of the impact of activities;

(b) Share the findings contained in their national communications and national action plans or domestic programmes on climate change with the general public and all stakeholders;

(c) Develop criteria for identifying and disseminating information on good practices for Article 6 activities, at the national or regional levels in accordance with national circumstances and capacities, and promote the sharing of such practices;

(d) Seek to enhance cooperation and coordination in developing and implementing Article 6 activities at the international and regional levels. This includes identifying partners and networks with other Parties, IGOs and NGOs, the private sector, state and local governments and community-based organizations. Parties should also promote and facilitate the exchange of information and materials, and the sharing of experience and good practices.

B. Regional and international efforts

23. To strengthen regional and international efforts, Parties and other relevant organizations and agencies in a position to do so could cooperate in and support the following activities:

(a) Promote the implementation of Article 6 activities taking into account challenges and opportunities in regional and subregional contexts;

(b) Strengthen existing regional institutions and networks;

- (c) Promote and encourage regional programmes and projects that support the implementation of Article 6 of the Convention and promote the sharing of experiences, including through the dissemination of best practices and lessons learned, and the exchange of information and data;
- (d) Create regional portals for the UNFCCC climate change information network clearing house (CC:iNet), in collaboration with regional centres, to further develop and enhance the functionality and user-friendliness of the clearing house;
- (e) Develop regional programmes and activities, including the preparation of training and education materials as well as other tools, using local languages where applicable and practical;
- (f) Promote the implementation of pilot projects through collaborative actions at the regional and national levels on any of the six elements of Article 6 of the Convention, and support their replication and expansion and the sharing of lessons learned and experiences;
- (g) Conduct regional and subregional workshops to promote training, the exchange and sharing of experiences and best practices, and the transfer of knowledge and skills;
- (h) Strengthen North–South, South–South and triangular collaboration in matters of climate change education and training, skills development.

C. Intergovernmental organizations

24. United Nations organizations, in particular the Food and Agriculture Organization of the United Nations, the United Nations Children’s Fund, the United Nations Environment Programme, the United Nations Educational, Scientific and Cultural Organization, the United Nations Institute for Training and Research and the World Meteorological Organization, as the members of the United Nations Alliance on Climate Change Education, Training and Public Awareness, are invited, inter alia:

- (a) To continue supporting efforts to implement activities under Article 6 of the Convention through their work programmes, and through specific programmes focused on climate change, including, as appropriate, through the provision and dissemination of information and resource materials, such as visual materials that could easily be translated and adapted, as well as through the provision of financial and technical support;
- (b) To strengthen collaboration with, and enhance the involvement of, other IGOs with a view to ensuring coordinated support to Parties in their activities related to Article 6 of the Convention and avoiding duplication of work;
- (c) To further strengthen regional and international cooperation by mobilizing partnerships and networking among Parties, IGOs, NGOs, academia, the private sector, state and local governments and community-based organizations and by jointly designing, implementing and evaluating activities and policies related to Article 6 of the Convention;
- (d) To contribute to the implementation of the Doha work programme in their respective areas of competence;
- (e) To support countries in developing a long-term, strategic and country-driven approach to climate change education, training and skills development, which is linked to national climate change objectives, and strengthening relevant national institutions;
- (f) To design and implement training programmes, develop guidelines and provide other direct support to national focal points for Article 6 of the Convention;

(g) To promote in partnership with Parties and civil society the organization of global, regional, subregional and national workshops focusing on specific elements of Article 6 of the Convention;

(h) To participate in the annual dialogue on Article 6 of the Convention organized by the SBI.

D. Non-governmental organizations

25. NGOs are encouraged to continue their activities relating to Article 6 of the Convention and are invited to consider ways to enhance cooperation between NGOs from different geographical regions and subject areas, as well as collaboration on activities between IGOs, NGOs and Parties.

26. NGOs are invited to foster the participation of all stakeholders in the implementation of Article 6 of the Convention and to encourage them to report on the implementation of their activities. In particular, NGOs are invited to enhance the active participation of youth, women, civil society organizations and the media in climate change activities.

27. NGOs are also invited to participate in the annual dialogue on Article 6 of the Convention.

E. Support

28. Parties will need to determine the most efficient and cost-effective way to implement Article 6 activities, and are encouraged to create partnerships with other Parties, as well as IGOs and NGOs and other stakeholders, to facilitate the implementation of these activities, including the identification of priority areas for support and funding.

29. As initial priorities, the implementation of the Doha work programme will require the strengthening of national institutions and capacities, in particular in developing countries.

F. Review of progress and reporting

30. The COP, through the SBI, will undertake a review of progress in the implementation of this work programme in 2020, with an intermediate review of progress in 2016. The assessment of the usefulness of the annual in-session dialogue on Article 6 of the Convention will constitute part of the review in 2020.

31. All Parties are requested to report on activities and policies implementing Article 6 in their national communications, where possible, and in other reports, on their accomplishments, lessons learned, experiences gained and remaining challenges and opportunities, noting that the six elements of Article 6 provide a useful guide for this reporting.

32. Parties and relevant organizations are encouraged to share information on the implementation of the work programme through CC:iNet and social media platforms, in addition to formal reporting channels such as national communications.

33. IGOs are invited to develop programmatic responses to the Doha work programme and, following consultations with the secretariat, to communicate to the SBI, through the secretariat, the responses and progress achieved, for the purpose of reviewing the programme and evaluating its effectiveness in 2016 and 2020.

34. NGOs are invited to provide relevant information to the secretariat and in accordance with their national circumstances, informing and involving their national focal point as appropriate, on progress achieved, for the purpose of reviewing the Doha work programme and evaluating its effectiveness in 2016 and 2020.

G. Role of the secretariat

35. In accordance with Article 8 of the Convention, the secretariat is requested to facilitate the work on the Doha work programme and, in particular:

(a) To prepare reports to the SBI on progress achieved by Parties in implementing Article 6 of the Convention, based on information contained in national communications, reports on the annual in-session dialogue on Article 6 and other sources of information, including a report¹ on good practices of stakeholder participation in implementing Article 6 activities. These reports will be issued regularly, and in particular for the intermediate progress review in 2016 and the review in 2020;

(b) To facilitate coordinated inputs into the eight-year Doha work programme from relevant organizations;

(c) To continue its work on maintaining, developing and promoting CC:iNet, by reforming its structure, improving its functionality and accessibility and increasing the content in the official United Nations languages and other languages;

(d) To establish a network of national focal points for Article 6 of the Convention and facilitate a regular exchange of views, good practices and lessons learned through CC:iNet and the organization of workshops, videoconferences and activities at the international, regional and national levels in order to build and strengthen the existing skills and capacities of national focal points for Article 6;

(e) To catalyse collaborative training initiatives and projects to promote an effective implementation of Article 6 of the Convention at the international, regional and national levels in cooperation with Parties, international organizations, NGOs, youth organizations and development partners;

(f) To continue its work on the United Nations Joint Framework Initiative on Children, Youth and Climate Change in order to enhance the involvement and participation of children and youth in Article 6 activities and their attendance at intergovernmental meetings, including sessions of the COP;

(g) To continue collaborating and coordinating with United Nations organizations, other IGOs, NGOs, the private sector, civil society and youth, with a view to catalysing action on the implementation of Article 6 of the Convention.

*9th plenary meeting
7 December 2012*

¹ Taking into account information presented in the “Synthesis report on ways to enhance the engagement of observer organizations” (FCCC/SBI/2010/16) and the “Report on the in-session workshop to further develop ways to enhance the engagement of observer organizations” (FCCC/SBI/2011/INF.7).

Decision 16/CP.18

Prototype of the registry

The Conference of the Parties,

Recalling decisions 1/CP.13, 1/CP.16 and 2/CP.17,

Further recalling decision 2/CP.17, paragraph 45(b), by which the Conference of the Parties decided that participation in the registry shall be voluntary that and only information submitted expressly for inclusion in the registry should be recorded,

1. *Takes note* with appreciation of the submission of nationally appropriate mitigation actions by developing countries to the registry;
2. *Reiterates* its invitation to developed country Parties, the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and non-governmental organizations that are in position to do so, to submit, as appropriate, information on financial, technology and capacity-building support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions, in accordance with decision 2/CP.17, paragraph 48;
3. *Invites* developing country Parties to submit, as appropriate, information on further individual nationally appropriate mitigation actions seeking international support in accordance with decision 2/CP.17, paragraph 46;
4. *Invites* developing country Parties to submit other individual nationally appropriate mitigation actions, for their recognition, in accordance with decision 2/CP.17, paragraph 47.
5. *Notes* that the information referred to in paragraph 2 above is important for the registry to play an effective role in facilitating the matching of actions seeking international support with support available by providing and directing information to Parties, in accordance with decision 2/CP.17, paragraph 51;
6. *Takes note* of the general design requirements¹ for the prototype of the registry presented by the secretariat at the thirty-seventh session of the Subsidiary Body for Implementation;
7. *Also takes note* of information provided by the secretariat indicating that a fully operational prototype of the registry will be deployed in April 2013;
8. *Requests* the secretariat to notify Parties of the deployment of the fully operational prototype of the registry referred to in paragraph 7 above, and to provide the necessary access rights to the Parties and entities referred to in decision 2/CP.17, paragraphs 46–48, to enable them to use this registry;
9. *Invites* Parties and entities to submit to the secretariat, before the thirty eighth session of the Subsidiary Body for Implementation, comments on the fully operational prototype of the registry referred to in paragraph 7 above;
10. *Decides* to operationalize the registry by requesting the secretariat to deploy the first release of the dynamic web-based registry at least two months before the nineteenth session

¹ These requirements are described in an informal note by the secretariat available at http://unfccc.int/files/cooperation_support/nama/application/pdf/design_requirements.pdf.

of the Conference of the Parties, after having taken into consideration the comments referred to in paragraph 9 above;

11. *Further requests* the secretariat:

(a) To notify Parties of the deployment of the first release of the dynamic, web-based registry;

(b) To provide information on the operation of the registry to the Conference of the Parties at its nineteenth session in order to inform the discussions on the financial mechanism, in accordance with decision 2/CP.17, paragraph 52(b);

(c) To continue to provide technical assistance to the Parties and entities referred to in decision 2/CP.17, paragraphs 46–48, in using the registry;

(d) To engage with the Parties and entities referred to in decision 2/CP.17, paragraph 48, to facilitate the provision of information on support;

12. *Takes note* of the estimated budgetary implications of the activities to be undertaken by the secretariat pursuant to the provisions contained in paragraphs 10 and 11 above;

13. *Requests* that the actions of the secretariat called for in this decision be undertaken subject to the availability of financial resources.

*9th plenary meeting
7 December 2012*

Decision 17/CP.18

Composition, modalities and procedures of the team of technical experts under international consultations and analysis

The Conference of the Parties,

Decides to forward the text of a draft decision contained in the annex to this decision, for consideration by the Subsidiary Body for Implementation at its thirty-eighth session, with a view to recommending a draft decision on this matter, for adoption by the Conference of the Parties at its nineteenth session.

Annex

[English only]

Draft decision text**[Draft decision -/CP.18****Composition, modalities and procedures of the team of technical experts under international consultations and analysis**

The Conference of the Parties,

Recalling decisions 1/CP.16 and 2/CP.17, which established a process for international consultation and analysis of biennial update reports under the Subsidiary Body for Implementation that aims to increase the transparency of mitigation actions and their effects, and adopted the modalities and guidelines for the international consultation and analysis,

Noting that international consultation and analysis of biennial update reports will be conducted in a manner that is non-intrusive, non-punitive and respectful of national sovereignty,

Recognizing the need to have an efficient, cost-effective and practical international consultation and analysis process which does not impose an excessive burden on Parties and the secretariat,

Having taken note of the estimated budgetary implications, as provided by the secretariat, of the actions requested of the secretariat in paragraph 3 below and other actions contained in the appendix to this decision,

Also recognizing the difficulties faced by Parties not included in Annex I to the Convention (non-Annex I Parties) in reporting under the Convention, as well as the need to take into account national capabilities and circumstances, the need to build capacity and the need for the provision of financial support in a timely manner to non-Annex I Parties to facilitate the timely preparation of their biennial update reports,

Further recognizing that the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention will play an important role in facilitating technical advice and support for the preparation and submission of Parties' first biennial update report,

Having taken note that the requirements for additional resources for the implementation of the actions referred to in paragraph 3 below cannot be met by the approved UNFCCC core budget for the biennium 2012–2013,

Having taken note that the UNFCCC core budget for the biennium 2014–2015 will need to address the resources necessary for the implementation of the actions contained in the appendix to this decision,

1. *Adopts* the composition, modalities and procedures of the team of technical experts referred to decision 2/CP.17, annex IV, paragraph 1, as contained in the appendix to this decision;
2. *Invites* Parties and, as appropriate, intergovernmental organizations to nominate technical experts with the relevant qualifications to the UNFCCC roster of experts;

3. *Requests* the secretariat:
 - (a) To maintain and update the roster of technical experts;
 - (b) To develop the technical tools necessary to conduct the technical analysis of the biennial update reports efficiently;
4. *Requests* the CGE to develop [and conduct] appropriate training programmes for nominated technical experts, based on the most-updated training materials of the CGE, with a view to improve the technical analysis taking into account the difficulties encountered by non-Annex I parties in the preparation of their BURs;
5. *Encourages* Parties included in Annex II to the Convention to provide the financial resources necessary for the actions of the secretariat called for in paragraph 3 above and the actions required within the provisions contained in the appendix to this decision;
6. *Also encourages* developed country Parties and other developed country Parties included in Annex II to the Convention to provide new and additional financial resources at the agreed full cost in accordance with Article 4, paragraph 3, of the Convention and relevant decisions of the Conference of the Parties, with a view to supporting any reporting needed for international consultations and analysis;
7. *Requests* that the actions of the secretariat called for in this decision, and the actions required within the provisions contained in the appendix to this decision, be undertaken subject to the availability of financial resources.

Appendix

Composition, modalities and procedures of the team of technical experts for undertaking the technical analysis of biennial update reports from Parties not included in Annex I to the Convention

The objective of this document is to provide details of the composition, modalities and procedures of the team of technical experts (TTE) referred to in decision 2/CP.17, annex IV, paragraph 3, for undertaking technical analysis of biennial update reports (BURs) from Parties not included in Annex I to the Convention (non-Annex I Parties), in a manner that is non-intrusive, non-punitive and respectful of national sovereignty, and that does not include, in accordance with decision 1/CP.16, paragraph 64, discussion about the appropriateness of such domestic policies and measures.

Option 1 (paragraphs 1-3)

1. The secretariat will provide administrative support to the TTE. In the selection of the members of the TTE the secretariat will be guided by the CGE in accordance with this decision.
2. A TTE shall be composed of 3-9 experts made up of, as a high priority and to the extent available, 1-3 CGE members and other experts drawn from the UNFCCC roster of experts with priority given to experts who served as the members of the CGE. Only those nominated experts that have successfully completed the CGE training programme referred to in paragraph xx of this decision shall be eligible to serve in the TTE.
3. [The composition of each TTE shall aim to ensure the geographical balance, ensure that majority of experts come from non-Annex I Parties and ensure expertise needed to address the areas of information defined in decision 2/CP.17, annex IV, paragraph 3(a).]

Option 2 (paragraphs 1-3bis)

1. The secretariat will provide administrative support to the TTE and coordinate the selection of the members of the TTE.
2. A TTE shall be composed of 3-6 experts made up of experts drawn from the roster of experts, of which, as a high priority and to the extent available, CGE members or other experts who served as members of the CGE. CGE members shall maintain, at a maximum, a ratio of one third to the total members of the TTE. Only those experts and members of the CGE that have successfully completed the training programme referred to in paragraph XX of this decision shall be eligible to serve in the TTE.
3. The overall composition of the TTEs shall aim to ensure a balance between experts from non-Annex I and Annex I Parties. The secretariat shall make every effort to ensure geographical balance among those experts selected from non-Annex I Parties and among those experts selected from Annex I Parties and ensure expertise needed to address the areas of information defined in decision 2/CP.17, annex IV, paragraph 3(a).

3bis: A member of the TTE shall not have been involved in the preparation of the BUR under analysis.

Option 3 (paragraphs 1-4)

1. A team of technical experts shall be composed of experts nominated to the UNFCCC roster of experts by Parties to the Convention and, as appropriate, by intergovernmental organizations.

2. [Only those nominated experts that have been successfully completed the training programme conducted by the CGE will be able to conduct the technical analysis referred to in paragraph 3(a) of Annex IV of Decision 2/CP.17.]
3. The secretariat shall compose the members of a TTE from the UNFCCC roster of experts maintained by the secretariat to conduct technical analysis of biennial update reports in such a way that:
 - (a) Allows the collective skills of each team to address the areas of information defined in decision 2/CP.17, annex IV, paragraph 3(a);
 - (b) Achieves a balance between experts from Parties included in Annex I to the Convention (Annex I Parties) and non-Annex I Parties in the overall composition of the team, without compromising the selection criteria referred to in paragraph 1 above;
 - (c) Ensures geographical balance among the experts selected from non-Annex I Parties and Annex I Parties;
 - (d) Ensures that each TTE is co-led by two experts: one from an Annex I Party and another from a non-Annex I Party. The co-lead experts should ensure that the technical analyses in which they participate are performed in accordance with the relevant guidelines contained in relevant decisions of the Conference of the Parties.
4. A TTE may vary in size and composition, taking into account the national circumstances of the Party whose BUR is under technical analysis and the particular needs for expertise of each technical analysis activity. At least one member of the TTE shall be an expert in greenhouse gas inventories. The need for experts in the associated methodologies and assumptions behind mitigation actions should be determined based on the national circumstances of the Party whose BUR is under technical analysis.

Option 4 (paragraphs 1-3)

1. The Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE) shall serve as the TTE for international consultation and analysis and undertake the technical analysis of biennial update reports in a manner that is non-intrusive, non-punitive and respectful of national sovereignty, in accordance with decision 2/CP.17, paragraphs 56–62 and annex IV. The membership of the CGE shall be as set out in decision 3/CP.8, annex, paragraphs 3–8.
2. The CGE may establish committees, panels or working groups to assist it in the performance of its functions. The CGE shall draw on the expertise necessary to perform its functions, including from the UNFCCC roster of experts. In this context, it shall take regional balance fully into consideration, in line with the composition of the CGE.
3. The CGE may decide on the organization of committees, panels or working groups for conducting the technical analysis of individual or groups of up to four BURs from Parties not included in Annex I to the Convention within six months of its submission.

Option 5 (paragraphs 1-4)

1. A TTE will be coordinated by the secretariat under the guidance of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE) and shall be composed of at least three experts selected from the CGE.
2. Other additional experts, drawn from the UNFCCC roster of experts by the secretariat under the guidance of CGE, may be included in the TTE, taking into account the national circumstance of the Party concerned and the different expertise needs of each technical analysis.

3. The composition of experts should ensure the geographical balance, maximize the participation of experts from non-Annex I Parties (no less than 70% of experts should be from non-Annex I Parties) and take into consideration of expertise needed.

4. Experts selected from the UNFCCC roster of experts should have recognized competence in understanding the difficulties encountered by non-Annex I Parties in preparation of their BURs. Participating experts shall be trained on ad hoc basis by the CGE to ensure the necessary competence of experts.

[4. Ensures that each TTE is coordinated by two experts: one from a Party included in Annex I to the Convention (Annex I Party) and another from a non-Annex I Party. The coordinators should ensure that the technical analyses in which they participate are performed in accordance with the relevant guidelines contained in relevant decisions of the Conference of the Parties.]

8. The participating experts shall serve in their personal capacity. They shall neither be a national of the Party whose BUR is under analysis nor be nominated by that Party.

9. The technical analysis of successive BURs from the same Party shall not be undertaken by the same TTE.

10.

Option 1 A single TTE shall be responsible for conducting the technical analysis of at least 2 up to six submitted BURs individually, within six months of its submission, resulting in an individual summary report for each analysed BUR. In accordance with decision 2/CP.17, paragraph 58(d), small island developing States and the least developed country Parties may undergo international consultation and analysis as a group of Parties at their discretion.

[On a voluntary basis, and at the request of the Party concerned, the TTE may be constituted to undertake the technical analysis of the BUR in the country of the requesting Party.]

Option 2 Individual technical analysis of single BUR shall be conducted by a TTE in a single location. A TTE may analyse several BURs during one series of technical analyses. In accordance with decision 2/CP.17, paragraph 58(d), small island developing States and the least developed country Parties may undergo international consultation and analysis as a group of Parties at their discretion.

12. The technical analysis of BURs shall result in an individual summary report for each BUR submitted and analysed.

13. The TTE shall complete a draft summary report, referred to in paragraph 12 above, no later than three months after the start of the technical analysis. The draft summary report should be shared with the respective non-Annex I Party for comment, to be provided within three months of its receipt.

14. The TTE shall respond to and incorporate the comments referred to in paragraph 13 above from the Party concerned and finalize, in consultation with the Party concerned, the summary report within three months of the receipt of the comments. [Should the Party and the TTE be unable to reach common understanding on the treatment of comments, the TTE shall ensure that the comments of the Party are incorporated.]

15. The summary report referred to in paragraph xx above will be noted by the SBI in its conclusions and shall be made publicly available on the UNFCCC website.

Option 1 (Paragraphs 16 and 17)

[16. In the course of a technical analysis, as set out in decision 2/CP.17, annex IV, paragraphs 4, the Party concerned may provide the TTE with additional technical information and data sufficient to assess the conformity of the BUR with the “UNFCCC biennial update reporting guidelines for Parties not included in Annex I to the Convention” and other relevant guidelines adopted by the Conference of the Parties.

17. Where some of the additional information or data, including the data used by the Party concerned to prepare its BUR, requested by the team of experts in accordance with decision 2/CP.17, annex IV, paragraph 4, falls under confidentiality protection in accordance with the national legislation of the Party concerned, the Party shall inform the TTE thereof, indicating the reasons for classifying the information.]

Option 2: No text

18. The obligation of a member of a TTE not to disclose confidential information shall continue after termination of his or her service on the TTE.

19. Participating experts from non-Annex I Parties and Annex I Parties with economies in transition shall be funded in accordance with the existing procedures¹ for participation in UNFCCC activities. Experts from other Parties included in Annex I to the Convention shall be funded by their governments and those representing intergovernmental organizations shall be funded by their respective organizations.

[20. The technical analysis under ICA will aim to increase transparency of mitigation actions and their effects; discussion on appropriateness of such domestic policies and measures is not part of the process. The TTE shall:

(a)

Option 1: [Check the completeness² of submitted BURs against the “UNFCCC biennial update reporting guidelines for Parties not included in Annex I to the Convention” contained in annex III to decision 2/CP.17;]

Option 2: Identify the extent to which the elements of information listed in paragraph 3(a) of the guidelines contained in decision 2/CP.17, annex IV are included in the biennial update report of the Party concerned;

Option 3: Analysis of the completeness³ of submitted BURs related to the scope in accordance with paragraph 3 of the “UNFCCC biennial update reporting guidelines for Parties not included in Annex I to the Convention” contained in annex III to decision 2/CP.17

(b)

Option 1: No text

¹ Under this procedure, funding is limited to an air ticket for the most direct route and at the least costly fare, plus a daily subsistence allowance at the established United Nations rate.

² Completeness refers to a complete biennial update report, containing the elements identified in UNFCCC biennial update reporting guidelines for Parties not included in Annex I to the Convention (Annex III in decision 2/CP.17).

³ Completeness refers to a complete biennial update report, containing the elements identified in UNFCCC biennial update reporting guidelines for Parties not included in Annex I to the Convention (Annex III in decision 2/CP.17).

Option 2: [Examine the consistency, [transparency and comparability⁴] of the BUR with the “UNFCCC biennial update reporting guidelines for Parties not included in Annex I to the Convention” contained in annex III to decision 2/CP.17;]

Option 3: Analysis of the consistency, transparency, accuracy, timeliness and methodological comparability of the information presented.

(c) [Conduct a technical analysis which considers the information listed in paragraph 3(a) of the guidelines contained in decision 2/CP.17, annex IV, including: national greenhouse gas inventory reports; information on mitigation actions, including a description of such actions, an analysis of their impacts and associated methodologies and assumptions, and the progress made in their implementation; information on domestic measurement, reporting and verification, and support received; and any additional information provided by the Party⁵ in order to analyse the BUR according to the guidelines;]

(d)

Option 1: No text

Option 2: Identify needs for further capacity building [and noting possible ways][in order][and suggest possible ways] to enhance the preparation of BURs, taking into account differing national circumstances and capabilities and provide comments [or encouragements] to the Party concerned.

Option 3: Provide comments on difficulties encountered by the NAI Party concerned in preparation for its BUR in order to facilitate identification of further capacity building activities needed

(e)

Option 1: No text

Option 2: [[Prepare a draft summary report containing the outcomes of the analysis of each BUR under its collective responsibility and in consultation with the Party concerned.] The summary report may also include the [recommendations][suggestions] referred to in paragraph xx above.]

Option 3: :[Prepare a draft summary report, incorporate comments from Parties, and finalize the report in consultation with the Party concerned.]]

21.

Option 1: No text

Option 2: With a view to continuously improving the ICA process, the [coordinators][CGE] shall meet periodically:

(a) To prepare a report for the SBI biennially, containing [recommendations and suggestions][comments] on how to improve the quality of BURs, and the technical analysis and the ICA process;

(b) To advise on technical support tools to facilitate the technical analysis;

⁴ [Comparability means that estimates of emissions and removals reported by non-Annex I Parties in their inventories should be comparable among non-Annex I Parties. For this purpose, non-Annex I Parties should use the methodologies and formats agreed by the COP for estimating and reporting inventories.]

⁵ Refers to additional technical information that may be provided by the Party concerned in accordance with decision 2/CP.17, annex IV, paragraph 4.

Option 3: With a view to continuously improving the technical analysis process, the secretariat will collect input from the TTE members and prepare a technical paper for consideration by the SBI as an input to the revision of the technical analysis guidelines and to the CGE for consideration in implementation of its work programme.]

*9th plenary meeting
7 December 2012*

Decision 18/CP.18

Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention

The Conference of the Parties,

Recalling the relevant provisions of the Convention, in particular Article 4, paragraphs 1, 3 and 7, and Article 12, paragraphs 1, 4, 5 and 7,

Also recalling decisions 8/CP.5, 3/CP.8, 17/CP.8, 8/CP.11, 5/CP.15, 1/CP.16, 2/CP.17 and 14/CP.17,

Acknowledging that the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention has made a substantial contribution to improving the process of, and preparation of, national communications from Parties not included in Annex I to the Convention (non-Annex I Parties) by providing technical advice and support and therefore enhancing the capacity of such Parties to prepare their national communications,

Emphasizing the importance of providing relevant technical advice and support for the process of the preparation of national communications, as well as the importance of providing a forum for non-Annex I Parties to share experiences of this process,

Recognizing that the preparation of national communications is a continuing process,

Also recognizing that the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention will also play an important role in facilitating technical advice and support for the preparation and submission of the first biennial update report,

1. *Decides* to extend for a term of one year, the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention including its current membership;
2. *Also decides* that the Consultative Group of Experts, in fulfilling its mandate, shall function in accordance with the terms of reference contained in the annex to decision 5/CP.15;
3. *Requests* the Consultative Group of Experts to develop, at its first meeting in 2013, a work programme for 2013, taking into account the current and future needs of Parties not included in Annex I to the Convention, the provisions under the Convention and the relevant decisions of the Conference of the Parties;
4. *Invites* Parties included in Annex II to the Convention and other Parties included in Annex I to the Convention in a position to do so to provide financial resources to enable the Consultative Group of Experts to implement the activities planned in accordance with its work programme in a timely manner;
5. *Decides* to forward the text of a draft decision contained in the annex to this decision, for consideration by the Subsidiary Body for Implementation at its thirty-eighth session, with a view to recommending a draft decision on this matter, for adoption by the Conference of the Parties at its nineteenth session.

Annex

[English only]

Draft decision text¹

[Draft decision -/CP.18

Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention

[The Conference of the Parties,

Recalling the relevant provisions of the Convention, in particular Article 4, paragraphs 1, 3 and 7, and Article 12, paragraphs 1, 4, 5 and 7,

Also recalling decisions on 8/CP.5, 3/CP.8, 17/CP.8, 8/CP.11, *5/CP.15, 1/CP.16, 2/CP.17 and 14/CP.17.*

Acknowledging that the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention has made a substantial contribution to improving the process of preparation of national communications from Parties not included in Annex I to the Convention (non-Annex I Parties) by providing technical advice and support and therefore enhancing the capacity of such Parties to prepare their national communications,

Recalling decision 1/CP.16, paragraph 60, that decided to enhance the reporting in national communications from non-Annex I Parties, and emphasizing that the CGE could provide also technical advice and support for the preparation of biennial update reports,

Having taken note of, as provided by the secretariat, the estimated budgetary implications of the actions requested of the secretariat in paragraph 10 below and other actions contained in the annex to this decision,

Also having taken note that the requirements for additional resources for the implementation of the relevant actions referred to in paragraph 10 below and in the annex to this decision cannot be met by the approved core budget of the secretariat for the biennium 2012–2013,

Emphasizing the importance of providing relevant technical advice and support for the process of preparation of national communications *and biennial update reports,* as well as the importance of providing a forum for non-Annex I Parties to share experiences of this process,

[Recognizing further that developing countries require further support in the process to enhanced reporting,]

Recognizing that the preparation of national communications *and biennial update reports* is a continuing process,

¹ At the thirty-seventh session of the Subsidiary Body for Implementation, Parties agreed to identify parts of the texts contained in this draft decision and its annex that are different from those contained in decision 5/CP.15 and its annex. The underlined texts in italics represent those texts.

1. Decides to continue the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention [for a period of [three years from 2013 to 2015][four years from 2013 to 2016] [five years from 2013 to 2017]][as a permanent expert group of the Convention];
2. Also decides that the Consultative Group of Experts, in fulfilling its mandate, shall function in accordance with the [revised] terms of reference contained in annex I to this decision;
3. Further decides that membership of the Consultative Group of Experts [should be increased from 24 to 28 with four additional members from Annex I Parties to the Convention (Annex I Parties)] [shall be the same as in decision 3/CP.8, annex, paragraphs 3–8] [should be expanded from 24 to 26 members in order to include one member from non-Annex I countries of Eastern European Group and an additional one member from Parties included in Annex I to the Convention (Annex I Parties)];
4. Decides that the Consultative Group of Experts shall be composed of experts [drawn from the UNFCCC roster of experts] with expertise in at least one of the following chapters of national communications or biennial update reports: greenhouse gas inventories, vulnerability and adaptation assessment, mitigation [financing, MRV/NAMAs, technology] and other matters related to the process of preparation of national communications and biennial update reports;
5. Encourages regional groups, in nominating their experts to the Consultative Group of Experts, to make every effort to ensure balanced representation in the areas of expertise indicated in paragraph 4 above [as well as taking into account gender balance in accordance with decision 36/CP.7];
6. Requests the secretariat to publish the list of the membership of the Consultative Group of Experts including their respective area of expertise and experience relating to national communications and/or biennial update reports, and notify the Subsidiary Body for Implementation of such appointments;
7. Further requests the Consultative Group of Experts to report on the progress of its work to the Subsidiary Body for Implementation [at its second meeting of each year] [at the SBI meeting during the COP session];
8. Decides to [initiate] review, at its [twenty-first] [twentieth] [twenty-fifth] session, [the term and mandate][mandate and terms of reference] of the Consultative Group of Experts [and the need for the continuation of the group], with a view to adopting a decision thereon [at the same session];
9. Requests the secretariat to facilitate the work of the Consultative Group of Experts by:
 - (a) Organizing meetings and workshops of the Consultative Group of Experts and compiling reports of its meetings and workshops for consideration by the Subsidiary Body for Implementation;
 - (b) Providing technical support to the Consultative Group of Experts as required, particularly in the areas of national greenhouse gas inventories, vulnerability and adaptation assessment, mitigation assessment, research and systematic observation, education, training and public awareness, technology transfer and capacity-building, [and also mitigation actions and assessments relating to institutional arrangements, assessment of gaps and needs, support received, domestic MRV, projections] as they relate to the process of and the preparation of national communications [or biennial update reports];
 - (c) Liaising with other relevant multilateral programmes and organizations to provide additional [financial and] technical support [disseminating the information

materials and technical reports prepared by the Consultative Group of Experts to Parties, relevant experts and organizations] to the Consultative Group of Experts as required related to the preparation of national communications and biennial update reports;

(d) [Providing technical and logistical support, as required [by committees, panels or working groups established to serve as technical experts for its functions including ICA;], [to the Consultative Group of Experts in [serving as] [building capacity for] the team of technical experts for international consultation and analysis;]]

10. [Invites][Urges] Parties included in Annex II to the Convention and other Parties [included in Annex I to the Convention] in a position to do so to [provide][contribute] financial resources to enhance the support by the secretariat to the work of the Consultative Group of Experts and to support the full operation of the work of the Consultative Group of Experts.

11. Requests that the actions of the secretariat called for in this decision be undertaken subject to the availability of financial resources.

Appendix

Terms of reference of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention

1. [The Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE) shall have the objective^[s] of:

(a) Improving the process of and preparation of national communications *and biennial update reports* from Parties not included in Annex I to the Convention (non-Annex I Parties), by providing technical advice and support to non-Annex I Parties;

(b) *Building capacity of the team of technical experts* referred to in decision 2/CP.17, annex IV, paragraph 3, for undertaking technical analysis of biennial update reports (BURs) from Parties not included in Annex I to the Convention (non-Annex I Parties) under *international consultation and analysis (ICA) process*.

(c) *Placeholder for objective(s) related to the role of CGE in technical analysis*.

2. The CGE, in fulfilling its mandate, shall:

(a) *[Identify and provide technical assistance regarding problems and constraints that have affected the process of and the preparation of national communications and biennial update reports by non-Annex I Parties;]*

(b) *[Provide technical assistance and support to non-Annex I Parties to facilitate the process of and preparation of their national communications and biennial update reports, with a view to improving the accuracy, consistency and transparency of the information in their national communications and biennial update reports, particularly with respect to reporting on national GHG inventories, vulnerability and adaptation assessments, mitigation, and cross-cutting issues (research and systematic observation, technology transfer, capacity-building, education, training and public awareness, information and networking and financial and technical support)];*

(c) *[Provide technical advice to non-Annex I Parties to facilitate the development and long-term sustainability of processes of the preparation of national communications and biennial update reports, including the elaboration of appropriate institutional arrangements and the establishment and maintenance of national technical teams, for the preparation of national communications and biennial update reports, including GHG inventories, on a continuous basis;]*

(d) *[Provide technical advice and assistance to non-Annex I Parties, upon request, on preparation and submission of their nationally appropriate mitigation actions;]*

(e) *[Provide recommendations, as appropriate, on elements to be considered in a future revision of the guidelines for the preparation of national communications and biennial update reports from non-Annex I, taking into account the difficulties encountered by non-Annex I Parties in the preparation of their national communications and biennial update reports;]*

(f) *Provide technical advice and support to Parties, upon request, information on existing activities and programmes, including bilateral, regional and multilateral sources of financial and technical assistance, to facilitate and support the preparation of national communications and biennial update reports by non-Annex I Parties.]*

(g) *Provide technical advice and support to Parties, upon request, on the provision of information on steps to integrate climate change considerations into relevant*

social, economic and environmental policies and actions, in accordance with Article 4, paragraph 1(f), of the Convention;

(h) [Provide information on [financial] support available and technical advice to non-Annex I Parties, and extract lessons learned and best practices on addressing constraints and gaps and related financial, technical, and capacity building needs, in particular on adaptation from non-Annex I national communications and biennial update reports:]

(i) Placeholder for ICA capacity building elements;

(j) Placeholder for any role of CGE in technical analysis

3. The CGE shall, in defining and implementing its work programme, take into account other relevant work by expert groups under the Convention [and should also engage, upon request, with the Adaptation Committee, Climate Technology Centre and Network, Technology Executive Committee, and the Durban Forum for In-Depth Discussion on Capacity-Building] in order to avoid duplication of work.

4. [In the light of the new mandate, CGE shall include in its work plan up to the nineteenth session of the Conference of the Parties, inter alia, the following task: to develop and agree on its revised rules of procedure, in view of its new activities, and recommend them to the Conference of the Parties for adoption.]

4alt. [The Consultative Group of Experts shall develop, at its first meeting, a work programme for 20XX–20XX.]

5. The CGE shall forward recommendations on matters indicated in paragraph 2 above for consideration by the SBI as appropriate.]]

9th plenary meeting
7 December 2012



Conference of the Parties

Report of the Conference of the Parties on its eighteenth session, held in Doha from 26 November to 8 December 2012

Addendum

Part Two: Action taken by the Conference of the Parties at its eighteenth session

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Decision 19/CP.18

Common tabular format for “UNFCCC biennial reporting guidelines for developed country Parties”

The Conference of the Parties,

Recalling the relevant provisions of the Convention, in particular Articles 4, 5, 7, 10 and 12, and decisions 9/CP.2, 11/CP.4, 4/CP.5, 1/CP.16 and 9/CP.16 on national communications from Parties included in Annex I to the Convention,

Also recalling that by decision 2/CP.17 it decided that developed country Parties shall use the “UNFCCC biennial reporting guidelines for developed country Parties”¹ for the preparation of their first biennial reports, taking into account their national circumstances, and shall submit their first biennial reports to the secretariat by 1 January 2014,

Further recalling that by decision 2/CP.17 it requested the Subsidiary Body for Scientific and Technological Advice to develop, taking into account existing international methodologies, and based on experiences gained in preparing the first biennial reports, methodologies for reporting financial information, with a view to recommending a decision on this matter to the Conference of the Parties at its twentieth session,

Recalling that the Subsidiary Body for Scientific and Technological Advice, at its thirty-sixth session,² agreed that the common tabular format will include tables for information specified in paragraphs 2, 5, 6, 9, 10, 11, 17, 18, 22 and 23 of the reporting guidelines and it may also include tables for information specified in paragraphs, inter alia, 13, 19 and 24 of the reporting guidelines,

1. *Adopts* the common tabular format for the “UNFCCC biennial reporting guidelines for developed country Parties” (hereinafter referred to as the reporting guidelines), as contained in the annex to this decision, noting that developed country Parties, in accordance with decision 2/CP.17, paragraph 13, shall use the reporting guidelines for the preparation of their biennial reports and will provide information on all the elements of the guidelines in these reports;

2. *Requests* the secretariat to develop a test version of an electronic reporting application for the common tabular format, for developed country Parties, by May 2013 and to finalize the development by July 2013;

3. *Also requests* the secretariat to revise the electronic reporting application for a common tabular format, in accordance with relevant decisions of the Conference of the Parties and, where applicable, with decisions of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol;

4. *Decides* that developed country Parties shall use the electronic reporting application taking into account their national circumstances when preparing and submitting their biennial reports in accordance with decision 2/CP.17;

5. *Encourages* developed country Parties to ensure consistency, to the extent possible, between the information provided in their biennial reports and the national communications;

¹ Contained in decision 2/CP.17, annex I.

² FCCC/SBSTA/2012/2.

6. *Requests* Parties to consider the best approach for future reporting on climate related private finance at the next revision of the reporting guidelines;
7. *Takes note* of the estimated budgetary implications of the activities to be undertaken by the secretariat pursuant to the provisions contained in paragraphs 2 and 3 above;
8. *Requests* that the actions of the secretariat called for in these conclusions be undertaken subject to the availability of financial resources.

Annex ¹

Table 1

Emission trends: summary

Year
Submission
Country

GREENHOUSE GAS EMISSIONS	Base year ^a	1990	(Years 1991 to latest reported year)	Change from base to latest reported year
	(kt CO ₂ eq)			(%)
CO ₂ emissions including net CO ₂ from LULUCF				
CO ₂ emissions excluding net CO ₂ from LULUCF				
CH ₄ emissions including CH ₄ from LULUCF				
CH ₄ emissions excluding CH ₄ from LULUCF				
N ₂ O emissions including N ₂ O from LULUCF				
N ₂ O emissions excluding N ₂ O from LULUCF				
HFCs				
PFCs				
SF ₆				
Total (including LULUCF)				
Total (excluding LULUCF)				

GREENHOUSE GAS SOURCE AND SINK CATEGORIES	Base year ^a	1990	(Years 1991 to latest reported year)	Change from base to latest reported year
	(kt CO ₂ eq)			(%)
1. Energy				
2. Industrial processes				
3. Solvent and other product use				
4. Agriculture				
5. Land use, land-use change and forestry ^b				
6. Waste				
7. Other				
Total (including LULUCF)				

¹ The common tabular format will be revised, in accordance with relevant decisions of the Conference of the Parties and, where applicable, with decisions of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol.

Notes:

(1) Further detailed information could be found in the common reporting format tables of the Party's greenhouse gas inventory, namely "Emission trends (CO₂)", "Emission trends (CH₄)", "Emission trends (N₂O)" and "Emission trends (HFCs, PFCs and SF₆)", which is included in an annex to this biennial report; (2) 20XX is the latest reported inventory year; (3) 1 kt CO₂ eq equals 1 Gg CO₂ eq.

Abbreviation: LULUCF = land use, land-use change and forestry.

^a The column "Base year" should be filled in only by those Parties with economies in transition that use a base year different from 1990 in accordance with the relevant decisions of the Conference of the Parties. For these Parties, this different base year is used to calculate the percentage change in the final column of this table.

^b Includes net CO₂, CH₄ and N₂O from LULUCF.

Table 1
(cont.) **Emission trends (CO₂)**

Year
Submission
Country

GREENHOUSE GAS SOURCE AND SINK CATEGORIES	Base year ^a	1990	(Years 1991 to latest reported year)	Change from base to latest reported year
		(kt)		(%)
1. Energy				
A. Fuel combustion (sectoral approach)				
1. Energy industries				
2. Manufacturing industries and construction				
3. Transport				
4. Other sectors				
5. Other				
B. Fugitive emissions from fuels				
1. Solid fuels				
2. Oil and natural gas				
2. Industrial processes				
A. Mineral products				
B. Chemical industry				
C. Metal production				
D. Other production				
E. Production of halocarbons and SF ₆				
F. Consumption of halocarbons and SF ₆				
G. Other				
3. Solvent and other product use				
4. Agriculture				
A. Enteric fermentation				
B. Manure management				
C. Rice cultivation				
D. Agricultural soils				
E. Prescribed burning of savannas				
F. Field burning of agricultural residues				
G. Other				
5. Land use, land-use change and forestry^b				
A. Forest land				

B. Cropland				
C. Grassland				
D. Wetlands				
E. Settlements				
F. Other land				
G. Other				
6. Waste				
A. Solid waste disposal on land				
B. Wastewater handling				
C. Waste incineration				
D. Other				
7. Other (as specified in the summary 1.A in the latest submitted CRF)				
Total CO₂ emissions including net CO₂ from LULUCF				
Total CO₂ emissions excluding net CO₂ from LULUCF				
Memo items:				
International bunkers				
Aviation				
Marine				
Multilateral operations				
CO₂ emissions from biomass				

Abbreviations: CRF = common reporting format, LULUCF = land use, land-use change and forestry.

^a The column “Base year” should be filled in only by those Parties with economies in transition that use a base year different from 1990 in accordance with the relevant decisions of the Conference of the Parties. For these Parties, this different base year is used to calculate the percentage change in the final column of this table.

^b Fill in net emissions/removals as reported in table Summary 1.A of the latest reported inventory year. For the purposes of reporting, the signs for removals are always negative (-) and for emissions positive (+).

Table 1
(cont.) **Emission trends (CH₄)**

Year
Submission
Country

GREENHOUSE GAS SOURCE AND SINK CATEGORIES	Base year ^a	1990	(Years 1991 to latest reported year)	Change from base to latest reported year
		(kt)		(%)
1. Energy				
A. Fuel combustion (sectoral approach)				
1. Energy industries				
2. Manufacturing industries and construction				
3. Transport				
4. Other sectors				
5. Other				
B. Fugitive emissions from fuels				
1. Solid fuels				
2. Oil and natural gas				
2. Industrial processes				
A. Mineral products				
B. Chemical industry				
C. Metal production				
D. Other production				
E. Production of halocarbons and SF ₆				
F. Consumption of halocarbons and SF ₆				
G. Other				
3. Solvent and other product use				
4. Agriculture				
A. Enteric fermentation				
B. Manure management				
C. Rice cultivation				
D. Agricultural soils				
E. Prescribed burning of savannas				
F. Field burning of agricultural residues				
G. Other				
5. Land use, land-use change and forestry				
A. Forest land				
B. Cropland				
C. Grassland				

D. Wetlands				
E. Settlements				
F. Other land				
G. Other				
6. Waste				
A. Solid waste disposal on land				
B. Wastewater handling				
C. Waste incineration				
D. Other				
7. Other (as specified in the summary 1.A in the latest submitted CRF)				
Total CH₄ emissions including CH₄ from LULUCF				
Total CH₄ emissions excluding CH₄ from LULUCF				
Memo items:				
International bunkers				
Aviation				
Marine				
Multilateral operations				
CO₂ emissions from biomass				

Abbreviations: CRF = common reporting format, LULUCF = land use, land-use change and forestry.

^a The column "Base year" should be filled in only by those Parties with economies in transition that use a base year different from 1990 in accordance with the relevant decisions of the Conference of the Parties. For these Parties, this different base year is used to calculate the percentage change in the final column of this table.

Table 1
(cont.) **Emission trends (N₂O)**

Year
Submission
Country

GREENHOUSE GAS SOURCE AND SINK CATEGORIES	Base year ^a	1990	(Years 1991 to latest reported year)	Change from base to latest reported year
		(kt)		(%)
1. Energy				
A. Fuel combustion (sectoral approach)				
1. Energy industries				
2. Manufacturing industries and construction				
3. Transport				
4. Other sectors				
5. Other				
B. Fugitive emissions from fuels				
1. Solid fuels				
2. Oil and natural gas				
2. Industrial processes				
A. Mineral products				
B. Chemical industry				
C. Metal production				
D. Other production				
E. Production of halocarbons and SF ₆				
F. Consumption of halocarbons and SF ₆				
G. Other				
3. Solvent and other product use				
4. Agriculture				
A. Enteric fermentation				
B. Manure management				
C. Rice cultivation				
D. Agricultural soils				
E. Prescribed burning of savannas				
F. Field burning of agricultural residues				
G. Other				
5. Land use, land-use change and forestry				
A. Forest land				
B. Cropland				
C. Grassland				
D. Wetlands				

E. Settlements				
F. Other land				
G. Other				
6. Waste				
A. Solid waste disposal on land				
B. Wastewater handling				
C. Waste incineration				
D. Other				
7. Other (as specified in the summary table in CRF)				
Total N₂O emissions including N₂O from LULUCF				
Total N₂O emissions excluding N₂O from LULUCF				
Memo items:				
International bunkers				
Aviation				
Marine				
Multilateral operations				
CO₂ emissions from biomass				

Abbreviations: CRF = common reporting format, LULUCF = land use, land-use change and forestry.

^a The column “Base year” should be filled in only by those Parties with economies in transition that use a base year different from 1990 in accordance with the relevant decisions of the Conference of the Parties. For these Parties, this different base year is used to calculate the percentage change in the final column of this table.

Table 1
(cont.) **Emission trends (HFCs, PFCs and SF₆)**

Year
Submission
Country

GREENHOUSE GAS SOURCE AND SINK CATEGORIES	Base year ^a	1990	(Years 1991 to latest reported year)	Change from base to latest reported year
	(kt)			(%)
Emissions of HFCs^c (kt CO₂ eq)				
HFC-23				
HFC-32				
HFC-41				
HFC-43-10mee				
HFC-125				
HFC-134				
HFC-134a				
HFC-152a				
HFC-143				
HFC-143a				
HFC-227ea				
HFC-236fa				
HFC-245ca				
Unspecified mix of listed HFCs ^d (kt CO ₂ eq)				
Emissions of PFCs^c (kt CO₂ eq)				
CF ₄				
C ₂ F ₆				
C ₃ F ₈				
C ₄ F ₁₀				
c-C ₄ F ₈				
C ₅ F ₁₂				
C ₆ F ₁₄				
Unspecified mix of listed PFCs ^d (kt CO ₂ eq)				
Emissions of SF₆^c (kt CO₂ eq)				
SF ₆				

Chemical	GWP ^b
HFCs	
HFC-23	11 700
HFC-32	650
HFC-41	150
HFC-43-10mee	1 300
HFC-125	2 800
HFC-134	1 000
HFC-134a	1 300
HFC-152a	140
HFC-143	300
HFC-143a	3 800
HFC-227ea	2 900
HFC-236fa	6 300
HFC-245ca	560
PFCs	
CF ₄	6 500
C ₂ F ₆	9 200
C ₃ F ₈	7 000
C ₄ F ₁₀	7 000
c-C ₄ F ₈	8 700
C ₅ F ₁₂	7 500
C ₆ F ₁₄	7 400
SF ₆	23 900

Abbreviation: GWP = global warming potential.

^a The column “Base year” should be filled in only by those Parties with economies in transition that use a base year different from 1990 in accordance with the relevant decisions of the Conference of the Parties. For these Parties, this different base year is used to calculate the percentage change in the final column of this table.

^b For the second and subsequent biennial reports, the global warming potential values need to be revised in accordance with decision 15/CP.17.

^c Enter actual emissions estimates. If only potential emissions estimates are available, these should be reported in this table and an indication for this be provided in the documentation box. Only in these rows are the emissions expressed as CO₂ equivalent emissions.

^d In accordance with the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part I: UNFCCC reporting guidelines on annual inventories”, HFC and PFC emissions should be reported for each relevant chemical. However, if it is not possible to report values for each chemical (i.e. mixtures, confidential data, lack of disaggregation), this row could be used for reporting aggregate figures for HFCs and PFCs, respectively. Note that the unit used for this row is kt of CO₂ equivalent and that appropriate notation keys should be entered in the cells for the individual chemicals.

Documentation box:

Table 2(a)

Description of quantified economy-wide emission reduction target: base year^a

<i>Party</i>		
Base year /base period	_____	
Emission reduction target	% of base year/base period	% of 1990 ^b
Period for reaching target	_____	

^a Reporting by a developed country Party on the information specified in the common tabular format does not prejudge the position of other Parties with regard to the treatment of units from market-based mechanisms under the Convention or other market-based mechanisms towards achievement of quantified economy-wide emission reduction targets.

^b Optional.

Table 2(b)

Description of quantified economy-wide emission reduction target: gases and sectors covered^a

Gases covered	Base year for each gas (year):
CO ₂	_____
CH ₄	_____
N ₂ O	_____
HFCs	_____
PFCs	_____
SF ₆	_____
NF ₃	_____
Other gases	_____

Sectors covered ^b	Energy
	Transport ^c
	Industrial processes ^d
	Agriculture
	LULUCF
	Waste
	Other (specify)

Abbreviations: LULUCF = land use, land-use change and forestry.

^a Reporting by a developed country Party on the information specified in the common tabular format does not prejudice the position of other Parties with regard to the treatment of units from market-based mechanisms under the Convention or other market-based mechanisms towards achievement of quantified economy-wide emission reduction targets.

^b More than one selection will be allowed. If Parties use sectors other than those indicated above, the explanation of how these sectors relate to the sectors defined by the IPCC should be provided.

^c Transport is reported as a subsector of the energy sector.

^d Industrial processes refer to the industrial processes and solvent and other product use sectors.

Table 2(c)

Description of quantified economy-wide emission reduction target: global warming potential values (GWP)^a

Gases	GWP values ^b
CO ₂	_____
CH ₄	_____
N ₂ O	_____
HFCs	_____
PFCs	_____
SF ₆	_____
NF ₃	_____
Other gases ^c	_____

Abbreviations: GWP = global warming potential

^a Reporting by a developed country Party on the information specified in the common tabular format does not prejudge the position of other Parties with regard to the treatment of units from market-based mechanisms under the Convention or other market-based mechanisms towards achievement of quantified economy-wide emission reduction targets.

^b Please specify the reference for the GWP: Second Assessment Report of the Intergovernmental Panel on Climate Change (IPCC) or the Fourth Assessment Report of the IPCC.

^c Specify.

Table 2(d)

Description of quantified economy-wide emission reduction target: approach to counting emissions and removals from the LULUCF sector^a

Role of LULUCF	LULUCF in base year level and target	Included
		Excluded
	Contribution of LULUCF is calculated using	Land-based approach
		Activity-based approach
		Other (specify)

Abbreviation: LULUCF = land use, land-use change and forestry.

^a Reporting by a developed country Party on the information specified in the common tabular format does not prejudice the position of other Parties with regard to the treatment of units from market-based mechanisms under the Convention or other market-based mechanisms towards achievement of quantified economy-wide emission reduction targets.

Table 2(e)I

Description of quantified economy-wide emission reduction target: market-based mechanisms under the Convention^a

	Possible scale of contributions (estimated kt CO ₂ eq)
CERs	
ERUs	_____
AAUs ^b	_____
Carry-over units ^c	_____
Other mechanism units under the Convention (specify) ^d	_____

Abbreviations: AAU = assigned amount unit, CER = certified emission reduction, ERU = emission reduction unit.

^a Reporting by a developed country Party on the information specified in the common tabular format does not prejudge the position of other Parties with regard to the treatment of units from market-based mechanisms under the Convention or other market-based mechanisms towards achievement of quantified economy-wide emission reduction targets.

^b AAUs issued to or purchased by a Party.

^c Units carried over from the first to the second commitment periods of the Kyoto Protocol, as described in decision 13/CMP.1 and consistent with decision XX /CMP.8.

^d As indicated in paragraph 5(e) of the guidelines contained in annex I of decision 2/CP.17.

Table 2(e)II

Description of quantified economy-wide emission reduction target: other market-based mechanisms^a

	Possible scale of contributions
(Specify)	<i>(estimated kt CO₂ eq)</i>

^a Reporting by a developed country Party on the information specified in the common tabular format does not prejudice the position of other Parties with regard to the treatment of units from market-based mechanisms under the Convention or other market-based mechanisms towards achievement of quantified economy-wide emission reduction targets.

Table 2(f)

Description of quantified economy-wide emission reduction target: any other information^{a,b}

^a Reporting by a developed country Party on the information specified in the common tabular format does not prejudge the position of other Parties with regard to the treatment of units from market-based mechanisms under the Convention or other market-based mechanisms towards achievement of quantified economy-wide emission reduction targets.

^b This information could include information on the domestic legal status of the target or the total assigned amount of emission units for the period for reaching a target. Some of this information is presented in the narrative part of the biennial report.

Table 3

Progress in achievement of the quantified economy-wide emission reduction target: information on mitigation actions and their effects

<i>Name of mitigation action^a</i>	<i>Sector(s) affected^b</i>	<i>GHG(s) affected</i>	<i>Objective and/or activity affected</i>	<i>Type of instrument^c</i>	<i>Status of implementation^d</i>	<i>Brief description^e</i>	<i>Start year of implementation</i>	<i>Implementing entity or entities</i>	<i>Estimate of mitigation impact (not cumulative, in kt CO₂ eq)</i>	
									20XX ^f	2020

Note: The two final columns specify the year identified by the Party for estimating impacts (based on the status of the measure and whether an ex post or ex ante estimation is available).

Abbreviations: GHG = greenhouse gas; LULUCF = land use, land-use change and forestry.

^a Parties should use an asterisk (*) to indicate that a mitigation action is included in the 'with measures' projection.

^b To the extent possible, the following sectors should be used: energy, transport, industry/industrial processes, agriculture, forestry/LULUCF, waste management/waste, other sectors, cross-cutting, as appropriate.

^c To the extent possible, the following types of instrument should be used: economic, fiscal, voluntary agreement, regulatory, information, education, research, other.

^d To the extent possible, the following descriptive terms should be used to report on the status of implementation: implemented, adopted, planned.

^e Additional information may be provided on the cost of the mitigation actions and the relevant timescale.

^f Optional year or years deemed relevant by the Party.

Table 4
Reporting on progress^{a, b}

<i>Year^c</i>	<i>Total emissions excluding LULUCF (kt CO₂ eq)</i>	<i>Contribution from LULUCF^d (kt CO₂ eq)</i>	<i>Quantity of units from market based mechanisms under the Convention (number of units and kt CO₂ eq)</i>	<i>Quantity of units from other market based mechanisms (number of units and kt CO₂ eq)</i>
Base year/base period (specify)				
2010				
2011				
2012				

Abbreviation: GHG = greenhouse gas, LULUCF = land use, land-use change and forestry.

^a Reporting by a developed country Party on the information specified in the common tabular format does not prejudice the position of other Parties with regard to the treatment of units from market-based mechanisms under the Convention or other market-based mechanisms towards achievement of quantified economy-wide emission reduction targets.

^b For the base year, information reported on the emission reduction target shall include the following: (a) total GHG emissions, excluding emissions and removals from the LULUCF sector; (b) emissions and/or removals from the LULUCF sector based on the accounting approach applied taking into consideration any relevant decisions of the Conference of the Parties and the activities and/or land that will be accounted for; (c) total GHG emissions, including emissions and removals from the LULUCF sector. For each reported year, information reported on progress made towards the emission reduction targets shall include, in addition to the information noted in paragraphs 9(a–c) of the UNFCCC biennial reporting guidelines for developed country Parties, information on the use of units from market-based mechanisms.

^c Parties may add additional rows for years other than those specified below.

^d Information in this column should be consistent with the information reported in table 4(a)I or 4(a)II, as appropriate. The Parties for which all relevant information on the LULUCF contribution is reported in table 1 of this common tabular format can refer to table 1.

Table 4(a)I

Progress in achieving the quantified economy-wide emission reduction targets – further information on mitigation actions relevant to the contribution of the land use, land-use change and forestry sector in 20XX-3^{a, b}

	<i>Net GHG emissions/removals from LULUCF categories^c (kt CO₂eq)</i>	<i>Base year/period or reference level value^d (kt CO₂eq)</i>	<i>Contribution from LULUCF for reported year (kt CO₂eq)</i>	<i>Cumulative contribution from LULUCF^e (kt CO₂eq)</i>	<i>Accounting approach^f</i>
Total LULUCF					
A: Forest land					
1. Forest land remaining forest land					
2. Land converted to forest land					
3. Other (please specify) ^g					
B: Cropland					
1. Cropland remaining cropland					
2. Land converted to cropland					
3. Other (please specify) ^g					
C: Grassland					
1. Grassland remaining grassland					
2. Land converted to grassland					
3. Other (please specify) ^g					
D: Wetlands					
1. Wetlands remaining wetlands					
2. Land converted to wetlands					
3. Other (please specify) ^g					
E: Settlements					
1. Settlements remaining settlements					
2. Land converted to settlements					
3. Other (please specify) ^g					
F: Other land					
1. Other land remaining other land					
2. Land converted to other land					
3. Other ^g					
G: Other (please specify) ^g					
<i>Harvested wood products</i>					

Abbreviations: GHG = greenhouse gas, LULUCF = land use, land-use change and forestry.

^a Reporting by a developed country Party on the information specified in the common tabular format does not prejudice the position of other Parties with regard to the treatment of units from market-based mechanisms under the Convention or other market-based mechanisms towards achievement of quantified economy-wide emission reduction targets.

^b Parties that use the LULUCF approach that is based on table 1 do not need to complete this table, but should indicate the approach in table 2. Parties should fill in a separate table for each year, namely 20XX-3 and 20XX-2, where 20XX is the reporting year.

^c For each category, enter the net emissions or removals reported in the most recent inventory submission for the corresponding inventory year. If a category differs from that used for the reporting under the Convention or its Kyoto Protocol, explain in the biennial report how the value was derived.

- ^d Enter one reference level or base year/period value for each category. Explain in the biennial report how these values have been calculated.
- ^e If applicable to the accounting approach chosen. Explain in this biennial report to which years or period the cumulative contribution refers to.
- ^f Label each accounting approach and indicate where additional information is provided within this biennial report explaining how it was implemented, including all relevant accounting parameters (i.e. natural disturbances, caps).
- ^g Specify what was used for the category “other”. Explain in this biennial report how each was defined and how it relates to the categories used for reporting under the Convention or its Kyoto Protocol.

Table 4(a)II

Progress in achievement of the quantified economy-wide emission reduction targets – further information on mitigation actions relevant to the counting of emissions and removals from the land use, land-use change and forestry sector in relation to activities under Article 3, paragraphs 3 and 4, of the Kyoto Protocol^{a,b,c}

GREENHOUSE GAS SOURCE AND SINK ACTIVITIES	Net emissions/removals ^e				Accounting parameters ^h	Accounting quantity ⁱ	
	Base year ^d	2008	2009	... ^f			Total ^g
	(kt CO ₂ eq)						
A. Article 3, paragraph 3, activities							
A.1. Afforestation and reforestation							
A.1.1. Units of land not harvested since the beginning of the commitment period ^j							
A.1.2. Units of land harvested since the beginning of the commitment period ^j							
A.2. Deforestation							
B. Article 3, paragraph 4, activities							
B.1. Forest management (if elected)							
3.3 offset ^k							
Forest management cap ^l							
B.2. Cropland management (if elected)							
B.3. Grazing land management (if elected)							
B.4. Revegetation (if elected)							

Note: 1 kt CO₂ eq equals 1 Gg CO₂ eq.

Abbreviations: CRF = common reporting format, LULUCF = land use, land-use change and forestry.

^a Reporting by a developed country Party on the information specified in the common tabular format does not prejudice the position of other Parties with regard to the treatment of units from market-based mechanisms under the Convention or other market-based mechanisms towards achievement of quantified economy-wide emission reduction targets.

^b Developed country Parties with a quantified economy-wide emission reduction target as communicated to the secretariat and contained in document FCCC/SB/2011/INF.1/Rev.1 or any update to that document, that are Parties to the Kyoto Protocol, may use table 4(a)II for reporting of accounting quantities if LULUCF is contributing to the attainment of that target.

^c Parties can include references to the relevant parts of the national inventory report, where accounting methodologies regarding LULUCF are further described in the documentation box or in the biennial reports.

^d Net emissions and removals in the Party's base year, as established by decision 9/CP.2.

^e All values are reported in the information table on accounting for activities under Article 3, paragraphs 3 and 4, of the Kyoto Protocol, of the CRF for the relevant inventory year as reported in the current submission and are automatically entered in this table.

^f Additional columns for relevant years should be added, if applicable.

^g Cumulative net emissions and removals for all years of the commitment period reported in the current submission.

^h The values in the cells "3.3 offset" and "Forest management cap" are absolute values.

ⁱ The accounting quantity is the total quantity of units to be added to or subtracted from a Party's assigned amount for a particular activity in accordance with the provisions of Article 7, paragraph 4, of the Kyoto Protocol.

^j In accordance with paragraph 4 of the annex to decision 16/CMP.1, debits resulting from harvesting during the first commitment period following afforestation and reforestation since 1990 shall not be greater than the credits accounted for on that unit of land.

^k In accordance with paragraph 10 of the annex to decision 16/CMP.1, for the first commitment period a Party included in Annex I that incurs a net source of emissions under the provisions of Article 3 paragraph 3, may account for anthropogenic greenhouse gas emissions by sources and removals by sinks in areas under forest management under Article 3, paragraph 4, up to a level that is equal to the net source of emissions under the provisions of Article 3, paragraph 3, but not greater than 9.0 megatonnes of carbon times five, if the total anthropogenic greenhouse gas emissions by sources and removals by sinks in the managed forest since 1990 is equal to, or larger than, the net source of emissions incurred under Article 3, paragraph 3.

^l In accordance with paragraph 11 of the annex to decision 16/CMP.1, for the first commitment period of the Kyoto Protocol only, additions to and subtractions from the assigned amount of a Party resulting from Forest management under Article 3, paragraph 4, after the application of paragraph 10 of the annex to decision 16/CMP.1 and resulting from forest management project activities undertaken under Article 6, shall not exceed the value inscribed in the appendix of the annex to decision 16/CMP.1, times five.

Documentation box:

Table 4(b)
Reporting on progress^{a, b, c}

<i>Kyoto Protocol units</i> ^d										<i>Other units</i> ^{d,e}			
<i>(kt CO₂ eq)</i>										<i>(kt CO₂ eq)</i>			
<i>AAUs</i>		<i>ERUs</i>		<i>CERs</i>		<i>tCERs</i>		<i>ICERs</i>		<i>Units from market-based mechanisms under the Convention</i>		<i>Units from other market-based mechanisms</i>	
<i>20XX-3</i>	<i>20XX-2</i>	<i>20XX-3</i>	<i>Year X-2</i>	<i>20XX-3</i>	<i>20XX-2</i>	<i>20XX-3</i>	<i>20XX-2</i>	<i>20XX-3</i>	<i>20XX-2</i>	<i>20XX-3</i>	<i>20XX-2</i>	<i>20XX-3</i>	<i>20XX-2</i>
Quantity of units										20XX-3		20XX-2	
Total													

Note: 20XX is the latest reporting year.

Abbreviations: AAUs = assigned amount units, CERs = certified emission reductions, ERUs = emission reduction units, ICERs = long-term certified emission reductions, tCERs = temporary certified emission reductions.

^a Reporting by a developed country Party on the information specified in the common tabular format does not prejudice the position of other Parties with regard to the treatment of units from market-based mechanisms under the Convention or other market-based mechanisms towards achievement of quantified economy-wide emission reduction targets.

^b For each reported year, information reported on progress made towards the emission reduction target shall include, in addition to the information noted in paragraphs 9(a-c) of the reporting guidelines, on the use of units from market-based mechanisms.

^c Parties may include this information, as appropriate and if relevant to their target.

^d Units surrendered by that Party for that year that have not been previously surrendered by that or any other Party.

^e Additional columns for each market-based mechanism should be added, if applicable.

Table 5
Summary of key variables and assumptions used in the projections analysis^a

<i>Key underlying assumptions</i>	<i>Historical^b</i>							<i>Projected</i>				
	<i>1990</i>	<i>1995</i>	<i>2000</i>	<i>2005</i>	<i>2010</i>	<i>2011</i>	<i>...</i>	<i>...</i>	<i>2015</i>	<i>2020</i>	<i>2025</i>	<i>2030</i>

^a Parties should include key underlying assumptions as appropriate.

^b Parties should include historical data used to develop the greenhouse gas projections reported.

Table 6(a)
Information on updated greenhouse gas projections under a ‘with measures’ scenario^a

	<i>GHG emissions and removals^b</i> <i>(kt CO₂ eq)</i>						<i>GHG emission projections</i> <i>(kt CO₂ eq)</i>		
	<i>Base year</i>	<i>1990</i>	<i>1995</i>	<i>2000</i>	<i>2005</i>	<i>2010</i>	<i>20XX^c-3</i>	<i>2020</i>	<i>2030</i>
Sector^{d,e}									
Energy									
Transport									
Industry/industrial processes									
Agriculture									
Forestry/LULUCF									
Waste management/waste									
Other (specify)									
Gas									
CO ₂ emissions including net CO ₂ from LULUCF									
CO ₂ emissions excluding net CO ₂ from LULUCF									
CH ₄ emissions including CH ₄ from LULUCF									
CH ₄ emissions excluding CH ₄ from LULUCF									
N ₂ O emissions including N ₂ O from LULUCF									
N ₂ O emissions excluding N ₂ O from LULUCF									
HFCs									
PFCs									
SF ₆									
Other (specify, e.g. NF ₃)									
Total with LULUCF^f									
Total without LULUCF									

Abbreviations: GHG = greenhouse gas, LULUCF = land use, land-use change and forestry.

^a In accordance with the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications”, at a minimum Parties shall report a ‘with measures’ scenario, and may report ‘without measures’ and ‘with additional measures’ scenarios. If a Party chooses to report ‘without measures’ and/or ‘with additional measures’ scenarios they are to use tables 6(b) and/or 6(c), respectively. If a Party does not choose to report ‘without measures’ or ‘with additional measures’ scenarios then it should not include tables 6(b) or 6(c) in the biennial report.

^b Emissions and removals reported in these columns should be as reported in the latest GHG inventory and consistent with the emissions and removals reported in the table on GHG emissions and trends provided in this biennial report. Where the sectoral breakdown differs from that reported in the GHG inventory Parties should explain in their biennial report how the inventory sectors relate to the sectors reported in this table.

^c 20XX is the reporting due-date year (i.e. 2014 for the first biennial report).

^d In accordance with paragraph 34 of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications”, projections shall be presented on a sectoral basis, to the extent possible, using the same sectoral categories used in the policies and measures section. This table should follow, to the extent possible, the same sectoral categories as those listed in paragraph 17 of those guidelines, namely, to the extent appropriate, the following sectors should be considered: energy, transport, industry, agriculture, forestry and waste management.

^e To the extent possible, the following sectors should be used: energy, transport, industry/industrial processes, agriculture, forestry/LULUCF, waste management/waste, other sectors (i.e. cross-cutting), as appropriate.

^f Parties may choose to report total emissions with or without LULUCF, as appropriate.

Table 6(b)
Information on updated greenhouse gas projections under a ‘without measures’ scenario^a

	GHG emissions and removals ^b						GHG emission projections		
	<i>Base year</i>	1990	1995	2000	2005	2010	20XX ^c -3	2020	2030
Sector^{d,e}									
Energy									
Transport									
Industry/industrial processes									
Agriculture									
Forestry/LULUCF									
Waste management/waste									
Other (specify)									
Gas									
CO ₂ emissions including net CO ₂ from LULUCF									
CO ₂ emissions excluding net CO ₂ from LULUCF									
CH ₄ emissions including CH ₄ from LULUCF									
CH ₄ emissions excluding CH ₄ from LULUCF									
N ₂ O emissions including N ₂ O from LULUCF									
N ₂ O emissions excluding N ₂ O from LULUCF									
HFCs									
PFCs									
SF ₆									
Other (specify, e.g. NF ₃)									
Total with LULUCF^f									
Total without LULUCF									

Abbreviations: GHG = greenhouse gas, LULUCF = land use, land-use change and forestry.

^a In accordance with the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications”, at a minimum Parties shall report a ‘with measures’ scenario, and may report ‘without measures’ and ‘with additional measures’ scenarios. If a Party chooses to report ‘without measures’ and/or ‘with additional measures’ scenarios they are to use tables 6(b) and/or 6(c), respectively. If a Party does not choose to report ‘without measures’ or ‘with additional measures’ scenarios then it should not include tables 6(b) or 6(c) in the biennial report.

^b Emissions and removals reported in these columns should be as reported in the latest GHG inventory and consistent with the emissions and removals reported in the table on GHG emissions and trends provided in this biennial report. Where the sectoral breakdown differs from that reported in the GHG inventory Parties should explain in their biennial report how the inventory sectors relate to the sectors reported in this table.

^c 20XX is the reporting due-date year (i.e. 2014 for the first biennial report).

^d In accordance with paragraph 34 of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications”, projections shall be presented on a sectoral basis, to the extent possible, using the same sectoral categories used in the policies and measures section. This table should follow, to the extent possible, the same sectoral categories as those listed in paragraph 17 of those guidelines, namely, to the extent appropriate, the following sectors should be considered: energy, transport, industry, agriculture, forestry and waste management.

^e To the extent possible, the following sectors should be used: energy, transport, industry/industrial processes, agriculture, forestry/LULUCF, waste management/waste, other sectors (i.e. cross-cutting), as appropriate.

^f Parties may choose to report total emissions with or without LULUCF, as appropriate.

Table 6(c)
Information on updated greenhouse gas projections under a ‘with additional measures’ scenario^a

	GHG emissions and removals ^b						GHG emission projections		
	<i>Base year</i>	1990	1995	2000	2005	2010	20XX ^c -3	2020	2030
Sector^{d,e}									
Energy									
Transport									
Industry/industrial processes									
Agriculture									
Forestry/LULUCF									
Waste management/waste									
Other (specify)									
Gas									
CO ₂ emissions including net CO ₂ from LULUCF									
CO ₂ emissions excluding net CO ₂ from LULUCF									
CH ₄ emissions including CH ₄ from LULUCF									
CH ₄ emissions excluding CH ₄ from LULUCF									
N ₂ O emissions including N ₂ O from LULUCF									
N ₂ O emissions excluding N ₂ O from LULUCF									
HFCs									
PFCs									
SF ₆									
Other (specify, e.g. NF ₃)									
Total with LULUCF^f									
Total without LULUCF									

Abbreviations: GHG = greenhouse gas, LULUCF = land use, land-use change and forestry.

^a In accordance with the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications”, at a minimum Parties shall report a ‘with measures’ scenario, and may report ‘without measures’ and ‘with additional measures’ scenarios. If a Party chooses to report ‘without measures’ and/or ‘with additional measures’ scenarios they are to use tables 6(b) and/or 6(c), respectively. If a Party does not choose to report ‘without measures’ or ‘with additional measures’ scenarios then it should not include tables 6(b) or 6(c) in the biennial report.

^b Emissions and removals reported in these columns should be as reported in the latest GHG inventory and consistent with the emissions and removals reported in the table on GHG emissions and trends provided in this biennial report. Where the sectoral breakdown differs from that reported in the GHG inventory Parties should explain in their biennial report how the inventory sectors relate to the sectors reported in this table.

^c 20XX is the reporting due-date year (i.e. 2014 for the first biennial report).

^d In accordance with paragraph 34 of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications”, projections shall be presented on a sectoral basis, to the extent possible, using the same sectoral categories used in the policies and measures section. This table should follow, to the extent possible, the same sectoral categories as those listed in paragraph 17 of those guidelines, namely, to the extent appropriate, the following sectors should be considered: energy, transport, industry, agriculture, forestry and waste management.

^e To the extent possible, the following sectors should be used: energy, transport, industry/industrial processes, agriculture, forestry/LULUCF, waste management/waste, other sectors (i.e. cross-cutting), as appropriate.

^f Parties may choose to report total emissions with or without LULUCF, as appropriate.

Table 7
Provision of public financial support: summary information in 20XX-3^a

Allocation channels	Year									
	Domestic currency					USD ^b				
	Core/ general ^c	Climate-specific ^d				Core/ general ^f	Climate-specific ^d			
Mitigation		Adaptation	Cross-cutting ^e	Other ^f	Mitigation		Adaptation	Cross-cutting ^e	Other ^f	
Total contributions through multilateral channels:										
Multilateral climate change funds ^g										
Other multilateral climate change funds ^h										
Multilateral financial institutions, including regional development banks										
Specialized United Nations bodies										
Total contributions through bilateral, regional and other channels										
Total										

Abbreviation: USD = United States dollars.

^a Parties should fill in a separate table for each year, namely 20XX-3 and 20XX-2, where 20XX is the reporting year.

^b Parties should provide an explanation on methodology used for currency exchange for the information provided in table 7, 7(a) and 7(b) in the box below.

^c This refers to support to multilateral institutions that Parties cannot specify as climate-specific.

^d Parties should explain in their biennial reports how they define funds as being climate-specific.

^e This refers to funding for activities which are cross-cutting across mitigation and adaptation.

^f Please specify.

^g Multilateral climate change funds listed in paragraph 17(a) of the “UNFCCC biennial reporting guidelines for developed country Parties” in decision 2/CP.17.

^h Other multilateral climate change funds as referred in paragraph 17(b) of the “UNFCCC biennial reporting guidelines for developed country Parties” in decision 2/CP.17.

Each Party shall provide an indication of what new and additional financial resources they have provided, and clarify how they have determined that such resources are new and additional. Please provide this information in relation to table 7(a) and table 7(b).

Documentation box:

Table 7(a)
Provision of public financial support: contribution through multilateral channels in 20XX-3^a

	<i>Total amount</i>				<i>Status^b</i>	<i>Funding source</i>	<i>Financial instrument</i>	<i>Type of support</i>	<i>Sector^f</i>
	<i>Core/general^d</i>		<i>Climate-specific^e</i>						
	<i>Domestic currency</i>	<i>USD</i>	<i>Domestic currency</i>	<i>USD</i>					
<i>Donor funding</i>					<i>Provided Committed Pledged</i>	<i>ODA OOF Other^f</i>	<i>Grant Concessional loan Non-concessional loan Equity Other^f</i>	<i>Mitigation Adaptation Cross-cutting^g Other^f</i>	<i>Energy Transport Industry Agriculture Forestry Water and sanitation Cross-cutting Other^f Not applicable</i>
Multilateral climate change funds									
1. Global Environment Facility									
2. Least Developed Countries Fund									
3. Special Climate Change Fund									
4. Adaptation Fund									
5. Green Climate Fund									
6. UNFCCC Trust Fund for Supplementary Activities									
7. Other multilateral climate change funds									
Subtotal									
Multilateral financial institutions, including regional development banks									
1. World Bank									
2. International Finance Corporation									
3. African Development Bank									
4. Asian Development Bank									
5. European Bank for Reconstruction and Development									
6. Inter-American Development Bank									
7. Other									
Subtotal									

Table 7(a) (cont.)

Provision of public financial support: contribution through multilateral channels in 20XX-3^a

Specialized United Nations bodies

1. United Nations Development

Programme

(specific programmes)

2. United Nations Environment

Programme

(specific programmes)

3. Other

Subtotal

Total

Abbreviations: ODA = official development assistance, OOF = other official flows.

^a Parties should fill in a separate table for each year, namely 20XX-3 and 20XX-2, where 20XX is the reporting year.

^b Parties should explain, in their biennial reports, the methodologies used to specify the funds as provided, committed and/or pledged. Parties will provide the information for as many status categories as appropriate in the following order of priority: provided, committed, pledged.

^c Parties may select several applicable sectors. Parties may report sectoral distribution, as applicable, under “Other”.

^d This refers to support to multilateral institutions that Parties cannot specify as climate-specific.

^e Parties should explain in their biennial reports how they define funds as being climate-specific.

^f Please specify.

^g This refers to funding for activities which are cross-cutting across mitigation and adaptation.

Table 7(b)

Provision of public financial support: contribution through bilateral, regional and other channels in 20XX-3^a

<i>Recipient country/ region/project/programme^b</i>	<i>Total amount</i>		<i>Status^c</i>	<i>Funding source</i>	<i>Financial instrument</i>	<i>Type of support</i>	<i>Sector^d</i>	<i>Additional Information^e</i>
	<i>Climate-specific^f</i>	<i>Domestic currency</i>	<i>Provided, Committed, Pledged</i>	<i>ODA OOF Other^g</i>	<i>Grant Concessional loan Non-concessional loan Equity Other^g</i>	<i>Mitigation Adaptation Cross-cutting^h Other^g</i>	<i>Energy Transport Industry Agriculture Forestry Water and sanitation Cross-cutting Other^g</i>	
		<i>USD</i>						

Abbreviations: ODA = official development assistance, OOF = other official flows; USD = United States dollars.

^a Parties should fill in a separate table for each year, namely 20XX-3 and 20XX-2, where 20XX is the reporting year.

^b Parties should report, to the extent possible, on details contained in this table.

^c Parties should explain, in their biennial reports, the methodologies used to specify the funds as provided, committed and/or pledged. Parties will provide the information for as many status categories as appropriate in the following order of priority: provided, committed, pledged.

^d Parties may select several applicable sectors. Parties may report sectoral distribution, as applicable, under "Other".

^e Parties should report, as appropriate, on project details and the implementing agency.

^f Parties should explain in their biennial reports how they define funds as being climate-specific.

^g Please specify.

^h This refers to funding for activities which are cross-cutting across mitigation and adaptation.

Table 8
Provision of technology development and transfer support^{a,b}

<i>Recipient country and/or region</i>	<i>Targeted area</i>	<i>Measures and activities related to technology transfer</i>	<i>Sector^c</i>	<i>Source of the funding for technology transfer</i>	<i>Activities undertaken by</i>	<i>Status</i>	<i>Additional information^d</i>
	<i>Mitigation</i>		<i>Energy</i>	<i>Private</i>	<i>Private</i>	<i>Implemented</i>	
	<i>Adaptation</i>		<i>Transport</i>	<i>Public</i>	<i>Public</i>	<i>Planned</i>	
	<i>Mitigation and adaptation</i>		<i>Industry</i>	<i>Private and public</i>	<i>Private and public</i>		
			<i>Agriculture</i>				
			<i>Water and sanitation</i>				
			<i>Other</i>				

^a To be reported to the extent possible.

^b The tables should include measures and activities since the last national communication or biennial report.

^c Parties may report sectoral disaggregation, as appropriate.

^d Additional information may include, for example, funding for technology development and transfer provided, a short description of the measure or activity and co-financing arrangements.

Table 9
Provision of capacity-building support^a

<i>Recipient country/ region</i>	<i>Targeted area</i>	<i>Programme or project title</i>	<i>Description of programme or project^{b,c}</i>
	<i>Mitigation</i>		
	<i>Adaptation</i>		
	<i>Technology development and transfer</i>		
	<i>Multiple areas</i>		

^a To be reported to the extent possible.

^b Each Party included in Annex II to the Convention shall provide information, to the extent possible, on how it has provided capacity-building support that responds to the existing and emerging capacity-building needs identified by Parties not included in Annex I to the Convention in the areas of mitigation, adaptation and technology development and transfer.

^c Additional information may be provided on, for example, the measure or activity and co-financing arrangements.

*9th plenary meeting
 8 December 2012*

Decision 20/CP.18

Status of submission and review of fifth national communications from Parties included in Annex I to the Convention and compilation and synthesis of fifth national communications from Parties included in Annex I to the Convention

The Conference of the Parties,

Recalling Article 2, Article 3, paragraph 1, Article 4, paragraph 2(a) and (b), Article 12 and other relevant provisions of the Convention,

Also recalling decisions 2/CP.1, 3/CP.1, 6/CP.3, 11/CP.4, 4/CP.5, 26/CP.7, 33/CP.7, 4/CP.8, 1/CP.9, 7/CP.11, 10/CP.13, 9/CP.16 and 2/CP.17,

Emphasizing that the national communications and annual greenhouse gas inventories submitted by Parties included in Annex I to the Convention (Annex I Parties) are the main source of information for reviewing the implementation of the Convention by these Parties, and that the reports of the in-depth reviews of these national communications provide important additional information for this purpose,

Welcoming the work of the secretariat in preparing the compilation and synthesis of the fifth national communications,¹

Acknowledging the considerable improvement in the timeliness of the submission of national communications from Annex I Parties and noting that 16 Annex I Parties submitted their fifth national communications before the due date in accordance with decision 10/CP.13, although 24 submitted after that date and one Party has yet to submit its national communication,

1. *Urges* the Party included in Annex I to the Convention (Annex I Party) that has not yet submitted the fifth national communication in accordance with decision 10/CP.13 to do so as a matter of priority;
2. *Requests* Annex I Parties to submit to the secretariat their future national communications, in accordance with Article 12, paragraphs 1 and 2, of the Convention, in a timely manner in accordance with decisions 9/CP.16 and 2/CP.17.

*9th plenary meeting
7 December 2012*

¹ FCCC/SBI/2011/INF.1 and Add.1 and 2.

Decision 21/CP.18

Capacity-building under the Convention for countries with economies in transition

The Conference of the Parties,

Recalling decisions 3/CP.7, 9/CP.9, 3/CP.10 and 2/CP.17,

Acknowledging that capacity-building for countries with economies in transition is essential to enable them to implement effectively their commitments under the Convention,

Having considered the information in documents prepared by the secretariat in support of the third review of the implementation of the framework for capacity-building in countries with economies in transition established under decision 3/CP.7,¹

1. *Recognizes* that:

(a) Significant progress has been made in building the capacity of countries with economies in transition to mitigate and adapt to climate change; some countries with economies in transition have not only been the recipients of assistance but have also started to transfer their own expertise, knowledge and lessons learned on capacity-building to Parties not included in Annex I to the Convention;

(b) Parties included in Annex I to the Convention have provided adequate resources and assistance for the implementation of the framework for capacity-building in countries with economies in transition established under decision 3/CP.7;

(c) Notwithstanding the progress made, those countries with economies in transition that are currently receiving support are in need of further capacity-building, in particular for the development and implementation of their national low-carbon development strategies consistent with their national priorities and with their emission reduction targets;

2. *Reaffirms* that the scope of the needs identified in the framework for capacity-building in countries with economies in transition established under decision 3/CP.7 and the key factors identified in decision 3/CP.10 remain relevant and continue to be the basis for, and guide the implementation of, capacity-building activities in those countries with economies in transition that are currently receiving support;

3. *Invites* Parties included in Annex II to the Convention and other Parties in a position to do so, the Global Environment Facility within its mandate, multilateral and bilateral agencies, other international organizations, and the private sector, or any further arrangements as appropriate, to continue to provide support for capacity-building activities in those countries with economies in transition that are currently receiving support;

4. *Decides* to conclude the third review and to conduct the fourth review of the implementation of the framework for capacity-building in countries with economies in transition at the forty-sixth session of the Subsidiary Body for Implementation, with a view to completing this review at the twenty-third session of the Conference of the Parties;

5. *Invites* Parties and relevant organizations to submit to the secretariat, by February 2016, information on how they have implemented capacity-building activities in those countries with economies in transition that are currently receiving support; Parties could

¹ FCCC/SBI/2012/10 and FCCC/SBI/2012/MISC.5.

include this information as part of their annual submissions on capacity-building in accordance with decision 4/CP.12, paragraph 1(a);

6. *Requests* the secretariat to compile and synthesize the information referred to in paragraph 5 above and make it available for consideration by the Subsidiary Body for Implementation at its forty-sixth session.

*9th plenary meeting
7 December 2012*

Decision 22/CP.18

Activities implemented jointly under the pilot phase

The Conference of the Parties,

Recalling decisions 5/CP.1, 10/CP.3, 13/CP.5, 8/CP.7, 14/CP.8, 10/CP.10, 6/CP.12, 7/CP.14 and 8/CP.16,

Having considered the conclusions of the Subsidiary Body for Scientific and Technological Advice at its thirty-seventh session,

Considering that reports on activities implemented jointly have provided a rich opportunity for learning-by-doing and that no further reports have been submitted by Parties since 2006,

Recognizing that other channels for providing similar information exist,

Decides to conclude the pilot phase for activities implemented jointly.

*9th plenary meeting
7 December 2012*

Decision 23/CP.18

Promoting gender balance and improving the participation of women in UNFCCC negotiations and in the representation of Parties in bodies established pursuant to the Convention or the Kyoto Protocol

The Conference of the Parties,

Recalling decision 36/CP.7 on improving the participation of women in the representation of Parties in bodies established under the Convention or the Kyoto Protocol,

Acknowledging the recent progress made under the Convention and the Kyoto Protocol in advancing gender balance and women's empowerment in international climate change policy under the guidance of decision 1/CP.13 (Bali Action Plan) and decisions taken at its sixteenth and seventeenth sessions,¹ as well as in various bodies and programmes under the Convention,

Noting that notwithstanding the efforts made by Parties to implement decision 36/CP.7, women continue to be underrepresented in bodies established under the Convention and the Kyoto Protocol,

Recognizing the need for women to be represented in all aspects of the UNFCCC process, including through membership of their national delegations and the chairing and facilitation of formal and informal negotiating groups, in order to inform gender-responsive climate policy,

Also recognizing the importance of a balanced representation of women from developing and developed country Parties in the UNFCCC process so that gender-responsive climate policy responds to the differing needs of men and women in national and local contexts,

Considering the importance of ensuring coherence between the participation of women in the UNFCCC process and the principles and objectives of international instruments and relevant multilateral processes, such as the Convention on the Elimination of All Forms of Discrimination against Women and the Beijing Declaration and Platform for Action, which recognize the importance of women's empowerment and their full participation on equal terms with men in all spheres of society, including participation in decision-making processes and access to power,

Acknowledging the outcome of the United Nations Conference on Sustainable Development, in particular the recognition of women's leadership and their vital role in achieving sustainable development and the emphasis on the impact of setting specific targets and implementing temporary measures, as appropriate, for substantially increasing the number of women in leadership positions, with the aim of achieving gender parity,²

Recognizing the advances made by Parties in the promotion of gender balance and the empowerment of women,

¹ Including for example, decisions 1/CP.16, 6/CP.16, 7/CP.16, 2/CP.17, 3/CP.17, 5/CP.17, 6/CP.17, 12/CP.17 and 13/CP.17.

² *The Future We Want*, outcome document of the United Nations Conference on Sustainable Development, adopted on 21 June 2012 (United Nations General Assembly resolution A/RES/66/288, para. 237).

1. *Agrees* that additional efforts need to be made by all Parties to improve the participation of women in bodies established pursuant to the Convention and the Kyoto Protocol as envisaged in decision 36/CP.7;
2. *Decides* to enhance decision 36/CP.7 by adopting a goal of gender balance in bodies established pursuant to the Convention and the Kyoto Protocol, in order to improve women's participation and inform more effective climate change policy that addresses the needs of women and men equally;
3. *Invites* current and future chairs of such bodies to be guided by the goal of gender balance when setting up informal negotiating groups and consultation mechanisms, such as contact groups, spin-off groups and panels, and nominating their facilitators and chairs;
4. *Also invites* other institutions established pursuant to the Convention and the Kyoto Protocol to be guided by the goal of gender balance, with the aim of a gradual but significant increase in the participation of women towards achieving this goal and review progress made at the twenty-second session of the Conference of the Parties;
5. *Further invites* Parties to commit to meeting the goal of gender balance by, inter alia, nominating women to bodies established under the Convention and the Kyoto Protocol with the aim of a gradual but significant increase in the participation of women towards achieving this goal, and review progress made at the twenty-second session of the Conference of the Parties;
6. *Invites* Parties to encourage more women to be candidates for positions within bodies established pursuant to the Convention and the Kyoto Protocol and to give due consideration to nominating female representatives to these bodies;
7. *Also invites* Parties to strive for gender balance in their delegations to sessions under the Convention and the Kyoto Protocol;
8. *Requests* the secretariat to maintain information on the gender composition of constituted bodies established under the Convention and the Kyoto Protocol, including information on the representation of women from regional groups, to gather information on the gender composition of delegations to sessions under the Convention and the Kyoto Protocol and to report this information to the Conference of the Parties for its consideration on an annual basis, in order to enable the tracking of progress made towards the goal of gender balance in advancing gender-sensitive climate policy;
9. *Decides* to add the issue of gender and climate change as a standing item on the agenda of sessions of the Conference of the Parties to allow the Conference of the Parties to consider the information referred to in paragraph 8 above;
10. *Requests* the secretariat to organize, in conjunction with the nineteenth session of the Conference of the Parties, an in-session workshop on gender balance in the UNFCCC process, gender-sensitive climate policy and capacity-building activities to promote the greater participation of women in the UNFCCC process;
11. *Also requests* Parties and observer organizations to submit to the secretariat, by 2 September 2013, their views on options and ways to advance the goal referred to in paragraph 2 above;
12. *Further requests* the secretariat to compile those submissions into a miscellaneous document for consideration by the Conference of the Parties at its nineteenth session;
13. *Takes note* of the estimated budgetary implications of the activities to be undertaken by the secretariat pursuant to the provisions contained in paragraphs 8, 10 and 12 above;
14. *Requests* that the actions of the secretariat called for in this decision be undertaken subject to the availability of financial resources;

15. *Invites* the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol to endorse this decision.

*9th plenary meeting
7 December 2012*

Decision 24/CP.18

Economic diversification initiative

The Conference of the Parties,

Recalling decisions 1/CP.13 (Bali Action Plan), 1/CP.16 and 2/CP.17,

Recognizing that climate change calls for the widest possible cooperation by all countries, and that measures taken by Parties on their low-emission development path and Parties' efforts to diversify their economies will reflect their national circumstances,

Welcoming the announcements made by developing country Parties in their national statements at the high-level segment of the session,

1. *Takes note of and welcomes* the submission from Bahrain, Saudi Arabia, Qatar and United Arab Emirates indicating their readiness to put forward their current actions and plans in pursuit of economic diversification that have co-benefits in the form of emission reductions, adaptation to the impacts of climate change and response measures;¹
2. *Decides* that the relevant aspects of such actions and plans will be anchored under decision 1/CP.13, paragraph 1(b)(ii), and *invites* the Parties concerned and other Parties to submit further information on their actions and plans to the secretariat;
3. *Decides* that measurement, reporting and verification of the relevant aspects of actions and plans submitted under this decision will proceed as per the arrangements established by decisions 1/CP.16, 2/CP.17 and 1/CP.18 taking into account the broader objectives of the actions to be presented;
4. *Affirms* that this decision does not affect eligibility for support under the Convention.

*9th plenary meeting
8 December 2012*

¹ FCCC/CP/2012/MISC.2.

Decision 25/CP.18

Administrative, financial and institutional matters

The Conference of the Parties,

Recalling decision 18/CP.17, in which the programme budget for the biennium 2012–2013 was approved and the Executive Secretary was requested to report to the Conference of the Parties at its eighteenth session on income and budget performance and to propose any adjustments that might be needed in the programme budget for the biennium 2012–2013,

Also recalling paragraph 11 of the financial procedures of the Conference of the Parties,¹

Having considered the information contained in the documents prepared by the secretariat on administrative, financial and institutional matters,²

I. Audited financial statements for the biennium 2010–2011

1. *Takes note* of the audited financial statements for the biennium 2010–2011, the audit report of the United Nations Board of Auditors, which includes recommendations, and the comments of the secretariat thereon;
2. *Expresses its appreciation* to the United Nations for arranging the audits of the accounts of the Convention and for the valuable observations and recommendations of the auditors;
3. *Urges* the Executive Secretary to implement the recommendations of the auditors, as appropriate;

II. Budget performance for the biennium 2012–2013

4. *Takes note* of the reporting on budget performance for the biennium 2012–2013 as at 30 June 2012 and of the updated status of contributions as at 15 November 2012 to the trust funds administered by the secretariat;
5. *Expresses its appreciation* to Parties that have paid their contributions to the core budget in a timely manner;
6. *Calls upon* Parties that have not paid their contributions to the core budget to do so without delay, bearing in mind that contributions are due on 1 January of each year in accordance with the financial procedures of the Conference of the Parties;
7. *Expresses its appreciation* for the contributions received from Parties to the Trust Fund for Participation in the UNFCCC Process and to the Trust Fund for Supplementary Activities;
8. *Urges* Parties to further contribute to the Trust Fund for Participation in the UNFCCC Process, in order to ensure the widest possible participation in the negotiations in 2013, and to the Trust Fund for Supplementary Activities;

¹ Decision 15/CP.1, annex I.

² FCCC/SBI/2012/23, FCCC/SBI/2012/24 and Add.1 and 2 and FCCC/SBI/2012/INF.12 and Corr.1.

9. *Reiterates its appreciation* to the Government of Germany for its annual voluntary contribution to the core budget of EUR 766,938 and its special contribution of EUR 1,789,522 as Host Government to the secretariat in Bonn;

10. *Welcomes* the Executive Secretary's commitment to increasing the cost-effectiveness of the secretariat's operations and, in this context, the efforts undertaken by the secretariat, in cooperation with the Government of Germany, to consolidate Bonn as the hub for UNFCCC sessions and meetings, in order to reduce costs and further enhance the facilities and services made available at the secretariat's headquarters;

III. Programme budget for the biennium 2014–2015

11. *Requests* the Executive Secretary to submit, for consideration by the Subsidiary Body for Implementation at its thirty-eighth session, a proposed programme budget for the biennium 2014–2015;

12. *Also requests* the Executive Secretary, when preparing the programme budget for the biennium 2014–2015, to prepare a contingency for funding conference services, should this prove necessary in the light of decisions taken by the General Assembly at its sixty-eighth session;

13. *Requests* the Subsidiary Body for Implementation to recommend, at its thirty-eighth session, a programme budget for adoption by the Conference of the Parties at its nineteenth session and by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its ninth session;

14. *Also requests* the Subsidiary Body for Implementation to authorize the Executive Secretary to notify Parties of their indicative contributions for 2014 on the basis of the recommended budget.

*9th plenary meeting
7 December 2012*

Decision 26/CP.18

Dates and venues of future sessions

The Conference of the Parties,

Recalling Article 7, paragraph 4, of the Convention,

Also recalling United Nations General Assembly resolution 40/243 of 18 December 1985 on the pattern of conferences,

Further recalling rule 22, paragraph 1, of the draft rules of procedure being applied regarding the rotation of the office of President among the five regional groups,

I. Dates and venues of future sessions

A. Nineteenth session of the Conference of the Parties and ninth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol

1. *Decides* to accept with appreciation the offer by the Government of Poland to host the nineteenth session of the Conference of the Parties and the ninth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol in Warsaw, Poland, from Monday, 11 November to Friday, 22 November 2013, subject to confirmation by the Bureau of the Conference of the Parties and the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol that all logistical, technical and financial elements for hosting the sessions are available, in conformity with United Nations General Assembly resolution 40/243, and subject to the successful conclusion of a Host Country Agreement;

2. *Requests* the Executive Secretary to continue consultations with the Government of Poland and to negotiate a Host Country Agreement for convening the sessions that complies with the provisions of the United Nations administrative instruction ST/AI/342, with a view to concluding and signing the Host Country Agreement not later than the thirty-eighth sessions of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation;

B. Twentieth session of the Conference of the Parties and tenth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol

3. *Notes* that in keeping with the principle of rotation among regional groups, the President of the twentieth session of the Conference of the Parties and the tenth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol would come from the Latin America and Caribbean States;

4. *Invites* Parties to consult further on the hosting of the twentieth session of the Conference of the Parties and the tenth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol from Wednesday, 3 December to Sunday, 14 December 2014, with a view to concluding these consultations not later than the thirty-eighth session of the Subsidiary Body for Implementation;

5. *Requests* the Subsidiary Body for Implementation, at its thirty-eighth session, to consider the issue of the host of the twentieth session of the Conference of the Parties and the tenth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, and to recommend a draft decision on this matter to the Conference of the Parties for adoption at its nineteenth session;

C. Twenty-first session of the Conference of the Parties and eleventh session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol

6. *Takes note* of the offer of the Government of France to host the twenty-first session of the Conference of the Parties and the eleventh session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol from Wednesday, 2 December to Sunday, 13 December 2015;

7. *Invites* Parties to consult further on the host of the twenty-first session of the Conference of the Parties and the eleventh session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, with a view to concluding these consultations not later than the thirty-eighth session of the Subsidiary Body for Implementation;

8. *Requests* the Subsidiary Body for Implementation, at its thirty-eighth session, to consider the issue of the host of the twenty-first session of the Conference of the Parties and the eleventh session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, and to recommend a draft decision on this matter to the Conference of the Parties for adoption at its nineteenth session;

II. Calendar of meetings of the Convention bodies

9. *Decides* to adopt the following dates for the sessional periods in 2017;¹

- Wednesday, 10 May to Sunday, 21 May;
- Wednesday, 29 November to Sunday, 10 December.

*9th plenary meeting
7 December 2012*

¹ As recommended by the Subsidiary Body for Implementation at its thirty-sixth session (FCCC/SBI/2012/15, para. 237).

Resolution 1/CP.18

Expression of gratitude to the Government of the State of Qatar and the people of the city of Doha

The Conference of the Parties and the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Having met in Doha from 26 November to 7 December 2012 at the invitation of the Government of the State of Qatar,

1. *Express their profound gratitude* to the Government of the State of Qatar for having made it possible for the eighteenth session of the Conference of the Parties and the eight session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol to be held in Doha;
2. *Request* the Government of the State of Qatar to convey to the people of Doha the gratitude of the Conference of the Parties and the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol for the hospitality and warmth extended to the participants.

*9th plenary meeting
8 December 2012*